2019
Carl D. Perkins
Grants Handbook

Updated September 2018

The Carl D. Perkins
Career & Technical Education Act of 2006
Public Law 109-270

KANSAS BOARD
OF REGENTS

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I) INTRODUCTION

This handbook is designed to be a guide in developing, submitting and administering a Carl D. Perkins (Perkins) grant. It is intended as a reference tool only and is subject to revision. Please contact the Kansas Board of Regents (KBOR) CTE staff with specific grant application questions or concerns.

The purpose of the "Carl D. Perkins Career and Technical Education Act of 2006" is to more fully develop the academic and career technical skills of students enrolled in career and technical education programs by:

- building on the efforts of states to develop challenging academic and technical standards and to assist students in meeting the standards, including preparation for high-skill, high-wage or high-demand occupations in current or emerging professions;
- promoting the development of services and activities that integrate rigorous and challenging academic and technical instruction and link secondary and postsecondary education for participating students;
- increasing flexibility in providing services and activities designed to develop, implement and improve career and technical education;
- conducting and disseminating national research and information on best practices;
- providing technical assistance that promotes leadership and professional development and improves the quality of career and technical education faculty, administrators, and counselors;
- supporting partnerships among educational levels, business and industry and local workforce investment boards;
- providing individuals with opportunities throughout their lifetimes to develop the knowledge and skills needed to keep the United States competitive. [*Sec. 2*]

II) GENERAL GUIDELINES FOR PERKINS GRANTS

To accomplish the legislated purpose, the Act focuses upon the continuous improvement of career and technical education programs (CTE). Postsecondary institutions may submit grant proposals in three categories: Perkins Program Improvement Fund, and subject to availability, Perkins Reserve Fund and Perkins Leadership Fund. Specific definitions and guidelines apply to all three categories and are listed below.

a) Perkins Program Eligibility

Institutions must determine whether to request Perkins funding for a CTE program and, if the determination is made to proceed with the request, should take the following steps:

New CTE Programs: Upon login, enter the program into Program Inventory (kansasregents.org website) and select "Yes" for Perkins Eligibility.

Existing CTE Programs: Submit a Program Modification (kansasregents.org website) and select "Yes" for Perkins Eligibility.

The KBOR CTE staff will review the request and determine Perkins eligibility. To be approved for Perkins, programs must:

1) Contain at least 55% Tiered Technical credit hours;
2) Have an average of 8 concentrators in a two-year period;
3) Have an award level of AAS or a certificate; and
4) Meet all the requirements of Program Alignment.

To maintain Perkins eligibility, programs must:

1) Remain 55% Tiered Technical credit hours;
2) Maintain an average of 8 concentrators and
3) Be in compliance with Program Alignment.

SAPPs are not eligible for Perkins funding at this time.

b) Definitions

Understanding the following definitions will assist in understanding many of the activities and best practices described in this guide and in completing an application for Perkins Grant funding.

Advisory Committee A group of persons outside the education profession, comprised of representative lay-people, recognized and respected in their own fields of work who advise CTE educators, administrators, based on the employment needs of the community, state, regional, national, and international marketplace**.** (See Advisory Committee Handbook at www.kansasregents.org for further information)

All Aspects of an Industry Strong experience in, and comprehensive understanding of, the industry that the individual is preparing to enter, including information regarding occupational education and training options and employment information [Sec 3(2)]…
may also include aspects of planning, management, and finance, technical and production skills, underlying principles of technology, labor issues, community issues and health, safety and environmental issues associated with the industry (i.e., not simply the required technical skills for the field but a variety of information pertaining to the industry).

Articulation Agreement A written commitment (A) that is agreed upon at the state level or approved annually by the lead administrators of [i] a secondary and a postsecondary educational institution; or [ii] a postsecondary sub-baccalaureate degree granting and a baccalaureate degree granting institution; and (B) a program [i] designed to provide students with a non-duplicative sequence of progressive achievement leading to a technical skill proficiency, a credential, a certificate or a degree; and (ii) linked through credit transfer agreements between the institutions. [*Sec. 3(4)*]

Associate Degree An organized program of study, 60 – 68 credit hours in length, which contains at least 15 credit hours of General Education courses.

Career and Technical Education (A) a sequence of courses that [i] provides coherent and rigorous content aligned with challenging academic standards and relevant technical knowledge and skills needed to prepare for further education and careers in current or emerging professions; [ii] provides technical skill proficiency, an industry-recognized credential, a certificate, or an associate degree; and [iii] may include

prerequisite courses (other than a remedial course) and (B) includes competency-based applied learning that contributes to the academic knowledge, higher-order reasoning and problem-solving skills, work attitudes, general employability skills, technical skills, and occupation-specific skills, and knowledge of all aspects of an industry. [Sec. 3(5)]

Career and Technical Program of Study A program of study that (1) incorporates secondary and postsecondary education elements; (2) includes coherent and rigorous content aligned with challenging academic standards and relevant career and technical content in a coordinated, non-duplicative progression of courses that align secondary education with postsecondary education to adequately prepare students to succeed in postsecondary education; (3) may include opportunity for secondary students to participate in dual or concurrent enrollment programs or other ways to acquire postsecondary credit; and (4) leads to an industry-recognized credential or certificate at the postsecondary level, or an associate or baccalaureate degree. [*Sec. 122(c)(1)(A)*]

Career Guidance and Academic Counseling Guidance and counseling that (A) provides access to information regarding career awareness and planning with respect to an individual's occupation and academic future; and (B) provides information with respect to career options, financial aid, and postsecondary options, including baccalaureate degree programs. [*Sec. 3(7)*]

Certificate Award An award conferred after the completion of an organized program of study, defined as follows: Certificate A = program of study of 16-29 credit hours in length; Certificate B = 30-44 credit hours in length; Certificate C = 45-60 credit hours in length.

Completer A postsecondary student who has completed a program of study or earned an industry-recognized credential.

Concentrator A postsecondary student, with a Declared Major in a Perkins-approved program, who has passed 12 tiered credit hours in that major over a three year time period

Core Indicators of Performance Performance accountability system used to assess the effectiveness of the State and the eligible recipients of the State in achieving statewide progress in career and technical education and to optimize the return on investment of Federal funds in career and technical education activities.

Corrective Action Plan Institutions will be asked to submit a plan if:

- 10% or more of Perkins Program Improvement funds are returned or not drawn down in a fiscal year or
- Perkins Program Improvement funds are returned in 2 consecutive years, regardless of the amount or
- A fiscal non-compliance issue is noted during the fiscal review**.**
- Quality Assurance issues have been noted**.**

Institutions operating under a Corrective Action plan are not eligible to apply for or receive other grant awards (State or Federal). In addition, institutions placed on consecutive Corrective Action plans will be subject to a Compliance Agreement and may be placed on "high risk" status.

Eligible Institution (A) a public or nonprofit private institution of higher education that offers career and technical education courses that lead to technical skill proficiency, an industry-recognized credential, a certificate, or a degree; (B) a local education agency providing education at the postsecondary level; (C) an area career and technical education school providing education at the postsecondary level; (D) a postsecondary educational institution controlled by the Bureau of Indian Affairs or operated by or on behalf of any Indian tribe…; (E) an educational service agency; or (F) a consortium of 2 or more of the entities described above. [Sec 3(13 A-F)]

In addition to meeting one of the descriptors outlined in the "Eligible Institution" definition, institutions must develop and implement "Career and Technical Programs of Study." The program of study definition contains four key elements: secondary to postsecondary articulation, academic/technical integration, concurrent enrollment/dual credit *(optional)* and credential attainment. Institutions receiving funds under the Act will be required to offer these relevant elements in at least one program of study. [*Sec. 122(c)(1)(A)*]

Eligible Programs

All programs receiving Perkins Program Improvement funds must be approved or conditionally approved in the KHEDS system and have a current Program CWP (Courses Within a Program) on file in the KBOR office.

- **Technical certificate and associate degree programs**: An approved "Program of Study" will be required for all Career Technical Education (CTE) technical certificate and associate degree programs receiving Perkins Program Improvement funds. An "Institutional Programs of Study Inventory" form is provided in the annual application. Use the form to document which career and technical education programs contain these four key elements (see Career and Technical Program of Study pg. 4). If any program has not incorporated the required elements, the institution must include activities to develop and implement a program of study on the Program Improvement Activity pages submitted.

Limited English Proficiency Individuals with limited ability in speaking, reading, writing, or understanding English, and (A) whose native language is a language other than English; or (B) who lives in a family or community environment in which a language other than English is the dominant language. [*Sec. 3(16)*]

Non-Traditional Training and Employment Occupations or fields of work, including careers in computer science, technology, and other current and emerging high skill occupations, for which individuals from one gender comprise less than 25 percent of the individuals employed in each such occupation or field of work. [*Sec. 3(20)*]

Participant A student who has earned more than 0 (zero) but less than 12 credit hours in any Perkins-approved program within the current academic year.

Postsecondary Career and Technical Education Student A student who has completed at least 12 college credit hours of a Perkins-approved CTE technical certificate or associate degree program or completed a Perkins-approved short-term program of less than 16 credit hours.

Program Income Program income includes income from fees for services performed, from the use or rental of real or personal property acquired with grant funds, from the sale of commodities or items fabricated under a grant agreement, and from payments of principal and interest on loans made with grant funds. Except as otherwise provided in regulations of the Federal agency, program income does not include interest on grant funds, rebates, credits, discounts, refunds, etc. and interest earned on any of them. Program income means gross income received by the grantee or subgrantee directly generated by a grant supported activity, or earned only as a result of the grant agreement during the grant period. "During the grant period" is the time between the effective date of the award and the ending date of the award reflected in the final financial report. Costs incident to the generation of program income may be deducted from gross income to determine program income. [EDGAR (*Education Department General Administrative Regulations),* Sections 80.25, a, b, c, pp. 166, December, 2008].

Program of Study The career and technical programs of study, which may be adopted by local educational agencies and postsecondary institutions to be offered as an option to students when planning for and completing future coursework, for career and technical content areas that 1) incorporate secondary education and postsecondary education elements; 2) include coherent and rigorous content aligned with challenging academic standards and relevant career and technical content in a coordinated, non-duplicative progression of courses that align secondary education with postsecondary education to adequately prepare students to succeed in postsecondary education; 3) may include the opportunity for secondary students to participate in dual or concurrent enrollment programs or other ways to acquire postsecondary credits; and 4) lead to an industry-recognized credential or certificate at the postsecondary level or an associate or baccalaureate degree. *[Sec. 122 (c) (A)*]

Program Quality The measure of program success in teaching all enrolled students the industry-recognized competencies and skills necessary for them to practice careers within their chosen field after graduation and support a high level of student performance in core academic areas and skills. Program quality also includes successful student performance on certification/licensure exams and/or end of program exams, acquiring and maintaining program accreditations/certifications and meeting established business/industry standards.

Program Scope The curricular parameters and limitations of each program. Qualifiers include course content; range of course offerings within each sequence, ability to fully simulate the appropriate workplace environment, number of work skills and competencies taught, etc.

Program Size Quantifiable, physical parameters and limitations of each program—e.g., number of courses within the approved sequence, amount of available resources *(computers, software, workplace tools, etc.),* number of staff involved, number of students enrolled, and number of students completing a program each year.

Special Populations (A) individuals with disabilities; (B) individuals from economically disadvantaged families, including foster children; (C) individuals preparing for non-traditional fields; (D) single parents, including single pregnant women; (E) displaced homemakers; and (F) individuals with limited English proficiency. [*Sec. 3(29*]

Stand-Alone Parent Program (SAPP) A program which is (1) less than 16 credit hours, (2) leads to an industry recognized credential, license or certification and (3) approved by Workforce Development staff. SAPPs are NOT eligible for Perkins funding.

c) Expenditure Guidelines for Perkins Program Improvement Grants

All Federal grant recipients must follow policies and procedures that meet the standards set forth in EDGAR 2 C.F.R. 200.318-200.326 when procuring (purchasing) supplies, expendable property, equipment, real property and other services. These standards are designed to ensure the materials and services are obtained in an effective manner and in compliance with the provisions of applicable Federal statutes and executive orders.
Source: 2 C.F.R. 200.317

Administrative Costs No more than 5% of the total Perkins Program Improvement grant may be used for local administration. The Kansas Board of Regents interprets this to be the direct and indirect costs related to the supervision, accounting and reporting of Perkins activities set forth in the local plan. Administrative cost items must be listed under Goal 10 of the Perkins grant application for approval, see Administrative Cost Limitations.

Administrative Cost Limitations:
The costs of administration are those portions of reasonable, necessary and allowable costs associated with the overall project management and administration. These costs can be both personnel and non-personnel, and both direct and indirect. Therefore, the limitation applies to the combined claims for indirect costs and direct administration costs. Generally, direct administration costs differ from indirect charges in that the latter are considered organization-wide costs.
Examples of direct administration are salaries, benefits, and other expenses of the recipient's staff that perform the following functions:

- Overall program management, program coordination, and office management functions including the salaries and related costs of the executive director, project director and/or project evaluator
- Preparing program plans, budget schedules, and related amendments.
- Monitoring of programs, projects, sub recipients and related systems and processes.
- Developing systems and procedures, including management information systems, for assuring compliance with program requirements.
- Preparing reports and other documents related to the program requirements.
- Evaluating program results against stated objectives.
- Divisional level administration services such as program specific accounting, auditing or legal activities.

Examples of non-labor costs for direct administration include:

- Costs for goods and services required for administration of the program, including the rental or purchase of equipment, utilities, office supplies, postage, and rental and maintenance of office space.
- Travel costs incurred for official business in carrying out program management.

(Cost Allocation Guide for State and Local Governments, U.S. Department of Education, Office of the Chief Financial Officer)

Advertising/Promotional Expenses Expenses used to design and develop promotional materials for a specific Perkins-approved career and technical education program, targeting gender non-traditional projects or students. This may include, but is not limited to, hiring a consultant, newspaper ads or television spots. The promotional plan must be detailed in the Program Improvement Grant application and all materials must be approved by the KBOR CTE staff **before** any expenses are incurred.

Allowable and Unallowable Expenditures See Tables Below.

Perkins IV Postsecondary Allowable Expenditures**

****This list should be used as a guideline for expenditures and is not all inclusive. For questions regarding a specific expenditure, please contact the KBOR CTE staff. Federal Perkins funds continue to be focused toward raising the programs above the status quo, introducing new curriculum and new technology, and providing teacher professional development necessary to improve the instruction and increase student achievement on the performance indicators. Just because an expense may be allowable under the regulations does not negate the state's authority to disallow that expense if it is not consistent with the state's plan for these funds.**

ITEM	EXPLANATION
Administration	Up to 5% of the total budget may be spent for local administration. This may include administrative travel, support staff, and other administrative costs directly associated with the management of the Perkins-approved career and technical education programs. **NOTE: This line item cannot exceed 5% of total budget.** Administrative cost items must be listed under Goal 10 of the Perkins grant application for approval, see Administrative Cost Limitations.
Accounting	Generally, an expense that supports payment to a clerk for time spent keeping Perkins funding fiscally sound and/or an outside accounting firm to ensure all Perkins fiscal reports are balanced. **NOTE: Records of time and effort expended in this activity must be maintained to justify this line item.**
Advisory Councils	Council members may be reimbursed for mileage and per diem for attending conferences, judging student competitions or other activities that are closely aligned to the specific Perkins-approved career and technical education programs.
Assessments	Assessments must be for program improvement purposes and institutions must document how data is used to improve performance. Assessment materials can be purchased for career and technical education students enrolled in Perkins-approved career and technical education programs only. If assessment materials are purchased for the entire student population Perkins funds can only be used to pay for the portion administered to the career and technical education students. **NOTE: Perkins will pay up to 100% of the total cost for the first year. Perkins will then pay 2/3 the second year and 1/3 the third year. Assessment materials will become an institutional responsibility after 3 years.**
Career Guidance Counseling	Career Guidance Counseling purchases include but are not limited to materials supporting Perkins-approved career and technical education programs, such as career software and career assessments. This can also include attendance at conferences when it is a part of a comprehensive professional development plan.
Consultants	Consultants are used to provide guidance in a specific Perkins-approved career and technical education program. Costs may include but are not limited to the consultant fee, travel expense, per diem, and lodging.
Curriculum Development	Institutional staff or other appropriate people may be paid to develop, update, or revise curriculum within Perkins-approved career and technical programs. *Institutional staff time spent in this activity must be outside of contract time*. All time spent in this activity must be documented with timesheets.
Employee Fringe Benefits	Reflected in total salary compensation

Equipment Equipment Maintenance	This item can only be used to purchase/maintain state-of-the-art equipment (if part of initial purchase). **NOTE: This item is not to be used to maintain old, out of date equipment.**
Resources, Tools, Supplies and Materials	Items that will support updated and revised curriculum. Some examples may include software, videos, resource books, and manuals. **NOTE: No consumables such as paper, inkjets, textbooks, small hand tools, typical day-to-day supplies to run a program, etc, are allowable.**
Substitute Instructors	
Subscriptions and Periodicals	Must be relevant to a specific Perkins-approved CTE program, must be purchased for use by the career and technical education students and career and technical education instructors and must demonstrate program improvement. **NOTE: Perkins will pay up to 100% of the total cost for the first year. Perkins will then pay 2/3 the second year and 1/3 the third year. Subscriptions and periodicals will become an institutional responsibility after 3 years.**
Professional Development Activities and Training	Professional Development Activities and Training must be relevant to a specific Perkins-approved CTE program. Professional Development Plans must be submitted for approval with Perkins Funds Applications. Training costs may be allowed when new CTE equipment is purchased and an instructor is in need of training in order to provide appropriate CTE instruction. Institutions can submit optional Professional Development Pool to expand professional development list.
Teacher Internships	Participation in an internship activity must be relevant to the instructor's Perkins-approved career and technical education program.
Technology	Equipment must be purchased that will develop, improve, or expand the use of technology and to provide opportunities for the preparation of students for high skill, high wage, or high demand occupations. **NOTE: Common or routine equipment purchases are not allowed. Equipment may be purchased for new Perkins-approved career and technical education programs. Any products created with this equipment cannot be sold for a profit. Equipment must be located in the Perkins-approved CTE program area for which it was purchased and is for student-use only.**
Travel	May include lodging, transportation, and per diem to attend in-state meetings directly connected to Perkins IV.
CTSO Advisor Expenses	Travel, lodging, per diem, and resources to support CTSO Advisor's expenses

The following expenditures are allowable ONLY for the Nontraditional (NTO Project) and special populations activities:

ITEM	EXPLANATION
Advertising	Expenses that are used to design and develop marketing materials for a specific Perkins-approved career and technical education program or special populations project. This may include but is not limited to hiring a consultant, newspaper ads, television spots, etc. **NOTE: All materials must have prior approval from KBOR staff before expenditures are made. Consumables items such as paper, inkjets, etc. are not allowable purchases. The amount to be allowable for this category will be limited.**
Communications	Postage. **NOTE: No consumables such as paper, inkjets, envelopes, etc are allowable. Paying phone bill or internet services are not allowed.**
Printing and Reproduction	Printing or reproduction of items is allowed for NTO and special populations, but the items must be relevant to a specific Perkins-approved career and technical education program or a special populations project. This may include, but is not limited to, non-consumable promotional materials, such as posters. **NOTE: All materials must have prior approval from KBOR staff before expenditures are made. Consumable items such as paper, inkjets, etc. are not allowable purchases. The amount to be allowable for this category will be limited.**

Perkins IV Postsecondary Unallowable Expenditures**

****This document is intended to provide guidance on often requested, but unallowable purchases under Perkins. It is not an exhaustive list. The eligibility of any cost should be determined by considering the grant's purpose, Carl D. Perkins requirements, and any pertinent Federal cost guidelines. All costs must be related to the program as approved in the local Perkins application. For questions regarding a specific expenditure, please contact the KBOR Perkins staff.**

ITEM	EXPLANATION
Any costs not applicable to a specific Perkins-approved CTE program	All expenditures must be relevant and supportive of Perkins-approved career and technical education programs.
Any costs not necessary and reasonable	All expenditures must be supportive of Perkins-approved career and technical education programs, be needed for program success and be reasonable in amount.
Alcoholic Beverages	
Advertising and Public Relations costs	Includes memorabilia and displays (Exception – non-traditional outreach.)
Alumni Activities	
Audits	The cost of a general school/institution audit is not permissible.
Awards	Cash awards, medals/pins, plaques, ribbons, trophies/certificates
Bad Debts	Financial issues are the institution's responsibility and Perkins funds shall not be satisfy an institution's bad debts.
Basic Tools	Basic hand tools that are not considered industry-grade. (Exceptions — high-grade/high-resiliency industry-grade tools)
Canned Curriculum	
Capital Expenditures	Building construction, modification (includes plumbing, electrical wiring, heating/cooling systems, etc.) or land purchases. (Exceptions – equipment based on eligible purpose and need are allowed.)
Career & Technical Student Organizations (CTSOs)	Awards for recognition of students, advisors or other individuals; individual dues; food and lodging for students; jackets/uniform apparel; registration fees to events, conferences and other activities; supplies, transportation of students to and from events.
College Prep Tests	As a direct benefit to students, college preparation tests are not allowed.
Commencement / Convocation costs	
Competitive Events	Funding to transport students to and from competitive events is considered direct assistance to students and therefore not allowable.
Consumables Supplies	Perkins funds may not be used for any item designed for single use (used and discarded). All standard classroom consumable supplies, including but not limited to: CO2 cartridges, drill bits, food, ink, toner, printer cartridges, 3D printer filament, lumber office supplies, plants, welding rods/wire. (Exception – specialized items may be allowable if expenditure does not supplant local funds.)
Contingency or "petty cash" funds	Perkins funds must be expended in the year they are authorized. Any unused funds must be returned.
Contributions or Donations	Perkins funds must be used to support Perkins-approved career and technical education programs and relevant activities.
Dues/Membership Fees	No exceptions for personal membership or organizations that actively lobby. (Exception for college membership to industry-recognized entities may be allowed if the entity is not a lobbying organization and the benefit provided is not to an individual but to a CTE program. Permission is required.)

Entertainment	Expenditures for entertainment or social activities such as: beverages, lodging, meals, non-working meals, transportation, gratuities
Equipment (for administrative or personal use)	Expenditures for equipment that is not specifically used for Perkins-approved career and technical education courses/programs of study and housed in appropriate classrooms/labs/workshops is not allowable.
Equipment and supplies for Building Maintenance	The cost of supplies and equipment for building maintenance is not allowable.
Expenditures that supplant local effort	Federal funds cannot be used to provide the same services an entity had been providing with non-federal funds.
Exhibits	
Food	(Consumable)
Fundraising	
Furniture	Standard classroom furniture not unique to instruction is unallowable. (Exception – Perkins funds can only be used for specialized items unique to instruction of content, i.e. mobile light carts for plants, or to provide reasonable accommodation to CTE students with disabilities).
General Expenses	Perkins funds cannot be used for expenses which are attributed to the general operation of the institution.
Gifts for Students	
Hobby Craft, leisure arts or other non-occupational item expenditures	Perkins funds can only be used for items that modernize, improve or expand a Perkins-approved CTE and align to industry standards and expectations. Items must be used for courses that enhance instruction for students to gain knowledge and skills that meet industry standards and certifications in high-wage, high-skills and high-demand occupations.
Interest and other financial costs	Perkins funds cannot be used to pay interest or late charges.
Instructional Aids to be retained by students	Federal funds cannot be used to purchase any items that will be retained by students.
Insurance	Building, equipment or personal/institutional insurance is not allowable.
Kitchen Tools	Residential type kitchen tools are not allowable, e.g., light grade plastic products, private label products sold through home party outlets. (Exception – industry-grade tools are allowable – high-grade, high-resiliency).
Leasing Vehicles or equipment	Renting or leasing of automobiles, trucks, buses, airplanes, boats, golf carts, motorcycles, tractors, or trailers is not allowable.
Legislative Expenses	Federal funds cannot be used for lobbying activities.
Maintenance Contracts or Agreements	Capital outlay and maintenance costs are not allowable.
Non CTE-Focused/CTE Based Conferences	Conferences that do not connect CTE instruction with industry or career development, improve instruction, or integrate technology are not allowable.
Pre-Award Costs	
Printers	Standard printers are not allowable. (Exception – specialized printers that are aligned with industry uses and are relevant to program content may be allowed.)
Promotional ‘give away’ items	
Repair Expenditures	Repair costs of any item are not allowable with federal funds.
Replacement of lost, stolen or broken equipment	The cost of replacing federally-funded equipment that is lost, stolen or broken is the responsibility of the grant recipient.
Software – Standard operating software	Standard operating software used throughout the institution for multiple purposes (Microsoft office– standard) is not allowable.
Storage files or cabinets	Standard multi-purpose furniture is not allowable.
Student Scholarships	
Student Internships	Federal funds cannot be directly supportive of student activities.
Subscriptions to Magazines or Journals	Subscriptions that are non-technical and cannot be tied directly to a Perkins-approved CTE program of study or instruction are not allowed.

Supplanting	1. Using Perkins funds to provide services the recipient is required to make available under other federal, state or local laws. 2. Using Perkins fund to provide services the recipient provided with state or local funds in the prior or previous years 3. Using Perkins fund for CTE students while using local funds for the same service to non-CTE students.
Textbooks	Perkins funds cannot be used for the purchase of student textbooks.
Transportation	Perkins funds cannot be used for to provide transportation for students.
Tuition	Any tuition fee charged for students or teachers to attend a course or professional advancement is not allowable since it is a direct benefit. (Exception – Conference registration fees for faculty to attend a professional development workshop, seminar or conference are permissible if the content applies to the Perkins-approved CTE program of study.)
Travel outside of the U.S.	
University visits	Funding that provides a direct benefit to students is not allowable (an institution cannot furnish travel for a limited number of students to visit a campus.)
Uniforms	Uniforms or any clothing that becomes a personal possession is not allowed. (Exception – Uniforms or clothing including lab coats, coveralls, gloves, etc. that will remain in the classroom or laboratory are allowable.)
Upgrades	Headphones, earbuds, table stands, keyboards, mice, speakers, 10-key pads
Vehicles	Automobiles, trucks, buses, airplanes, boats, golf carts, motorcycles, etc.
Wages for Students	

Equipment—No more than 50% of the total Perkins Program Improvement grant may be used to purchase equipment. Equipment expenditures must be for new equipment to enhance an approved CTE program and cannot be used for maintenance or replacement of existing worn-out equipment. Equipment should be purchased or encumbered before December 31st of the award year. Equipment valued at more than $5,000 must display a KBOR-issued Equipment ID tag. This tag should be permanently affixed to the equipment in a visible location on the equipment. Equipment is deemed as any tangible personal property that has a useful life of over one year, regardless of value.

1. Optional Equipment Pool – Institutions may identify a list of equipment that they may want to purchase by the deadline of December 31. Even though this equipment on this list may not end up being purchased during the year, the pool gives institutions the flexibility to adjust purchases when/if there are extra funds available. If equipment is pre-approved, no revision request is required.

2. For reporting and compliance purposes, detail should be provided on the progress and final reports.

3. Equipment brand name is not required when submitting the local application, but the type of equipment, the program for which it is intended and the use must be clearly stated. (this eliminates any need to submit a Revision Request if brand purchased is different than what was submitted in application.)

4. Service Agreement costs cannot be included in the cost of the equipment.

In addition, the equipment is subject to the following guidelines:

Use: (1) Equipment shall be used by the grantee or sub-grantee in the program or project for which it was acquired as long as needed, whether or not the project or program continues to be supported by Federal

funds. When no longer needed for the original program or project, the equipment may be used in other activities currently or previously supported by a Federal agency.
(2) The grantee or sub-grantee shall also make equipment available for use on other projects or programs currently or previously supported by the Federal Government, providing such use will not interfere with the work on the projects or program for which it was originally acquired. First preference for other use shall be given to other programs or projects supported by the awarding agency. User fees should be considered if appropriate.
(3) Notwithstanding the encouragement to earn program income, the grantee or sub-grantee must not use equipment acquired with grant funds to provide services for a fee to compete unfairly with private companies that provide equivalent services, unless specifically permitted or contemplated by Federal statute.

Management: Procedures for managing equipment (including equipment with a value of less than $5,000), whether acquired in whole or in part with grant funds, until disposition takes place will, as a minimum, meet the following requirements:
(1) Property records must be maintained that include a description of the property, a serial number or other identification number (KBOR ID tag), the source of property, who holds title, the acquisition date, and cost of the property, percentage of Federal participation in the cost of the property, the location, use and condition of the property, and any ultimate disposition data including the date of disposal and sale price of the property.
(2) A physical inventory of the property must be taken and the results reconciled with the property records at least once every two years.
(3) A control system must be developed to ensure adequate safeguards to prevent loss, damage, or theft of the property. Any loss, damage, or theft shall be investigated.
(4) Adequate maintenance procedures must be developed to keep the property in good condition.
(5) If the grantee or sub-grantee is authorized or required to sell the property, proper sales procedures must be established to ensure the highest possible return.

Disposal: When equipment acquired with Perkins IV funds or a combination of Perkins IV and local monies is no longer needed for the intended purposes, disposition of the equipment will be made as follows:

1. Items of equipment with a current per-unit fair market value of less than $5,000.00 may be retained, sold, or otherwise disposed of with no further obligation to the awarding agency.
2. Items of equipment with a current per-unit fair market value in excess of $5,000 may be retained or sold, and awarding agency shall have a right to an amount calculated by multiplying the current market value or proceeds from sale by the awarding agency's share of the equipment.

A record and/or documentation regarding the disposition of this equipment must be sent to the Kansas Board of Regents.

Source: EDGAR (*Education Department General Administrative Regulations),* Section 200.313.

Carryover funds
Eligible recipients may not carryover unobligated/unexpended funds. Any funds not expended during the grant period must be retained by or returned to the State. The State shall reallocate carryover funds to eligible recipients along with future grant awards using the prescribed local distribution formula.

State program and fiscal staff shall annually verify the amount of each recipient's unobligated and/or unexpended funds. This verification will occur after receipt of final expenditure reports from each recipient. As final expenditure reports are not due until August 1st annually, the redistribution of identified carryover funds will not occur until the following award period. For example, unobligated funds from award year 2015 will not be reallocated until award year 2017.

Membership Fees – Perkins funds **may not** be used to provide membership fees to professional organizations for administration, faculty or CTE students. Faculty or administration may need to pay a non-member fee when attending a conference. Institutional memberships are allowable.

New Programs—Technical Certificate or Associate Degree Programs—No more than $25,000 or 25% of the total Program Improvement grant, whichever is less, may be used to support newly approved CTE programs.

On-Going Program Expenses — Items essential to delivering effective, quality CTE programs should, over time, be assumed by the institution. Perkins Program Improvement funds are to be used for improvements, not to maintain the operation of a CTE program or service provided by the institution. Approved Perkins funding for items such as annual renewals for software/hardware licenses for instructional software or student screening/placement assessments (e.g. Compass, Plato, Discover, Choices, TABE, Work Keys) will be decreased by 1/3 each year over a 3-year period to assist institutions in assuming these program costs.

Professional Development Institutions may identify a list or "pool" of professional development for instructors which is submitted with the local application. This list doesn't have to include faculty names, locations of conference, dates, etc., but must be clearly identifiable (or include explanation of relevance) as to what type of conference, training, etc., it is, and what approved program it relates to. The institution may assign a lump sum and attach a list to the local application.

1. All events may not be attended during the plan year, but this flexibility should ease the revision process, and changes made by faculty and outside parties.
2. Any new professional development requested that is not pre-approved must go through the approval process with KBOR.

Program Improvement Activities — All of the nine mandated activities of the Carl D. Perkins Act must be addressed on the Program Improvement Activity sheets. However, activities can be funded from either federal or nonfederal sources. When non-Perkins funds are used to support an activity, simply identify the source in the funding column (e.g. Local funding).

Salaries — A complete job/position description with time allocations must be submitted with the grant application. No more than 50% of the total Perkins Program Improvement grant may be used for salaries. Perkins funding may only be used for new, permanent positions where the salary will be assumed by the institution when grant funding is no longer available *(maximum 3 years)*. Approved Perkins funding will decrease by 1/3 each year for the 2nd and 3rd years the position is funded. Any funded new positions cannot be used to supplant existing personnel expenditures. The roll down of salaries can only be for the actual amount paid out the previous year.

The following standards regarding time distribution are in addition to the standards for payroll documentation:

(1) Charges to Federal awards for salaries and wages, whether treated as direct or indirect costs, will be based on payrolls documented in accordance with generally accepted practice of the governmental unit and approved by a responsible official(s) of the governmental unit.
(2) No further documentation is required for the salaries and wages of employees who work in a single indirect cost activity.
(3) Where employees are expected to work solely on a single Federal award or cost objective, charges for their salaries and wages will be supported by periodic certifications that the employees worked solely on that program for the period covered by the certification. These certifications will be prepared at least semi-annually and will be signed by the employee or supervisory official having first-hand knowledge of the work performed by the employee.
(4) Where employees work on multiple activities or cost objectives, a distribution of their salaries or wages will be supported by personnel activity reports or equivalent documentation which meets the standards in subsection (5) unless a statistical sampling system (see subsection (6)) or other substitute system has been approved by the cognizant Federal agency. Such documentary support will be required where employees work on: (a) More than one Federal award;(b) A Federal award and a non-Federal award;(c) An indirect cost activity and a direct cost activity;(d) Two or more indirect activities which are allocated using different allocation bases; or (e) An unallowable activity and a direct or indirect cost activity.
(5) Personnel activity reports or equivalent documentation must meet the following standards: a) They must reflect an after the fact distribution of the actual activity of each employee;(b) They must account for the total activity for which each employee is compensated;(c) They must be prepared at least monthly and must coincide with one or more pay periods, and (d) They must be signed by the employee.
(e) Budget estimates or other distribution percentages determined before the services are performed do not qualify as support for charges to Federal awards but may be used for interim accounting purposes, provided that: (i) The governmental unit's system for establishing the estimates produces reasonable approximations of the activity actually performed; (ii) At least quarterly, comparisons of actual costs to budgeted distributions based on the monthly activity reports are made. Costs charged to Federal awards to reflect adjustments made as a result of the activity actually performed may be recorded annually if the quarterly comparisons show the differences between budgeted and actual costs are less than ten percent; and (iii) The budget estimates or other distribution percentages are revised at least quarterly, if necessary, to reflect changed circumstances.
Source: EDGAR 2. C.F.R. 200.430

Subscriptions — Funding may only be used for new subscriptions to scholarly journals or publications. Publications must be relevant to a specific Perkins-approved career and technical education program and must be purchased for use by the career and technical education students and career and technical education instructors; these subscriptions will be assumed by the institution when grant funding is no longer available *(maximum 3 years)*. Approved Perkins funding will decrease by 1/3 each year for the 2nd and 3rd years the subscription is renewed.

Supplementing vs Supplanting - As a requirement of the Perkins statute, funds made available under the Act must supplement and not supplant non-federal funds expended to carry out CTE activities. In other

words, federal Perkins funds may only be used in addition to funds already spent by the state and recipients on CTE, and cannot be used in place of non-Perkins funds.

(1) It will be presumed that supplanting has occurred where: (a) the recipient uses Perkins funds to provide services that the recipient is required to make available under another federal, state, or local law; or (b) the recipient uses Perkins funds to provide services that the recipient provided with non-Perkins funds in the prior year; or (c) the recipient provides services for non-CTE students with non-federal funds, and provides the same services to CTE students using Perkins funds.

(2) These presumptions are rebuttable if the recipient can demonstrate that it would have not been provided the services in question with non-Perkins funds had the Perkins funds not been available.

(3) If presumed supplanting occurred, due to a reduction in non-federal funds or a change in the state's priorities, the recipient will develop and maintain written documents, such as meeting minutes and/or itemized budget documents for one year to the next, demonstrating that the decision to not fund an activity with state or local funds was made without regard to the availability of Perkins funds. If a recipient uses Perkins funds to support activities that otherwise would be funded with state or local funds, the activities funded must be allowable under Perkins.

Was the activity funded during the previous year by Perkins or the institution?
Perkins funds may not be used to continue an activity funded by non-Perkins funds the previous year. That would be supplanting which is expressly prohibited by law. Consideration will be given to approving an activity previously funded by the institution with non-Perkins funds if the institution can prove that the activity would cease without Perkins funding.

Is the activity required by federal, state or local law?
If so, this would be supplanting and would not be eligible for funding.

Supplies — Personal property, excluding equipment, intangibles and debt instruments. While supplies do not require identification tags, if the item can be considered "highly walkable" (i.e., subject to theft or loss) such as computing devices (laptops, cell phones, I-pads, etc.) the items must be inventoried and monitored at the same intervals as equipment (see Equipment Management section). NOTE: Perkins funds **may not** be used for consumables.

Source: EDGAR Sections 200.313 and 200.314.

d) State Funds

Carl D. Perkins funds are shared equally between the Kansas Board of Regents (KBOR) and the Kansas State Department of Education (KSDE). Supported by both the state agencies, this distribution methodology provides access to equal amounts of funding for institutions at both the secondary and postsecondary levels, ensures continued support for quality secondary and postsecondary CTE programs and initiatives within the state, and leads to stronger and more consistent educational linkages throughout the state.

The Kansas Board of Regents assumes the sole eligible state agency responsibilities for Kansas in regard to Carl D. Perkins funding.

The total consolidated funding allocation is distributed in the following manner: 85% of the funds are distributed to eligible local recipients (which includes a 10% Reserve Fund), 10% for state leadership activities, and 5% for state administration as noted below.

Distribution of Federal Perkins Funds to the State

Title I (Basic Grant)

Local Formula Distribution (85%)
Reserve Fund (10%)
(Secondary- 50%; Postsecondary- 50%)
Local Formula Allocation (75%) *(Program Improvement)*
(Secondary- 50%; Postsecondary-50%)

State Leadership (10%)
Nontraditional Training and Employment
(Secondary-$75,000)
Corrections/Institutions (1%)
(Secondary- 50%; Postsecondary- 50%)
Remaining Leadership Funds
(Secondary- 50% less previous distributions in this category; Postsecondary- 50%)

State Administration (5%)
State Administration Match (from non-federal funds)

Distribution of Postsecondary Portion of Federal Perkins Funds to the State

Local Formula Distribution (85%)

The amount distributed to each postsecondary institution is based upon the Annual Full Time Equivalent (FTE) Pell Grant count for the previous academic year. KBOR staff will identify the number of CTE Pell grant recipients using the Kansas Postsecondary Database (KSPSD). This data is designed to support the informed decision-making capabilities desired by KBOR through the collection, analysis and reporting of postsecondary education data in Kansas. Since each institution's annual Perkins allocation is based upon the KSPDS data, it is imperative the Perkins Coordinators work with the institutional IT/data staff to verify that Perkins data has been submitted accurately!

The formula for local allocation is as follows:

Local % of Allocation = Institutional FTE Pell Count / Total State FTE Pell Count*

*The Institutional FTE Pell Count is determined by:

- Identifying the number of concentrators in Perkins-approved programs as reported in the institution's annual KSPSD submission. A concentrator is a student who has completed at least 12 tiered college credit hours in the last three years from courses identified in the Program Inventory database.
- Determining the number of semesters in the academic year for which the concentrator received a Pell grant and totaling only the credit hours the student attempted in those semesters.

- This total number of credit hours is then divided by 30 to determine the student's enrollment level for the year (e.g. full time, ¾ time, ½ time). Full time is considered 30 or more hours; ¾ time is considered at least 22 hours but less than 30 hours; ½ time is considered at least 15 hours but less than 22 hours.

Further information on KSPSD, including a Reference Manual and due dates for data submission, may be found on the KBOR website.

An eligible institution must qualify for a grant of at least $50,000 to receive an allocation, or they must join a consortium that qualifies for that amount to receive Perkins funds [*Sec. 132*]. Eligible institutions may enter into a consortium for the purposes of receiving funds. Such a consortia must operate joint projects that:

- Provide services to all postsecondary institutions participating in the consortium (unless the eligible institution is in a rural, sparsely populated area and the state waives this requirement) and
- Are of sufficient size, scope and quality to be effective.

Funds allocated to consortia must be used only for purposes and programs that are mutually beneficial to members of the consortium and cannot simply be reallocated to individual members of the consortium.

III) PROGRAM IMPROVEMENT FUNDS

a) Application Documents

The Act mandates that Perkins funds be used to improve career and technical education programs through the implementation or refinement of nine different activities. [*Sec. 135(b)*] These activities, and the suggested best practices, provide the basis for the local "Application for Program Improvement Funds" and ultimately achievement of the core indicators of performance. Expenditure limits as well as allowable and unallowable expenditures are explained within the application. As a **reminder**, Perkins funds may only be spent on KBOR Perkins-approved career and technical education programs.

- To apply for Perkins funding, submit the following required Application documents, in electronic format, to your KBOR Perkins liaison. The president's signature is not required at this time.

- Your KBOR liaison will work with each institution to make any adjustments or changes, and you will be notified when it is unofficially approved. Documents must be submitted by the deadline (please refer to the CTE calendar on www.kansasregents.org for all deadlines). Institutions may not expend funds until plans are finalized and approved by KBOR, so timely submission is critical, and applications will be accepted prior to the deadline**.**

Required documents:

- **Appendix A**
- **Appendix B**
- **Appendix C**
- **Appendix D (Consortiums Only)**
- **Appendix E**
- **Appendix F**
- **Appendix G**
- **Appendix I**
- **Appendix J**
- **Appendix K**
- **Appendix L**
- **Appendix M**
- **Appendix N**

- Upon review and approval by KBOR staff, a signed copy of the entire Local Application for Program Improvement Funds will be requested. The final document, with all signatures, may be emailed to your Perkins liaison at KBOR. NO hardcopy will be required. You will receive a final copy with KBOR signatures upon final approval.

  If salaries funded (including roll-down years), must include:
  - Complete Job Description with Time Allocations

See Appendix for sample Application documents.

b) Core Indicators of Performance

To assess the extent to which the State of Kansas and local recipients have improved the quality of career and technical education programs, Perkins legislation sets forth minimum core indicators of performance for career and technical education programs at the postsecondary level. [*Sec. 113(b)(1)(B)*] These measures of performance are incorporated into the State plan as a condition of approval by the U.S. Department of Education, must be valid and reliable and include, at a minimum, measures of each of the following:

Postsecondary Core Indicators of Performance

- **1P1 - Technical Skill Attainment**—Student attainment of challenging career and technical skill proficiencies, including student achievement on technical assessments, that are aligned with industry-recognized standards, if available and appropriate. Measures any student identified as a concentrator who attempted a technical skill assessment test.

  Numerator = number of CTE concentrators who passed technical skill assessments that are aligned with industry-recognized standards, if available and appropriate, during the reporting year

  Denominator = number of CTE concentrators who attempted technical skills assessments during the reporting year

Note: A list of Technical Skill Assessments and corresponding credentials can be found on the kansasregents.org website.

- **2P1 - Credential, Certificate, or Degree**—Student attainment of an industry-recognized credential, a certificate, or a degree. Measures concentrators who earned an award and are NO LONGER enrolled in postsecondary education.

  Numerator = number of CTE concentrators who receive an industry recognized credential, a certificate, or a degree during the reporting year

  Denominator = number of CTE concentrators who are no longer enrolled in postsecondary education during the reporting year

- **3P1 - Student Retention and Transfer**—Student retention in postsecondary education or transfer to a baccalaureate degree program. Measures concentrators who were enrolled during the reporting year and remained in postsecondary education the following fall. All students who earned an award or an industry recognized credential are REMOVED from the analysis.

  Numerator = number of CTE concentrators who remained enrolled in their original postsecondary institution or transferred to another 2- or 4- year postsecondary institution during the reporting year and who were enrolled in postsecondary education in the fall of the previous reporting year

  Denominator = number of CTE concentrators who were enrolled in postsecondary education in the fall of the previous reporting year and who did not earn an industry-recognized credential, a certificate, or a degree in the previous reporting year

- **4P1 - Student Placement (Follow-up)**—Student placement in military service or apprenticeship programs or placement or retention in employment, including placement in high skill, high wage, or high demand occupations or professions. Measures placement of all concentrators who are NO LONGER enrolled in postsecondary education. Only students who have completed, earned an industry recognized credential or have dropped out of postsecondary education are included in the analysis.

  Numerator = number of CTE concentrators who were placed or retained in employment or placed in military service or apprenticeship programs in the 2nd quarter following the program year in which they left postsecondary education (i.e., unduplicated placement status for CTE concentrators who graduated by June 30, 2010 would be assessed between October 1, 2010 and December 31, 2010)

  Denominator = number of CTE concentrators who are no longer enrolled in postsecondary education during the reporting year

- **5P1 - Nontraditional Participation --** Student participation in career and technical education programs that lead to employment in nontraditional fields. [Sec 113(b)(2)(B)]. Measures all participants and concentrators enrolled in gender underrepresented programs.

Numerator = number of CTE participants from underrepresented gender groups who participated in a program that leads to employment in nontraditional fields during the reporting year

Denominator = number of CTE participants who participated in a program that leads to employment in nontraditional fields during the reporting year

- **5P2 - Nontraditional Completion**—Student completion of career and technical education programs that lead to employment in nontraditional fields. [Sec 113(b)(2)(B)]. Measures all nontraditional concentrators who earned an award in a gender underrepresented program.

  Numerator = number of CTE concentrators from underrepresented gender groups who completed a program that leads to employment in nontraditional fields during the reporting year

  Denominator = number of CTE concentrators who completed a program that leads to employment in nontraditional fields during the reporting year

Note: A list of gender nontraditional occupations can be found on the kansasregents.org website.

c) Levels of Performance

Local Levels of Performance

In general, each institution receiving funds agrees to accept the State adjusted levels of performance as the local adjusted levels of performance, or negotiate with the State to reach agreement on new local adjusted levels of performance for each of the core indicators. The levels of performance shall at a minimum (1) be expressed in a percentage or numerical form, consistent with the State levels of performance so as to be objective, quantifiable, and measurable; and (2) require the institution to continually make progress toward improving the performance of CTE students. [Sec 113 (4)(A)]

Performance Reports

Each eligible institution that receives an allocation shall annually prepare and submit a report to the State regarding achievement of the agreed upon core indicators of performance. [*Sec. 113(b)(4)(C)*] The institution shall:

- Disaggregate data for each of the indicators of performance for each subgroup of students *(special populations)* as defined in the Act.
- Identify and qualify any disparities or gaps in performance between any subgroup of students and the performance of all students served under this Act.
- Make a core indicator performance report available to the public through a variety of formats, including electronically through the Internet.

Performance Improvement Plans for Unmet Core Indicators

Institutions are required to use a portion of the Perkins Program Improvement funds received to resolve performance deficiencies in Unmet Core Indicators. Based on the previous academic institutional data, a separate "Performance Improvement Plan" will be required for each institutional core indicator target not achieved. If all institutional performance measures were achieved, the eligible institution will not need to submit a "Performance Improvement Plan."

Sanctions

If the State fails to achieve, at an acceptable rate, the federal agreed-upon performance level for any of the core indicators, the State risks federal sanctions including a reduction of funds. The State's performance percentages reflect those of all participating eligible institutions. Failure of an institution to achieve the targeted percentages potentially penalizes Kansas and all of the eligible recipients through the loss or reduction of Perkins funding.

If a local institution fails to achieve, at an acceptable rate, the state/locally agreed upon performance level for any of the core indicators, the Kansas Board of Regents may, after notice and opportunity for a hearing, withhold a portion or all of the Perkins allotment if a local institution: [*Perkins Act: Sec. 123(b)(4)*]

- Fails to implement an improvement plan; or
- Fails to make any improvement in meeting any of the performance levels within first program year of implementation of the improvement plan; or
- Fails to achieve at least 90% of a performance level for the same core indicator for 3 consecutive years
-

d) Mandatory Activities and Best Practices

This section contains information regarding the nine mandatory activities, best practices/suggested activities, and planning pages for the Program Improvement Activities. These activity sheets must be submitted by all institutions seeking Perkins program improvement funding to describe the activities to be carried out and the funding amounts requested.

Throughout the Perkins legislation, suggestions for improving career and technical education programs were identified in the form of "mandatory and permissive activities" or goals and supportive "best practices" or strategies. [*Sec. 118—Occupational and Employment Information, Sec. 122—State Plan, Sec. 124—State Leadership Activities, Sec. 134—Local Plan, Sec. 135—Local Uses of Funds*] Funding is provided with the express intent of achieving the nine mandatory activities.

The following is a list of legislated mandatory activities and strategies (or best practices) to improve the quality of career and technical education programs and subsequently the knowledge and skills of students and attainment of the core indicators of performance. While the activities are mandatory, the supportive practices are suggestions worthy of careful consideration when completing the program improvement activity pages. Do not consider the "strategies/best practices" as prescriptive or all inclusive.

1. **Academic & Technical Activities**
   Perkins Legislation: *To strengthen the academic and technical skills of students by strengthening the academic and technical education components of programs.* [*Sec. 135(b)(1)*]

   Strategies/Best Practices
   - Integration:
     - Infusing academic skills into technical courses.
     - Using occupationally related applied learning strategies in academic courses.

- Alignment:
  - Linking academic content with challenging academic standards.
  - Linking technical content with relevant occupational knowledge/skills based on industry-recognized standards.
- Teaching technical students at the same rigorous academic standards as other students.
- Developing curriculum:
  - Using program advisory committees to validate learning outcomes.
  - Creating a rigorous, integrated and aligned curriculum.
  - Allowing academic and technical instructors to jointly develop curriculum.

2. Articulation/Postsecondary Linkages Activities

Perkins Legislation: *To link secondary with postsecondary career and technical education programs and postsecondary career and technical education programs with baccalaureate programs.* [*Sec. 135(b)(2), Sec. 135(c)(10)*]

Strategies/Best Practices

- Secondary/postsecondary articulation *(Mandated)*:
  - Updating or expanding "Articulation Agreements."
- Creating aligned and non-duplicative sequences of courses.
  - Permitting concurrent enrollment and/or dual credit.
  - Establishing policies/procedures for awarding advanced placement and credit.
  - Tracking and increasing utilization of existing articulation agreements.
- Postsecondary articulation *(Permissive)*
  - Linking career and technical education programs with baccalaureate programs.
  - Transferring academic/technical credits into baccalaureate colleges/universities.
- Securing annual approval of "Articulation Agreements" by lead administrators and KBOR

3. All Aspects of an Industry Activities

Perkins Legislation: *To provide students with strong experience in and understanding of all aspects of their chosen industry.* [*Sec. 135(b)(3)*]

Strategies/Best Practices

- Infusing "all aspects" into curricula and services:
  - Regional labor market statistics, trends and job requirements.
  - Horizontal and vertical career pathways to broaden career horizons.
  - General employability skills needed for career mobility and success.
  - Industry-wide management and production/service issues.
  - Other topics identified in the definition of "All Aspects of an Industry."
- Expanding business/industry involvement:
  - Assisting with entrepreneurship training.
  - Infusing expertise into classroom *(guest speakers, field trips, resources, etc.)*
  - Increasing work-based experiences *(field trips, job shadowing, internships).*
  - Using qualified business/industry professionals as adjunct faculty.
- Validating of curricula outcomes by program advisory committees.
- Employing personnel to coordinate business/industry experiences for students.

4. Use of Technology Activities

Perkins Legislation: *To develop, improve or expand the use of technology in career and technical education.* [*Sec. 135(b)(4)*]

Strategies/Best Practices

- Infusing and expanding relevant technology in programs.
- Leasing, purchasing, upgrading or adopting new technology and equipment.
- Developing or updating realistic multi-year equipment replacement schedules.
- Ensuring students acquire the technology related skills needed for entry into high-skill careers.
- Collaborating with technology industries to provide internships, mentoring, and employment experiences for students.
- Employing personnel to coordinate work-based technology experiences.

5. Professional Development Activities

Perkins Legislation: *To provide high quality and comprehensive professional development for teachers, counselors and administrators.* [*Sec 134(b)(4), Sec. 135(b)(5)*]

Strategies/Best Practices

- Establishing intensive and sustained professional development program.
- Focusing professional development activities on instruction:
  - Strategies for achieving "Core Indicators of Performance."
  - Methods of effectively integrating academic and technical education.
  - Incorporation of applied learning strategies.
  - Techniques for teaching "All Aspects of an Industry."
  - Staying current with needs, expectations and practices of business/industry.
  - Research based effective teaching skills.
  - Effective use and application of technology to improve instruction.
  - Improving instruction for Special Populations including nontraditional students.
  - Use of student and program assessment data to improve instruction.
  - Understanding requirements of Perkins legislation.
- Arranging relevant business/industry experiences and/or internships for educators.
- Establishing comprehensive professional development plan for each educator.
- Involving participants in determination and evaluation of in-service activities.

6. Program Evaluation Activities

Perkins Legislation: *To develop and implement evaluations of career and technical education programs, including how needs of special populations are being met.* [*Sec. 135(b)(6)*]

Strategies/Best Practices

- Tracking and reporting industry-recognized credential, certificate or degree awarded.
- Assessing/analyzing:
  - Attainment of "Core Indicators of Performance."
  - Student numbers and trends *(enrollment, completion, placement, etc.).*
  - Levels of student satisfaction.
  - Extent of academic and technical integration in courses/programs.
  - Involvement of business/industry *(school-based and work-based).*
  - Relevance of program to regional labor market.

- Creating and implementing student achievement and program evaluations.
- Developing program "Strategic Improvement Plans" based upon assessments.
- Utilizing program advisory committees:
  - Approving student learning outcomes.
  - Functioning as independent "third party" program evaluators.
  - Determining appropriateness of program "Strategic Improvement Plans."
- Ensuring preparation for nontraditional fields is encouraged and respected.

7. Improve, Expand and Modernize Program Activities

Perkins Legislation: *To initiate, improve, expand and modernize quality career and technical education programs.* [*Sec. 135(b)(7)*]

Strategies/Best Practices

- Expanding program offerings at times or in formats more accessible for students.
- Curriculum development activities
- Acquire external business and industry related program certification/accreditation
- Implement program modifications to ensure program and student learning outcomes are aligned with business and industry standards
- Involving program advisory committees in improving and modernizing programs.

8. Sufficient Size, Scope and Quality Activities

Perkins Legislation: *To provide services and activities that are of sufficient size, scope and quality to be effective.* [*Sec. 135(b)(8)*]

Strategies/Best Practices

- Refining programs to incorporate elements in Perkins definition of "Programs of Study."
- Keeping "program approvals" up-to-date with the Kansas Board of Regents.
- Ensuring classroom/laboratory settings simulate workplace environments.
- Staffing programs with adequate personnel *(faculty and support).*
- Increasing student enrollment, completion and placement to justify program continuation.
- Basing breadth and depth of learning outcomes on current job requirements.
- Using program advisory committees:
  - Evaluate extent programs meet regional labor market needs.
  - Annually validate the occupational relevancy of learning outcomes.
- Increasing business/industry involvement:
  - Expanding work-based experiences *(job shadowing, internships, cooperative education, work experiences, etc.).*
  - Infusing expertise into classroom *(guest speakers, field trips, resources, etc.).*
- Ensuring programs prepare students for high skill, wage or demand occupations.
- Ensuring preparation for nontraditional careers is encouraged and respected.
- Improving accessibility to program information and statistics for prospective students and the public.
- Providing career guidance and academic counseling.

9. Special Populations Activities

Perkins Legislation: *To provide activities to prepare special populations for high skill, wage or demand occupations that will lead to self-sufficiency.* [*Sec. 135(b)(9)*]

Strategies/Best Practices

- Establishing or refining non-discrimination policies/procedures.
- Implementing strategies to overcome program enrollment and completion barriers.
- Redesigning programs to enable attainment of "Core Indicators of Performance."
- Making modifications to curriculum or equipment.
- Employing classified/supportive personnel/aides.
- Expanding/aligning student services *(assessment, counseling, financial aid, job placement).*

The application includes a Perkins Program Improvement Activity and Progress Report page for each of the **nine mandated Perkins activities** and for **grant administration**. Remember, the purpose of these activities is program improvement and ultimately attainment of the core indicators of performance accountability measures. The goal of each activity is specified in the legislative reference on each of the program improvement activity pages. When completing the activity pages, consider some of the strategies/best practices presented in the previous section, review the findings from the most recent Perkins monitoring visit and/or institutional program review and use the following format:

Program Improvement Activity Page Format

Item Heading	Information to be Inserted
Line #	Line number for activity
Strategy/Activity	Identify the strategies/activities that will be taken to achieve the specified goal and improve the quality of a Perkins-approved CTE programs and core indicator performance
Evaluation Measures	Identify what will be used and/or how the strategies/activities will be evaluated to measure effectiveness. These measures should be **observable** and **quantifiable**.
Responsibility/Timeline	Identify the person(s) who will oversee implementation, monitoring, and evaluation of each strategy and significant dates or achievement points throughout the year to assist with monitoring activities.
Funding	Identify the amount of Perkins funding allocated to each strategy. If the activity is funded from a source other than Perkins, simply identif the source as "local."

e) Budget Items

The requested grant funding will be based upon the nine mandated Perkins activities; funding requests should be consistent with the costs listed in the Activity and Progress Report. In addition, a detailed Breakdown of Expenditures form is required. Sample Budget and Breakdown of Expenditure forms are available in the Appendix.

f) Revisions to an Approved Grant

To facilitate smooth auditing of all Carl Perkins Program Improvement Grants, institutions should utilize a specific protocol when initiating revisions. Revisions will be considered after the annual Carl Perkins Program Improvement Grant has been submitted, accepted, and approved by KBOR. Please use the following format for any subsequent revision requests:

A. Call or e-mail your designated Associate Director of Career Technical Education at KBOR to discuss the proposed revisions to the grant, including the rationale for requesting the revision.

B. For revisions within the same goal category: **Required Document-Revision Request Form.** If the revisions involve transferring funds for an activity within the same goal category, the request should be submitted, via email, using the "Perkins Program Improvement Revision Request" form (see Appendix). Consideration of the request and notice of approval (if granted) will be through email correspondence. All revisions should be reflected in the subsequent Progress Report (see next section).

C. For revisions between goal categories: **Required Documents-Revision Request Form & Budgeted Breakdown of Expenses.** If the revisions involve transferring funds from one goal category to another goal category, the request should be submitted, via email, using the "Perkins Program Improvement Revision Request" form (see Appendix). Revisions will be considered upon receipt of the completed revision form, including acknowledgement that fiscal/accounting at your institution has been notified of the change, and a revised Breakdown of Expenses with the preparer's signature only. Notification of approval (if granted) will be through email correspondence. All revisions should be reflected in the subsequent Progress Report (see Reporting Requirements section).

    a. If funds are being moved to a new goal category to support activities that are already approved, AND the funding involved is less than 5% of the goal category, no documentation need be submitted to KBOR.

    b. If the item is not approved, but less than 5% of the goal category, a Revision Request is required but a breakdown of expenses is not required.

D. For revisions to Goal #5 Professional Development: Revision Request form is required if a NEW conference or training is added that was not on your original application. If fund amounts are changing within the goal (ex: costs are more or less than estimated), NO revision request is required.

E. For revisions to Equipment: The Revision Request form is required if a New piece of equipment is to be purchased that was not on your original application. If fund amounts are changing within the goal (ex: costs are more or less than estimated), NO revision request is required.

All revision requests must be approved by KBOR staff **prior** to the occurrence of the revised activity/expenditure. If revisions are not approved prior to the occurrence of the activity, your institution may be cited for non-compliance and may be subject to a Corrective Action plan.

Revision requests are due on or before **the mid-May deadline (see CTE calendar on the KBOR website www.kansasregents.org for exact dates)**. This will allow more timely and efficient audits of the grants. However, if you have funds that have not been spent, contact KBOR for guidance to avoid an unnecessary return of funds.

g) Reporting Requirements

Grant recipients must provide two progress reports -- on or before **December 1st** and on or before **March 1st** -- detailing the status of all project activities and expenditures, and time and effort records as of that date. A final narrative and final expenditure report forms must be submitted no later than **the mid-August deadline (see CTE calendar on the KBOR website www.kansasregents.org for exact dates)**. All Progress Reports should be submitted electronically with just the preparer's signature on the Budgeted Breakdown of Expenses. The Final Report, once approved by KBOR, should also be submitted electronically with all signatures (president & preparer's signature on the Budgeted Breakdown of Expenses & the president's signature on the Expenditure Report).

Progress Reports include the following information:

• Program Improvement & Activities Report	Appendix I
• Budget Matrix Form	Appendix L
• Budgeted Breakdown of Expenses Spreadsheet	Appendix M
• Time & Effort Report	Appendices X and Y

The Preparer signature is required on the Progress Report.

Final Report includes the above documents as well as the following information (templates on kansasregents.org):

• Perkins Program Improvement Activity and Progress Report	Appendix I
• Final Budget Matrix Form	Appendix L
• Final Budgeted Breakdown of Expenses	Appendix M
• Professional Development Report	Appendix N
• Final Expenditure Report for Equipment <$5,000	Appendix P
• Final Expenditure Report for Equipment >$5,000	Appendix Q
• Final Narrative Report	Appendix R
• Program Income Report	Appendix S
• Articulation Agreement List with Secondary	Appendix T
• Articulation Agreement List with Postsecondary	Appendix U
• Advisory Committee Meeting Dates	Appendix V
• Copy of minutes from one meeting per each program	Appendix W
• Time & Effort Reports	Appendixes X, Y and /or Z

The institution's President and the Preparer sign the Final Report.

If a required report is completed by another division within the Institution (i.e., the Business Office), the Perkins Coordinator should verify the report is completed and submitted on or before the due date(s).

IV) PERKINS RESERVE FUND GRANT

The Perkins Reserve Fund Grant provides funds for special projects to develop and/or expand programs to address regional or statewide workforce development needs in high skill, high wage, or high demand occupations in critical or emerging industries.

Please visit http://www.kansasregents.org for further details on the current Reserve Fund Grant fund availability.

V) PERKINS LEADERSHIP FUND GRANT

With the approval of the Kansas State Plan for Career and Technical Education, state leadership funds are used to conduct state leadership activities. These activities include (but are not limited to):

- Preparing special populations for further education or training for high skill, high wage or high demand occupations;
- Developing, improving or expanding the use of technology in career and technical education;
- Professional development programs for career and technical faculty; and
- Program alignment to include program accreditation and/or faculty certification.

Please visit http://www.kansasregents.org for further details on the current Leadership Grant availability.

VI) PERKINS COORDINATOR

The designated Perkins Coordinator for the institution has certain responsibilities and expectations which are essential to the institution's successful attainment of the Core Indicators of Performance as defined in the Carl D. Perkins Career and Technical Education Act of 2006. These responsibilities include, but are not limited to, the following:

1. Be knowledgeable about the Five-Year Kansas State Perkins Plan
2. Be knowledgeable about the Kansas Perkins processes
3. Prepare Perkins application
4. Serve as central point of contact for communications concerning all Perkins grants
5. Serve as central point of contact for on-campus visits by KBOR staff in connection with Perkins
6. Manage all Perkins funded grants:
    a. Perkins Program Improvement
    b. Perkins Reserve Fund
    c. Perkins Leadership

7. Submit all reports:
    a. Perkins Program Improvement Grant
        i. Activity Progress Report (Appendix I)
            1. December 1
            2. March 1
            3. August Final Report (see CTE calendar on www.kansasregents.org)

        ii. Budget Matrix Report (Appendix L)
            1. December 1
            2. March 1
            3. August Final Report (see CTE calendar on www.kansasregents.org)

        iii. Breakdown of Expenses Report (Appendix M)
            1. December 1
            2. March 1
            3. August Final Report (see CTE calendar on www.kansasregents.org)

        iv. Final Report (see CTE calendar on www.kansasregents.org for due dates)
            1. Documents indicated above
            2. Dates of Advisory Meetings (Appendix W)
            3. Advisory committee minutes (one meeting per each program)
            4. List of Articulation agreements (Appendixes T & U)
            5. Program Income spreadsheet (Appendix S)
            6. Time & Effort Reporting (Appendixes X,Y and/or Z)

    b. Perkins Reserve Fund (as outlined in application)
    c. Perkins Leadership Fund (as outlined in application)
8. Assist with the institutional data collection process (see Data Information section)
9. Maintain fiscal integrity for the use of Perkins Funds

The Perkins Coordinator also serves as the Institutional Coordinator and makes all arrangements for the Perkins Review, Compliance, and Technical Assistance Process On-Site visit prior to the review date. The following section provides the coordinator with additional information necessary to plan the agenda and the Perkins Review, Compliance, and Technical Assistance Process on-site visit. All new Perkins Coordinators are required to attend a KBOR grant management training.

VII) QUALITY ASSURANCE PROCESS

a) Overview

The Kansas Board of Regents/Career Technical Education staff, with guidance from the Office of Career Technical and Adult Education, and Brustein Manasevit, LLC, developed the Quality Assurance process to identify local and regional strengths, promising practices and areas for program improvement. In addition, the process is designed to meet state and federal requirements utilizing a risk assessment monitoring system.

This risk based system:

- Is collaborative in nature
- Recognizes positive practices
- Identifies findings and concerns related to program quality and compliances
- Supports systemic and continuous program improvement

Intended Outcomes

1. Review all documentation submitted for state-approved career and technical education program(s) to verify program quality and student performance;

2. Assure that funds have been expended appropriately and are used in compliance with federal and state policies and legislative mandates:
   - Federal Carl D. Perkins Program Improvement Grants
   - Federal Carl D. Perkins State Leadership Grants
   - Federal Carl D. Perkins Reserve Fund Grants
   - State Innovative Technology Grants
   - State Innovative Technology Internship Grants

3. Protect against waste, fraud, and abuse;

4. Identify technical assistance needs; and

5. Identify promising practices

b) Selection Process

Carl D. Perkins Grant Quality Assurance Plan

As a pass-through entity, Kansas Board of Regents awards federal grant funds to eligible sub recipients for career technical education. The responsibilities of pass-through entities are given in Title 2 of the Code of Federal Regulations, Part 200.331, which requires KBOR to evaluate each sub recipient's risk of noncompliance with federal statutes, regulations, and the terms and conditions of the sub award for the purposes of determining the appropriate sub recipient monitoring.

Overall Risk Assessment Process and Special Conditions

To comply with this requirement, Kansas Board of Regents conducts an annual risk assessment of all sub recipients to determine their potential risk of noncompliance. In evaluating the risks posed by the grant recipients, KBOR utilizes a risk-based approach and considers the following:

- Funds Returned/Unspent/Misspent/Not drawn (10 pts)
- Single Audit findings (if single audit required at institution) (10 pts)
- Failure to Meet Core Indicators (6 pts)
- Failure to Meet Deadlines (5 pts)
- Data Reporting Issues (includes unlocking after certification) (10 pts)

- Failure to Tag Equipment in Plan Year (5 pts)
- Change in Essential Personnel (Data, Perkins, Finance, etc.) (10 pts)
- Noted Violations in EDGAR Regulations (10 pts)
- Not Monitored in Last 4 Years (5 pts)
- Failure to Follow Grant Guidelines (5 pts)
- Public Risk Perception (10 pts)
- New personnel attend KBOR trainings (-5 pts)

Types of Assistance

Results from the Quality Assurance tool (APPENDIX FF) will determine what level of monitoring an institution may expect to receive from KBOR staff. This could include technical assistance, on-site visit, desk review, corrective action plan or withholding funds.

Perkins Monitoring Reviews, either on-site visits or desk reviews, will be conducted each year by Board of Regents staff. Board of Regents staff may also conduct additional targeted visits to an institution or region as necessary. Using established selection criteria and reports, staff will review the following components of the grant based on a risk analysis assessment:

- Perkins Program Review of Approved Programs
- Perkins Data Evaluation and Accountability
- Civil Rights Review
- Perkins Fiscal Program Review

The monitoring review is also intended to facilitate communication and information exchange during the process to assist institutions with such challenges as:

- Improving program quality;
- Improving program alignment with state and national standards;
- Increasing support for state initiatives;
- Factors that may enhance or detract from the availability of high-quality CTE programs;
- Expanding student opportunities to achieve industry-recognized certification and credentials for Career and Technical Education studies

Feedback received from institutional coordinators will be used in the planning and development of future goals for Career and Technical Education in Kansas.

The Perkins components to be reviewed (and verified by documentation) are:

- Perkins Data Evaluation and Accountability
  <u>Desk Review</u>
  - Pell Counts validated
  - Course data matched to Program
  - 3 Year Core Indicator
    - Institutional
    - Program
  - Basic Counts (CTE)

- Perkins Fiscal Program Review

  Desk Review

  – All original invoices are on file locally (submit copies to KBOR)
  – Institutional inventory records are maintained and accurate
  – Inventory Control measures are documented and followed
  – All equipment purchased was reported
  – Equipment was not used to provide services for a fee
  – Perkins funds are expended in a timely manner
  – Records identify payment of allowable costs
  – A Perkins account has been established
  – Perkins funds supplement, not supplant, existing funds
  – No variance is greater than 5% of the budget line item
  – Time and effort sheets are on file for any employee whose salary is paid through Perkins funds

- Perkins Review of Approved Programs

  On-site Review

  Two Levels – Program & Institution

  – Core Indicators of Performance
  – Academic & Technical Competencies
  – Industry-recognized Credential
  – All Aspects of an Industry
  – Size, Scope & Quality
  – Initiate, Improve, Expand and Modernize
  – Use of Technology
  – Professional Development
  – Special Populations
  – Secondary/Postsecondary Linkages
  – Program Evaluation
  – Program Advisory Committees
  – State ID tag has been affixed to all equipment $5,000 or more

- Civil Rights Review

  On-site Review

  To monitor compliance with Federal civil rights laws prohibiting discrimination in programs or activities receiving federal financial assistance from the U.S. Department of Education, KBOR staff conducts desk audits as outlined in the targeting plan for each of the institutions selected for review. Following the desk audits, two institutions will be selected (based upon a point system) for on-site monitoring visits. The *Kansas Guide for Civil Rights Review* is available at www.kansasregents.org (Civil Rights Monitoring Information KBOR OCR Manual) to assist institutions in reviewing their current policies and practices and in preparing for an on-site monitoring visit. More detailed information regarding specific laws applicable may be found at the U.S. Department of Education, Office of Civil Rights website.

Additional Award Conditions (2 CFR Part 200, 200.207)
When an applicant has a history of failure to comply with the general or specific terms and conditions of a federal award, fails to meet expected performance goals, or is not otherwise responsible, the State may impose additional specific award conditions, such as:

- Requiring monthly receipt review before reimbursement of grant funds
- Requiring additional, more detailed financial reports
- Requiring additional project monitoring
- Requiring the grantee to obtain technical or management assistance
- Establish additional prior approvals

Applicant must notify KBOR with documentation of meeting special conditions.

- Documentation associated with condition will be maintained in a special file by KBOR

When an applicant warrants a special condition, the notification will provide structure and communicate the requirements of the special condition. *

- The nature of the additional requirements
- The reason why the additional requirement is being imposed
- The nature of the action needed to remove the additional requirement
- The time allowed for completing the actions
- The method for requesting reconsideration of the additional requirements imposed

**Kansas Board of Regents reserves the right to determine the type of risk assessment by sole point assignment or combination of point assignments and working knowledge of the institution.*

The risk assessment score from the prior grant year determines the special condition completed in the current grant year. If the special condition is not met or exposes further risk, then additional special conditions may be assigned at the discretion of the Kansas Board of Regents.

Conditional Compliance

Once the requirements of the special condition have been met and removal of the condition is recommended, the recipient will be notified immediately. Kansas Board of Regents will maintain documentation of the special condition and what was done to satisfy the requirements for both internal and audit purposes for the U. S. Department of Education.

Remedies for Noncompliance (2 CFR 200.338)

If there is failure to comply with Federal statutes, regulations or the terms and conditions of a Federal award, the Federal awarding agency or pass-through entity may impose additional conditions, as described in 200.207 Specific Conditions. If the Federal awarding agency or pass-through entity determines that noncompliance cannot be remedied by imposing additional conditions, the Federal awarding agency or pass-through entity may take one or more of the following actions, as appropriate in the circumstances.

- Temporarily withhold cash payments pending correction of the deficiency by the non-Federal entity or more severe enforcement action by the Federal awarding agency or pass-through entity.
- Disallow (that is, deny both use of funds and any applicable matching credit for) all or part of the cost of the activity or action not in compliance.
- Wholly or partly suspend or terminate the Federal award.
- Initiate suspension or debarment proceedings as authorized under 2 CFR part 180 and Federal awarding agency regulations (or in the case of a pass-through entity, recommend such a proceeding be initiated by a Federal awarding agency).
- Withhold further Federal awards for the project of program.
- Take other remedies that may be legally available.

Risk Assessment Matrix by Category

Funds Returned/Unspent/Misspent/Not drawn (10 pts)

Institutions will be assigned point according to the severity of the above violation.
(2 CFR 200.303 (a))

Single Audit findings (if single audit required at institution) (10 pts)

The Kansas Board of Regents Accounting Department receives a copy of the sub recipient's single audit. If the KBOR accounting office does not receive a copy of the single audit, the institution is contacted to determine the reason for non-compliance. The single audit is then either submitted by the institution or documentation is provided proving that the institution receives less than $750,000 in federal funds and is not required to have a single audit.

The single audits are reviewed by KBOR accounting personnel and if a letter of findings is part of the audit, KBOR accounting office notifies the Senior Director of Adult & Career Technical Education so that it will be noted on the Quality Assurance matrix. (2 CFR 200.331 (b) (2))

Failure to Meet Core Indicators (6 pts)

Institutions are assigned points according to the severity of the above violation.

Failure to Meet Deadlines (5 pts)

Institutions are assigned points according to the severity of the above violation. ALL required documents must be submitted by the deadline and in good order.

Data Reporting Issues (includes unlocking after certification (10 pts)

Institutions are assigned points according to the severity of the above violation. KBOR Data personnel conduct an internal audit of any institutional issues pertaining to data and submit the report to the Senior Director of Adult & Career Technical Education. The report is considered when assigning points to this category.

Failure to Tag Equipment in Plan Year (5 pts)

Institutions are assigned points according to the severity of the above violation. (2 CFR 200.313 (b))

Change in Essential Personnel (Data, Perkins, Finance, etc.) (10 pts)

Institutions are assigned points according to the severity of the above violation. (2 CFR 200.331 (b) (3))

Noted Violations in EDGAR Regulations (10 pts)

Institutions are assigned points according to the severity of the above violation.

Not Monitored in Last 4 Years (5 pts)

The types of reviews consist of Perkins Program Review of Approved Programs, Perkins Data Evaluation and Accountability, Civil Rights Review, Perkins Fiscal Program Review.

Failure to Follow Grant Guidelines (5 pts)

Institutions are assigned points according to the severity of the above violation.

Public Risk Perception (10 pts)

Institutions are assigned points according to the severity of the above violation.

New personnel attend KBOR Perkins Grant management training (-5 pts)

KBOR personnel can recommend removing risk points from the matrix based on the attendance of Perkins grant management training.

Notification of Selection

Written notification of the on-site visits or desk audits will be sent in the fall of fiscal year in which the visit will occur. The letter will include suggested dates for the visit (if needed) and related materials. It will also include the name and contact information for the Kansas Board of Regents/Career and Technical Education staff member serving as the On-Site Team Leader for the visit.

c) On-site Visits

The Perkins Monitoring Review on-site visit is the combination of review and preparation activities to provide information supporting the purpose stated in the overview of the Perkins Review, Compliance and Technical Assistance process and culminates in a Board of Regents/Career and Technical Education

staff visit to the institution. In general, Perkins Review, Compliance, and Technical Assistance Process on-site visits are completed in one day and are conducted by a Kansas Board of Regents/Career and Technical Education on-site team. The on-site visit includes: 1) an introductory meeting and overview, 2) a review of requested documentation, 3) a review of CTE programs and activities on campus and 4) an exit interview.

At least three (3) weeks prior to visit, the Perkins Monitoring Review On-Site Team Leader will provide an agenda for the on-site visit which will include:

- The on-site visit date
- The targeted areas of review (i.e., technical program curriculum, tagged equipment, etc.)
- Requests for interviews with specific instructors and/or institution personnel (if needed)

Each of the review processes will require the submission of documents prior to the arrival of the on-site team. These documents are outlined in the materials pertaining to the specific type review process.

The institutional Perkins Coordinator should confer with the on-site Team Leader prior to visit to ensure:

- All institutional staff members participating in the review are notified
- The time and location of the overview are determined
- All required documentation is submitted to the On-Site Team Leader prior to visit
- All relevant staff (including building staff) are notified of the on-site visit

1) On-Site Introductory Meeting and Overview

The Perkins Review, Compliance and Technical Assistance Process meeting and overview will be conducted during normal working hours. The Perkins coordinator co-conducts the meeting with the Kansas Board of Regents/Career and Technical Education On-Site Visit leader. The institutional Perkins Coordinator determines the location of the overview meeting and the institutional participants for the meeting. The inclusion of the institution president, institution vice-presidents, and/or deans is beneficial. The institutional grant, finance and institutional research leaders may also attend this meeting.

2) Documentation Review

During the documentation review, the on-site review team examines and compares compiled evidence with the previously approved grant applications/reports and other appropriate documentation data. To allow the review to proceed in a timely manner, all requested documents, material and records should be organized and available in a central location.

3) CTE Programs and Activities Review

During the CTE Programs and Activities review, Kansas Board of Regents/Career and Technical Education staff will:

- Observe Career and Technical Education classrooms and labs
- Converse with instructors and students
- Review documents
- Review program facility and equipment
- Meet with institutional administrator(s) for report out

All buildings and facilities, especially those operating Career and Technical Education programs, are to be available to the On-Site Visit Team members for observation. Individual and/or group interviews may be requested by the KBOR Career and Technical Education staff prior to or during an on-site visit. Institutional Coordinators will assist in the organization of this activity.

4) Exit Interview

The exit interview is the final activity of the on-site visit. It is designed to provide institutional staff with:

- An initial summary of the on-site visit and some general findings
- Timelines for the written report of findings
- Appeal process information
- An opportunity for institutional participants to evaluate the on-site review process

The institutional Perkins Coordinator will identify and invite the institutional representatives to attend this meeting. KBOR Career and Technical Education staff recommends those who attend the overview also be present for the wrap-up, in addition to other staff member interested in the general findings of the on-site visit team.

Within 30 days of the on-site review or the completion of the desk review, a letter detailing the results of the review will be mailed to the institution.

For Civil Rights (OCR) reviews, a Letter of Findings, which details the items discussed in the exit interview, will be mailed to the institution within 60 days of the review. If any Perkins exceptions or violations are noted during the OCR review, the institution is required to complete a Voluntary Compliance Plan. This plan will:

- Outline corrective actions the institution must take.
- Identify person(s) responsible for the completion of each action
- Identify when action will be completed
- Identify how the institution will verify completion of action

Targeted Visits

In addition to the scheduled on-site reviews, Kansas Board of Regents staff may also conduct limited "targeted" visits. These "targeted" visits will address very specific areas of concern.

Notification of "targeted" visits will be provided at least 30 days prior to the visit. Selection for a "target" visit is based upon the following criteria:

- Technical program performance
- Analysis of submitted reports
- Data quality and/or data collection issues
- Financial issues
- Grant management performance, including timely submission(s)
- Perkins core performance indicator levels

VIII) FISCAL INFORMATION

a) Accessing funds

Carl D. Perkins Electronic Drawdown Information

Step 1
Establish a login account for KHEDS.
A login and password will be required of all institutional contacts completing the drawdown form. **If you already have an account in the system for another project, you do not need to create a new account. If you have login access to KHEDS but do not have access to the "KBOR Project" area, contact Connie Beene at cbeene@ksbor.org for assistance.**

Helpful Hints:

- The User ID must be at least 8 characters long, no spaces.
- The password must be at least 8 characters long with 1 numeric character and 1 non-alphabetic character (e.g., ! @ # $ %...)
- In the "Choose Project" drop-down box, choose "KBOR Project"
- Select "Write" access
- For the "Reason for Account", state that you are the person responsible for Perkins drawdown. This doesn't need to be a long explanation.
- Be patient for a return email notifying you that the account has been setup. It might take 5-10 minutes.
- After receiving the account setup notification email, login to check that you account has been established.

Step 2
Complete the drawdown form on the KHEDS system, http://data.kansasregents.org/. KBOR will provide the due dates for the first round of draw requests; the deadline for additional requests will be the 15th of each month.

Helpful Hints:

- Select the "Login" link on the top left area of the webpage, http://data.kansasregents.org/. This will take you to the login form page where you will enter your "User ID" and "Password".
- After login, select the "Project" drop-down box on the top left area of the webpage.
- From the drop-down menu, select the "KBOR Project" option.
- A change profile webpage will appear. Change "Year" to current year and click the "Update" button.
- A reimbursement request form will appear. In the top right corner of the webpage a tab(s) will appear for the each drawdown request form you have access to (e.g. ARRA, Adult Education, Perkins).

- Click the Perkins tab and complete the form with the total amount of cash requested for this draw period. **Remember, you should only be requesting reimbursements for expenditures that have occurred or will occur within 3 days of receiving the funds.**
- Finally, click on the "Save" button at the bottom of the screen. Revisions or changes will override previous saved submissions(s) and can be made up to the deadline date. After the deadline for each draw period, the last saved request will be submitted to our office for processing.

NOTE: Funds should be requested as reimbursement for expenses. Institutions will be required to complete a Corrective Action Plan (see Appendix GG) if:

- **10% or more of Perkins Program Improvement funds are returned or not drawn down in a fiscal year.**
- **Perkins Program Improvement funds are returned in 2 consecutive years, regardless of the amount.**

b) Designated Perkins Account

Perkins Grant funding is subject to the Education Department General Administrative Regulations (EDGAR), which establish the standards for financial management systems (See Appendix). As such, all Perkins funds should be maintained in a separate, distinct account within the postsecondary institutions and all Perkins expenditures should be easily identifiable.

As a reminder, no more than 50% of the total Program Improvement budget may be spent for equipment. The equipment must be required for training in high skill, high wage or high demand occupations. **Funds may not be used to replace existing worn-out equipment.**

No more than 50% of the total Program Improvement budget may be spent for salaries. Any new position is allowed some level of funding **for no more than 3 years**. A new position may be funded at 100% the first year. The same position is eligible to receive 2/3 funding for the second year and 1/3 funding for the third year. If the duties for the funding position are not 100% directly Perkins related, time and effort sheets must be maintained for verification**. A detailed job description must accompany the application for Program Improvement Funds for all positions funded through Perkins.**

Perkins funds cannot be encumbered or expended across fiscal years. For example, funds in the current fiscal year cannot be expended or encumbered for travel occurring in the following fiscal year.

Federal regulations require any Federal funds must be expended within three days of receipt (electronic drawdown). **In addition, sub-grantees may not obligate (or encumber) more than 25% of their allocated funds between the award date and October 1st of the award year.**

All requests for reimbursement (electronic drawdown) must be completed on or before **mid-June deadline** (see CTE Calendar on KBOR website) of the award year. Recipients will be notified of the specific date via email. Any unspent funds will result in a required Corrective Action Plan if more than 10% of funds are not

spent in a fiscal year or if any amount of funds has not been drawn down in 2 consecutive years. See Appendix for further details on General Perkins Postsecondary Accounting Practices.

Grant funds which are not expended or encumbered by June 30th of the award year must be returned to KBOR no later than **mid-August deadline** (see CTE calendar on www.kansasregents.org for deadlines).
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c) Time & Effort Reporting

The Appendix to 2 C.F.R. Part 225 (formerly OMB Circular A-87, *Cost Principles for State, Local, and Indian Tribal Governments*) requires any employee whose salary and wages are supported, in whole or in part, with Federal funds to document his/her time spent working on Federal programs in order to ensure that charges to each Federal program reflect an accurate account of the employee's time and effort devoted to that program. The Appendix addresses two types of documentation: semiannual certifications and personnel activity reports (PAR) – hourly or percentage-based.

Semiannual certifications (see Appendix X).

If 100% of an employee's salary is paid by the Perkins Program Improvement grant, charges for the employee's salary and wages must be supported by semi-annual certifications. Those certifications must --

- Be prepared at least semiannually.
- Signed by the employee or supervisory official having firsthand knowledge of the work performed by the employee.
- Signed **AFTER** the time and effort reporting period ended.

Personnel activity report (PAR) (see Appendix Y – hourly and Appendix Z – percentage-based)

If an employee works on multiple activities or cost objectives, a distribution of the employee's salary and wages must be supported by a personnel activity report (PAR) or equivalent documentation (that has been approved by KBOR staff). A PAR is required if an employee is being paid from more than one funding stream. The institution can choose to report hourly or based on the percentages.

A PAR must –

- Reflect an after-the-fact distribution of the actual activity of the employee.
- Account for the total activity for which each employee is compensated.
- Be prepared at least monthly and coincide with one or more pay periods.
- Be signed by the employee **AFTER** the time and effort reporting period ended.

d) General Perkins Postsecondary Accounting Practices

ITEM	EXPLANATION
Equipment	No more than 50 percent of the total budget may be spent for equipment. The equipment must be required for training in high skill, high wage, or high demand occupations. Advisory committees and local business and industry representatives should be used as valuable resources while determining equipment needs. **NOTE: Funds may not be used to replace outdated equipment.**
Fiscal Management	Federal funds cannot be held for a period longer than three days. As such, any electronic drawdown must be expended within three days of the transfer of funds. No more than 25% of the total allocation may be expended, obligated or encumbered prior to **October 1st** of the current allocation year. All requests for reimbursement (electronic drawdown) must be completed on or before **mid-June** of the award year.
Salaries	No more than 50 percent of the total budget may be spent for salaries. **NOTE: Any new Perkins-approved CTE position is eligible for some level of funding (for the first 3 years only). A new position may be funded at up to 100% for the first year of the position. The position will then be funded at 2/3 of the original funded amount for the second year and 1/3 of the original funded amount for the third year. Salary for the position will become the institution's responsibility after 3 years. If the duties of this position are not 100% directly Perkins related, timesheets must be maintained for verification. A detailed job description for the new position must accompany the Local Application for Program Improvement Funds.**
Encumbrances and Expenditures Across Fiscal Years	Perkins funds cannot be encumbered or expended across fiscal years. **EXAMPLE: Perkins funds in the current fiscal year cannot be expended or encumbered for travel occurring in the next fiscal year.**

e) Federally Required Accounting Practices

2 C.F.R. Part 200 —Uniform Administrative Requirements for Grant Operative Agreements to State and Local Governments

Standards for financial management systems

(b): The financial management systems of other grantees and sub grantees must meet the following standards:

(1) *Financial reporting.* Accurate, current, and complete disclosure of the financial results of financially assisted activities must be made in accordance with the financial reporting requirements of the grant or sub

(2) grant.

(3) *Accounting records.* Grantees and sub grantees must maintain records which adequately identify the source and application of funds provided for financially-assisted activities. These records must contain information pertaining to grant or sub grant awards and authorizations, obligations, unobligated balances, assets, liabilities, outlays or expenditures, and income.

(3) *Internal control.* Effective control and accountability must be maintained for all grant and sub grant cash, real and personal property, and other assets. Grantees and sub grantees must adequately safeguard all such property and must assure that it is used solely for authorized purposes.

(4) *Budget control.* Actual expenditures or outlays must be compared with budgeted amounts for each grant or sub grant. Financial information must be related to performance or productivity data, including

the development of unit cost information whenever appropriate or specifically required in the grant or sub grant
agreement. If unit cost data are required, estimates based on available documentation will be accepted whenever possible.
(5) *Allowable cost.* Applicable OMB cost principles, agency program regulations, and the terms of grant and sub grant agreements will be followed in determining the reasonableness, allowability, and allocability of costs.
(6) *Source documentation.* Accounting records must be supported by such source documentation as cancelled checks, paid bills, payrolls, time and attendance records, contract and sub grant award documents, etc.
(7) *Cash management.* Procedures for minimizing the time elapsing between the transfer of funds from the U.S. Treasury and disbursement by grantees and sub grantees must be followed whenever advance payment procedures are used. Grantees must establish reasonable procedures to ensure the receipt of reports on sub grantees' cash balances and cash disbursements in sufficient time to enable them to prepare complete and accurate cash transactions reports to the awarding agency. When advances are made by letter-of-credit or electronic transfer of funds methods, the grantee must make drawdowns as close as possible to the time of making disbursements. Grantees must monitor cash drawdowns by their sub grantees to assure that they conform substantially to the same standards of timing and amount as apply to advances to the grantees.
(c) An awarding agency may review the adequacy of the financial management system of any applicant for financial assistance as part of a pre-award review or at any time subsequent to award.

IX) DATA INFORMATION

a) Accessing the KBOR Database

The mission of the Kansas Higher Education Data System (KHEDS) (URL**: www.data.kansasregents.org)** is to create a database system to support the informed decision-making capabilities desired by the Kansas Board of Regents through the collection, analysis, and reporting of postsecondary education data in Kansas.

The KHEDS website has both a public and private section. Aggregate reports appropriate for public viewing can be found on the website without a login. Student specific reports or data submission options require the user to be granted access from the KBOR Data, Research and Planning (DRP) unit. Click the login link on the KHEDS Home Page to initiate any of the login procedures.

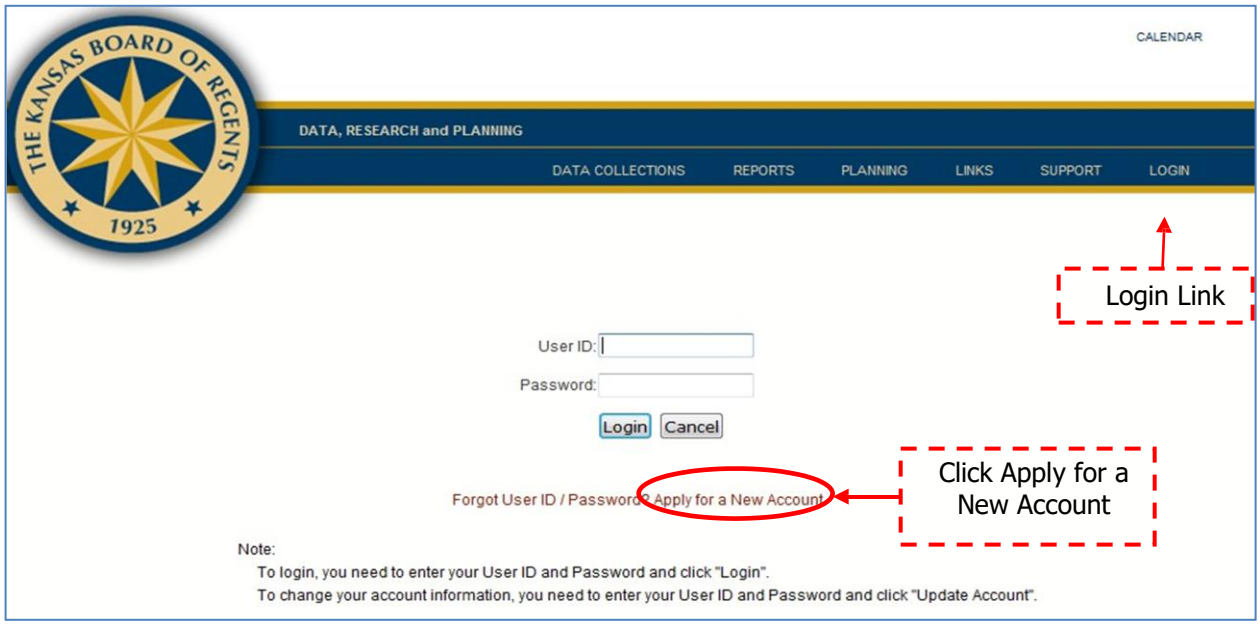
The help desk is available to assist you with any database related questions. Please email all questions to **irhelp@ksbor.org**. For your convenience, there is an email link to this address on the general information tab of each project on the website.

IRHelp email is the recommended method of contact. Questions will be routed to the appropriate staff member and responded to as quickly as possible. If the appropriate staff member is unavailable, another team member may be able to provide assistance or advise if there will be a delay in response. Issues may also be discussed via telephone; please send an email request for a phone response to IRHelp. This will allow DRP staff to provide service in the timeliest manner.

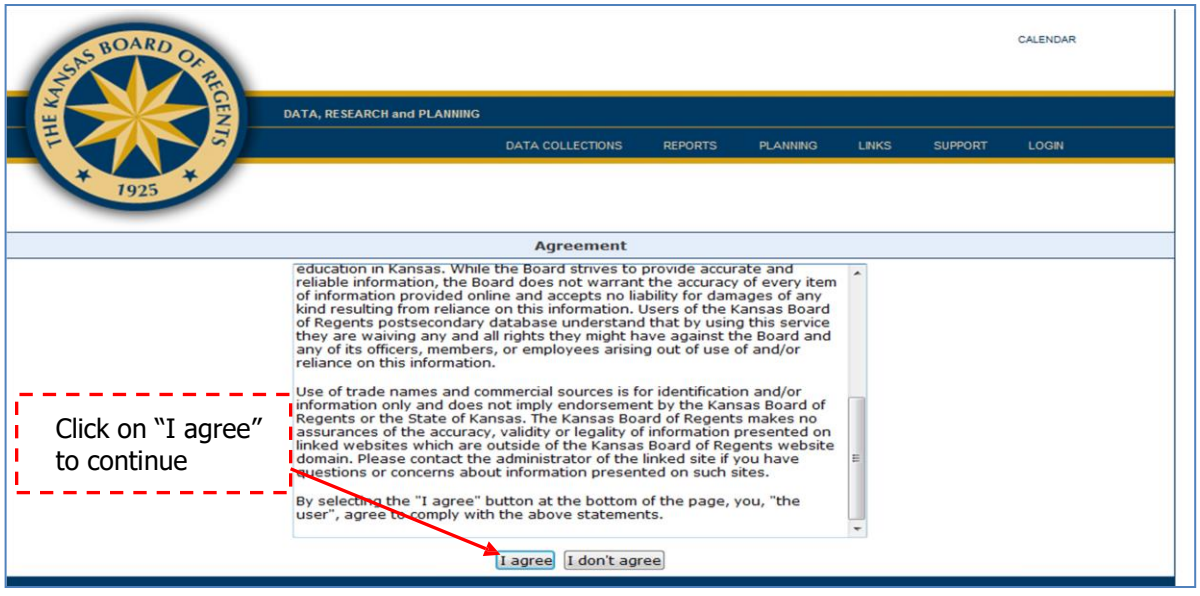
Email: irhelp@ksbor.org
Website: http://data.kansasregents.org

How to Apply for a New KSPSD Account

Step 1 – Go to the home page for KBOR's Data, Research and Planning unit at http://data.kansasregents.org/



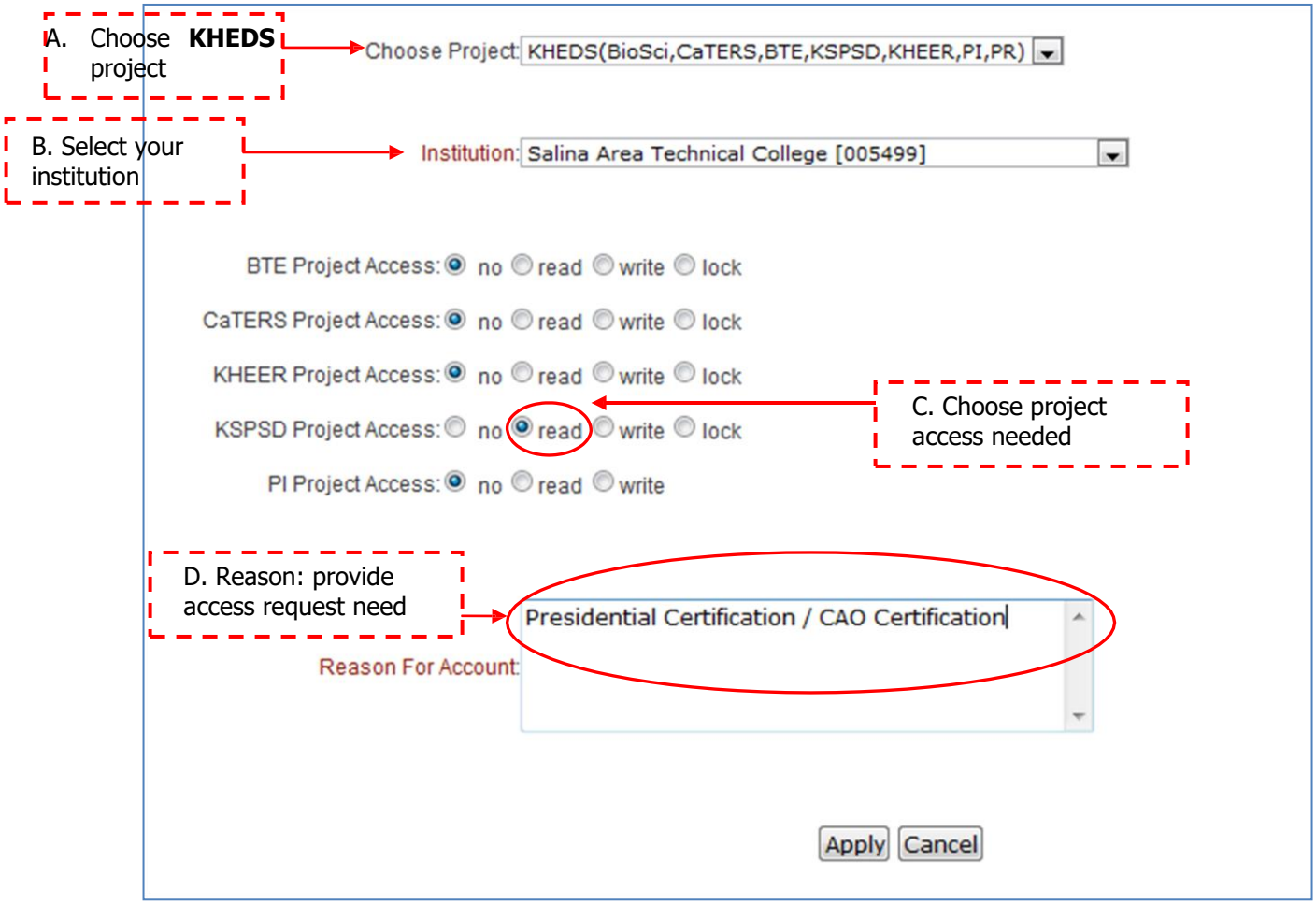
Step 2 – Click on the **Login** link in the blue menu across the top of the page. Then click on the **Apply for a New Account** link.



Step 3 – You will be asked to agree to the usage policy. Click on "I agree" to continue.

Step 4 – Enter user information in the form that appears. Enter personal account information on the top part of the form. For the bottom part of the form:

A. For **Choose Project**, select **KHEDS**.
B. For **Institution**, select your institution.
C. Several radio buttons will appear. Click the access button needed.
D. In the **Reason for Account** box, type the reason for access, as appropriate, **ie. access Perkins reports**.



If everything is entered properly, you will receive an alert screen saying the application is being processed.

Step 5 – You will receive an email when your account has been setup and you are ready to login.

b) Reports

Numerous data reports are available on the **http://data.kansasregents.org** website, including:

a. Basic Counts – a report for Perkins Concentrator students only; enrollment, credit hours, completions aggregated by Perkins Program.
b. Perkins Eligibility Assignment – student-level list showing the value assigned to the Perkins Eligible field (**C**oncentrator, **P**articipant, **N**one).
c. Core Indicator Summary – shows Core Indicator institutional and program performance
d. Core Indicator Detail - allows direct access to the Core Indicator student level detail behind the calculation
e. Follow Up Download – starter file for Follow Up collection. Includes all Perkins Concentrators.
f. Certification Report for Funding - funded and non-funded credit hours by program. This is the same report that will be the primary report offered for review during the Presidential Certification process.
    i. As a convenience, there are three additional Course Inventory reports available under the View Funding Report. You may find these useful in researching the results of the Funding Report. Click the magnifying glass icon to access the following:
        1. ALL courses not tied or linked to a program
        2. TIERED courses tied or linked to a program
        3. NON-TIERED courses tied or linked to a program
g. Perkins Concentrators Report – Breakdown of concentrator data
h. Perkins Eligibility Assignment – Student enrollment report showing Perkins eligibility
i. Pell Count Report – shows Pell Count and Enrollment Level by Reporting Term.

Appendix Documents

Editable documents for all Appendices are available on the KBOR website

Appendix A

FY _____ LOCAL APPLICATION FOR POSTSECONDARY PERKINS PROGRAM IMPROVEMENT FUNDS

_____

Institution Name

Postsecondary Funding: I understand that if funds become unavailable, this application may be terminated. If satisfactory progress and documentation are not made regarding the intended outcomes of the application, this application becomes null and void and all funds must be returned. I further understand that supplanting of funds is not allowed under the Carl D. Perkins Career and Technical Education Act of 2006.

Contact Persons for

	Name & Position	Telephone & Fax	Email Address
Perkins Grant Application and Progress Reports			
CTE Issues			

_____ _____

Authorized Administrator—Original Signature *Date*

STATE USE ONLY—DO NOT WRITE BELOW THIS LINE

$_____ (1) Academic and Technical Skill Activities

$_____ (2) Articulation/Postsecondary Linkages Activities

$_____ (3) All Aspects of an Industry/Work-based Learning Activities

$_____ (4) Use of Technology Activities

$_____ (5) Professional Development Activities

$_____ (6) Program Evaluation Activities

$_____ (7) Improve, Expand, Modernize Programs Activities

$_____ (8) Size, Scope, Quality Activities

$_____ (9) Special Populations Activities

$_____ (10) Administrative Costs

$_____ Total

_____ _____

KBOR Authorized Representative **Date**

Appendix B

State of Kansas
Department of Administration
DA-146a (Rev. 06-12)

CONTRACTUAL PROVISIONS ATTACHMENT

Important: This form contains mandatory contract provisions and must be attached to or incorporated in all copies of any contractual agreement. If it is attached to the vendor/contractor's standard contract form, then that form must be altered to contain the following provision:

"The Provisions found in Contractual Provisions Attachment (Form DA-146a, Rev. 06-12), which is attached hereto, are hereby incorporated in this contract and made a part thereof."

The parties agree that the following provisions are hereby incorporated into the contract to which it is attached and made a part thereof, said contract being the _____ day of _____, 20_____.

1. **Terms Herein Controlling Provisions**: It is expressly agreed that the terms of each and every provision in this attachment shall prevail and control over the terms of any other conflicting provision in any other document relating to and a part of the contract in which this attachment is incorporated. Any terms that conflict or could be interpreted to conflict with this attachment are nullified.

2. **Kansas Law and Venue**: This contract shall be subject to, governed by, and construed according to the laws of the State of Kansas, and jurisdiction and venue of any suit in connection with this contract shall reside only in courts located in the State of Kansas.

3. **Termination Due To Lack Of Funding Appropriation**: If, in the judgment of the Director of Accounts and Reports, Department of Administration, sufficient funds are not appropriated to continue the function performed in this agreement and for the payment of the charges hereunder, State may terminate this agreement at the end of its current fiscal year. State agrees to give written notice of termination to contractor at least 30 days prior to the end of its current fiscal year, and shall give such notice for a greater period prior to the end of such fiscal year as may be provided in this contract, except that such notice shall not be required prior to 90 days before the end of such fiscal year. Contractor shall have the right, at the end of such fiscal year, to take possession of any equipment provided State under the contract. State will pay to the contractor all regular contractual payments incurred through the end of such fiscal year, plus contractual charges incidental to the return of any such equipment. Upon termination of the agreement by State, title to any such equipment shall revert to contractor at the end of the State's current fiscal year. The termination of the contract pursuant to this paragraph shall not cause any penalty to be charged to the agency or the contractor.

4. **Disclaimer Of Liability**: No provision of this contract will be given effect that attempts to require the State of Kansas or its agencies to defend, hold harmless, or indemnify any contractor or third party for any acts or omissions. The liability of the State of Kansas is defined under the Kansas Tort Claims Act (K.S.A. 75-6101 et seq.).

5. **Anti-Discrimination Clause**: The contractor agrees: (a) to comply with the Kansas Act Against Discrimination (K.S.A. 44-1001 et seq.) and the Kansas Age Discrimination in Employment Act (K.S.A. 44-1111 et seq.) and the applicable provisions of the Americans With Disabilities Act (42 U.S.C. 12101 et seq.) (ADA) and to not discriminate against any person because of race, religion, color, sex, disability, national origin or ancestry, or age in the admission or access to, or treatment or employment in, its programs or activities; (b) to include in all solicitations or advertisements for employees, the phrase "equal opportunity employer"; (c) to comply with the reporting requirements set out at K.S.A. 44-1031 and K.S.A. 44-1116; (d) to include those provisions in every subcontract or purchase order so that they are binding upon such subcontractor or vendor; (e) that a failure to comply with the reporting requirements of (c) above or if the contractor is found guilty of any violation of such acts by the Kansas Human Rights Commission, such violation shall constitute a breach of contract and the contract may be cancelled, terminated or suspended, in whole or in part, by the contracting state agency or the Kansas Department of Administration; (f) if it is determined that the contractor has violated applicable provisions of ADA, such violation shall constitute a breach of contract and the contract may be cancelled, terminated or suspended, in whole or in part, by the contracting state agency or the Kansas Department of Administration.

   Contractor agrees to comply with all applicable state and federal anti-discrimination laws.

   The provisions of this paragraph number 5 (with the exception of those provisions relating to the ADA) are not applicable to a contractor who employs fewer than four employees during the term of such contract or whose contracts with the contracting State agency cumulatively total $5,000 or less during the fiscal year of such agency.

6. **Acceptance Of Contract**: This contract shall not be considered accepted, approved or otherwise effective until the statutorily required approvals and certifications have been given.

7. **Arbitration, Damages, Warranties**: Notwithstanding any language to the contrary, no interpretation of this contract shall find that the State or its agencies have agreed to binding arbitration, or the payment of damages or penalties. Further, the State of Kansas and its agencies do not agree to pay attorney fees, costs, or late payment charges beyond those available under the Kansas Prompt Payment Act (K.S.A. 75-6403), and no provision will be given effect that attempts to exclude, modify, disclaim or otherwise attempt to limit any damages available to the State of Kansas or its agencies at law, including but not limited to the implied warranties of merchantability and fitness for a particular purpose.

8. **Representative's Authority To Contract**: By signing this contract, the representative of the contractor thereby represents that such person is duly authorized by the contractor to execute this contract on behalf of the contractor and that the contractor agrees to be bound by the provisions thereof.

9. **Responsibility For Taxes**: The State of Kansas and its agencies shall not be responsible for, nor indemnify a contractor for, any federal, state or local taxes which may be imposed or levied upon the subject matter of this contract.

10. **Insurance**: The State of Kansas and its agencies shall not be required to purchase any insurance against loss or damage to property or any other subject matter relating to this contract, nor shall this contract require them to establish a "self-insurance" fund to protect against any such loss or damage. Subject to the provisions of the Kansas Tort Claims Act (K.S.A. 75-6101 et seq.), the contractor shall bear the risk of any loss or damage to any property in which the contractor holds title.

11. **Information**: **No provision of this contract shall be construed as limiting the Legislative Division of Post Audit from having access to information pursuant to K.S.A. 46-1101 et seq.**

12. **The Eleventh Amendment**: "The Eleventh Amendment is an inherent and incumbent protection with the State of Kansas and need not be reserved, but prudence requires the State to reiterate that nothing related to this contract shall be deemed a waiver of the Eleventh Amendment."

13. **Campaign Contributions / Lobbying:** Funds provided through a grant award or contract shall not be given or received in exchange for the making of a campaign contribution. No part of the funds provided through this contract shall be used to influence or attempt to influence an officer or employee of any State of Kansas agency or a member of the Legislature regarding any pending legislation or the awarding, extension, continuation, renewal, amendment or modification of any government contract, grant, loan, or cooperative agreement.

Appendix C

LOCAL ASSURANCES

We, as an eligible recipient for funds under the Carl D. Perkins Career and Technical Education Act of 2006, hereby grant the following assurances:

- *To administer each program, service or activity covered in this application in accordance with all applicable statutes and regulations governing the Carl D. Perkins Career and Technical Education Act of 2006, and*
- *No funds expended under the Act will be used to acquire equipment (including computer software) in any instance in which such acquisition results in a direct financial benefit to any organization representing the interests of the acquiring entity or the employees of the acquiring entity, or any affiliate of such an organization.*
- *Certifies by its representative's signature hereon that neither it nor vendors used in expenditures with Carl D. Perkins grant funds are presently debarred, suspended, proposed for disbarment, declared ineligible, or voluntarily excluded from participation in this Agreement by any federal or state department or agency.*
- *Certifies by its representatives signature that they are not engaged in lobbying activities of Israel.*
- *To comply with all reporting requirements in a timely manner and that the information reported is valid, reliable and accurate.*
- *To be in compliance with Executive Order 12246; Title VI of the Civil rights Act of 1964, as amended; Title IX Regulations; Section 504 of the Rehabilitation Act of 1973, as amended; Individuals with Disabilities Education Act and any other federal or state laws, regulations and policies which apply to the operation of the programs.*

We will not discriminate on the basis of sex, race, color, national origin or disability in the educational programs, services or activities being provided.

_____

Name of Institution

assures the Kansas Board of Regents its' intent to comply with these Local Assurances as outlined in this document. Further, we are willing to explain, in writing, how we intend to comply with each of these assurances.

_____

Original Signature of Authorized Administrator Title

Date_____

Appendix D *(consortiums only)*

TRANSFER OF POSTSECONDARY IMPROVEMENT FUNDS
(CONSORTIUMS ONLY)

Use this form for transferring P.L. 109-270 Carl D. Perkins Career and Technical Education Act of 2006 postsecondary funds from one eligible recipient to another eligible recipient providing service on behalf of the institution. Submit an original copy for each institution transferring funds into a consortium/partnership.

I certify that

_____

Institution Name

is transferring all of postsecondary improvement funds made available under Carl D. Perkins Career and Technical Education Act of 2006 for fiscal year 2016 to

_____

Institution Name

The funds will be used as described in the program improvement grant application package of the transferring institution.

_____
Original Signature of Authorized
Administrator Transferring Funds

Date_____

_____
Original Signature of Authorized
Administrator Receiving Funds

Date_____

****

STATE USE ONLY
****

I hereby certify that the transferring institution is eligible for the funds identified above and the receiving institution is eligible to receive said funds.

_____
Signature of KBOR Authorized Representative

Date_____

Appendix E

CERTIFICATIONS REGARDING LOBBYING; DEBARMENT, SUSPENSION, AND OTHER RESPONSIBILITY MATTERS; AND DRUG-FREE WORKPLACE REQUIREMENTS

Applicants should refer to the regulations cited below to determine the certification to which they are required to attest. Applicants should also review the instructions for certification included in the regulations before completing this form. Signature of this form provides for compliance with certification requirements under 34 CFR Part 82, "New Restrictions on Lobbying," and 34 CFR Part 85, "Government-wide Debarment and Suspension (Nonprocurement) and Government-wide Requirements for Drug-Free Workplace (Grants)." The certifications shall be treated as a material representation of fact upon which reliance will be placed when the Department of Education determines to award the covered transaction, grant, or cooperative agreement.

1. LOBBYING

As required by Section 1352, Title 31 of the U.S. Code, and implemented at 34 CFR Part 82, for persons entering into a grant or cooperative agreement over $100,000, as defined at 34 CFR Part 82, Sections 82.105 and 82.110, the applicant certifies that:

(a) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the making of any Federal grant, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal grant or cooperative agreement;

(b) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal grant or cooperative agreement, the undersigned shall complete and submit Standard Form - LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

(c) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subgrants, contracts under grants and cooperative agreements, and subcontracts) and that all subrecipients shall certify and disclose accordingly.

2. DEBARMENT, SUSPENSION, AND OTHER RESPONSIBILITY MATTERS

As required by Executive Order 12549, Debarment and Suspension, and implemented at 34 CFR Part 85, for prospective participants in primary covered transactions, as defined at 34 CFR Part 85, Section 85.105 and 85.110 –

A. The applicant certifies that it and its principals:

(a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;

(b) Have not within a three-year period preceding this application been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

(c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and

(d) Have not within a three-year period preceding this application had one or more public transactions (Federal, State, or local) terminated for cause or default; and

B. Where the applicant is unable to certify to any of the statements in this certification, he or she shall attach an explanation to this application.

3. DRUG-FREE WORKPLACE (GRANTEES OTHER THAN INDIVIDUALS)

As required by the Drug-Free Workplace Act of 1988, and implemented at 34 CFR Part 85, Subpart F, for grantees, as defined at 34 CFR Part 85, Sections 85.605 and 85.610 –

(a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violations of such prohibition;

(b) Establishing an on-going drug-free awareness program to inform employees about–

(1) The dangers of drug abuse in the workplace;

(2) The grantee's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;

(c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);

(d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will–

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

(e) Notifying the agency, in writing, within 10 calendar days after receiving notice under subparagraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to: Director, Grants and Contracts Service, U.S. Department of Education, 400 Maryland Avenue, S.W., (Room 3124, GSA Regional Office Building No. 3), Washington, DC 20202-4571. Notice shall include the identification number(s) of each affected grant;

(f) Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph (d)(2), with respect to any employee who is so convicted–

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

(g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e), and (f).

B. The grantees may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

_____

_____

_____

Check ☐ if there are workplaces on file that are not identified here.

DRUG-FREE WORKPLACE
(GRANTEES WHO ARE INDIVIDUALS)

As required by the Drug-Free Workplace Act of 1988, and implemented at 34 CFR Part 85, Subpart F, for grantees, as defined at 34 CFR Part 85, Sections 85.605 and 85.610–

A. As a condition of the grant, I certify that I will not engage in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance in conducting any activity with the grant; and

B. If convicted of a criminal drug offense resulting from a violation occurring during the conduct of any grant activity, I will report the conviction, in writing, within 10 calendar days of the conviction, to: Director, Grants and Contracts Service, U.S. Department of Education, 400 Maryland Avenue, S.W. (Room 3124, GSA Regional Office Building No. 3), Washington, DC 20202-4571. Notice shall include the identification number(s) of each affected grant.

As the duly authorized representative of the applicant, I hereby certify that the applicant will comply with the above certifications.

NAME OF APPLICANT	PR/AWARD NUMBER AND/OR PROJECT NAME
PRINTED NAME AND TITLE OF AUTHORIZED REPRESENTATIVE	
SIGNATURE	DATE

Appendix F

Size, Scope & Quality Programmatic Requirements Chart

(FY _____ Career and Technical Education Programs)

Institution:_____ **Date:**_____

This chart may be duplicated for the appropriate required number of Perkins-approved programs. To review your institutions Perkins Eligible programs, please access the Kansas Higher Education Data System (KHEDS) at www.kansasregents.org

Size, Scope & Quality Programmatic Requirements Chart	
Size	
1. Identify Program Name	**2. CIP Code**
3. Describe how you will ensure that this program is of sufficient size as to be most effective.	
Scope	
4. Describe How the Program offers Dual Enrollment and/or Articulated Credit Opportunities	
5. Describe How the Program Aligns with Business and/or Industry	
Quality	

6. Identify Industry Credential, Postsecondary Certificate or Degree Awarded
7. Describe where this program is in the Alignment Process
8. Describe how your institution ensures that academics are an integral component of this program.
9. Describe this program's review schedule and process.

Appendix G

PERFORMANCE IMPROVEMENT PLAN FOR UNMET CORE INDICATORS

Institution: _____

Program Improvement Plan for: Core Indicator: _____ Institutional Performance: _____

A. Please provide any information or data that may explain why the agency did not meet the 90% performance level for this indicator.

B. Clearly describe the institution's goal(s) for improving performance on this measure, the specific actions to meet the goal(s), and how the agency will measure whether the goal(s) have been met.

C. Provide the lead contact for each action item identified under response B.

D. Project a date of completion for each action item identified under response B.

E. Describe how this local improvement plan was developed in consultation with appropriate agencies, individuals, and organizations (Perkins IV, Section 113(b)(3))

F. Describe any budget allocations that will be utilized to support the improvement actions and identify the funding source.

Appendix I

Institution: _____

PERKINS PROGRAM IMPROVEMENT ACTIVITY AND PROGRESS REPORT

Academic, Career & Technical Activities

Goal 1: To strengthen the academic and technical skills of students by strengthening the academic and technical education components of programs.

Line # 1	Description of the Activity	Funding $
	Responsibility: **Timeline**:	
	Evaluation/Measures:	
Dec 1		
Mar 1		
Final Outcomes		

Line # 2	Description of the Activity	Funding $
	Responsibility: **Timeline**:	
	Evaluation/Measures:	
Dec 1		
Mar 1		
Final Outcomes		

Line # 3	Description of the Activity	Funding $
	Responsibility: **Timeline**:	
	Evaluation/Measures:	
Dec 1		
Mar 1		
Final Outcomes		

Total Perkins Funding Requested for Goal 1: $

Appendix J

FY____ Perkins Program Improvement Grant

Proposed Acquisitions of Non-Capitalized Equipment (Unit Cost of $501 - $4,999)

Budget

Institution: _____

Equipment to be Purchased with Perkins Program Improvement Funds				
Description	**Number of Items**	**Unit Cost**	**Total Cost**	**Program & Location (Campus Building) (Identify by each Item)**
Optional Equipment Pool may be purchased when/if extra funds become available				

Appendix K

FY____ Perkins Program Improvement Grant

Proposed Acquisitions of Non-Capitalized Equipment (Unit Cost of $5,000 or More)

Budget

Institution: _____

Equipment to be Purchased with Perkins Program Improvement Funds				
Description	**Number of Items**	**Unit Cost**	**Total Cost**	**Program & Location (Campus Building) (Identify by each Item)**
Optional Equipment Pool may be purchased when/if extra funds become available				

Appendix L

FY_____ PERKINS POSTSECONDARY PROGRAM IMPROVEMENT GRANT
PROGRAM BUDGET/EXPENDITURES REPORT

◯ Original Budget ◯ December 1 Report ◯ March 1 Report ◯ Final Expenditure Report (check one)

INSTITUTION NAME: Grant Dollars Approved : $

Budget Item	(1) Integration of Academics & CTE	(2) Secondary & Postsecondary Linkages	(3) Business & Industry Integration / Advisory Council Support	(4) Use of Technology	(5) Professional Development	(6) Student Assessment, Guidance & Counseling	(7) Improve, Modernize, Expand Program	(8) Size, Scope and Quality	(9) Services for Special Populations / Non Trads	Totals
Salaries & Benefits										$ -
Travel (Registrations and travel expenses)										$ -
Resources/Materials										$ -
Supplies (Computing devices, etc.)										
Equipment										$ -
Support for Learner Success										$ -
Purchased/Contracted Services										$ -
Subscriptions/Certifications										$ -
Other (list below):										$ -
										$ -
										$ -
										$ -
TOTAL FEDERAL FUND EXPENDITURES (INCLUDE ENCUMBRANCES)	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -	$ -

				Expenditures	$ -
TOTAL REQUESTED PERKINS DOLLARS BY:		**PROGRAM INCOME:** (submit only with final report)		**Admin (no more than 5%)**	
7/1/18 to 12/1/2018	$ -	TOTAL PROGRAM INCOME		**TOTAL GRANT FUNDS**	$ -
7/1/18 to 3/1/2019	$ -	TOTAL PROGRAM EXPENSES			
7/1/18 to 6/30/2019	$ -	**NET INCOME/LOSS AS OF 6/30/18**	$ -		

President's Signature (required only on Budget and Final Expenditure Report) **Preparer's Signature** Date

Appendix M

Appendix M

BUDGETED BREAKDOWN OF EXPENSES -- PERKINS PROGRAM IMPROVEMENT FUND

INSTITUTION: **DATE:**

Indicate projected expenses for each Goal category, including any expenses for Personnel & Equipment, in Section A. Expenses for Personnel & Equipment will also be listed in Section B.
If needed, please add rows above the total row for each section. By entering the total award amount where indicated the formulas should calculate the Grand Total and Percentages.

SECTION A

Budget Item	Original Budget Amount 7/1/17	Revised Budget Amount 12/1/17	Revised Budget Amount 3/1/18	Revised Budget Amount 6/30/18	Actual Expense Final 6/30/18
Goal #1: Academic, Career and Technical Activities					
Totals for Goal 1	$0.00	$0.00	$0.00	$0.00	$0.00
Goal #2:Articulation/Postsecondary Linkages Activities					
Totals for Goal 2	$0.00	$0.00	$0.00	$0.00	$0.00
Goal #3: All Aspects of an Industry Activities					
Totals for Goal 3	$0.00	$0.00	$0.00	$0.00	$0.00
Goal #4: Use of Technology Activities					
Totals for Goal 4	$0.00	$0.00	$0.00	$0.00	$0.00
Goal #5: Professional Development Activities					
Totals for Goal 5	$0.00	$0.00	$0.00	$0.00	$0.00

Appendix N

Perkins Program Improvement Activity and Progress Report

Professional Development Activities

Institution _____

Goal 5: To provide high quality & comprehensive professional development for teachers, counselors and administrators.

CIP Code	Program Name	Professional Development Activity	Revision #	Brief Summary of Benefit to Student Learning
		KBOR Perkins Training		KBOR leads meeting for Perkins-related staff. This will yield more efficient and accurate management of Perkins grants.
		KBOR Workforce Development Conference		KBOR leads an annual conference for Perkins-related staff, covering multiple topics related to the Perkins grant.
On Campus Professional Development				
Optional Professional Development Pool - may be used when/if extra funds become available				

Appendix P

FY___ Perkins Program Improvement Grant

Final Expenditure Report for Non-Capitalized Equipment (Unit Cost of $501 - $4,999)

Institution_____

INSTRUCTIONS:

- This document is to provide KBOR with the initial placement of equipment/ highly walkable items purchased for under $5,000.
- Items in this category do not need to have a KBOR tag, but must be tracked according to the purchasing institution's federally mandated procedures.
- The total for the Appendix P and Q should equal the equipment amounts reported on Appendix L and Appendix M.
- Real dollars should be used on this equipment list.

Equipment Purchased with Perkins Funds						
Institutions Property I.D. Number	**Name of Equip., Serial No. & Model No.**	**Purchase Date**	**No. of Items / Quantity**	**Cost Per Unit**	**Total Cost**	**Program & Location** (Campus Building) (Identify by each Item)

Appendix Q

FY____ Perkins Program Improvement Grant

Final Expenditure Report -- Equipment Inventory (Unit Cost of $5,000 or more)

Institution_____

INSTRUCTIONS:

- Equipment with unit cost of over $5,000 purchased with Perkins funds must have KBOR equipment tags requested by the end of the grant year.
- The total for the Appendix P and Q should equal the equipment amounts reported on Appendix L and Appendix M.
- Real dollars should be used on this equipment list.

Equipment Purchased with Perkins Funds							
Name of Equip., Serial No. & Model No.	**Purchase Date**	**No. of Items / Quantity**	**Cost Per Unit**	**Amount paid by Perkins**	**Total Cost**	**Program & Location** (Campus Building) (Identify by each Item)	**KBOR Issued Tag #**

Appendix R

FY____ Perkins Program Improvement Grant
Final Narrative Report

Institution_____

1. During the grant year, did your institution use Perkins funds to support initiatives to facilitate the transition of sub baccalaureate career and technical education students into baccalaureate programs? If so, please describe. [Goal 2]

2. Please describe how your institutions used Perkins funds to support career and technical education programs that offer experience in, and understanding of, all aspects of an industry for which students are preparing to enter. [Goal 3]

3. During the grant year, how did your institution develop, approve, or expand the use of technology in career and technical education? [Goal 4]

4. During the grant year what professional development did your faculty, counselors and administration participate in and what was the benefit to the CTE students? [Goal 5]

5. During the grant reporting year, how did your institution provide preparation for non-traditional fields in current and emerging professions, and other activities that expose students, including special populations, to high skill, high wage occupations?
[Goal 6]

6. Describe how your institution worked to improve, expand and modernize the quality of CTE programs. [Goal 7]

7. During the grant reporting year, how did your institution provide support for programs for special populations that lead to high skill, high wage and high demand occupations? [Goal 9]

8. During the grant reporting year, did your institution use Perkins funds to provide assistance to individuals who have participated in Perkins assisted services and activities in continuing their education or training or finding appropriate jobs?

9. During the reporting year, how did your institution provide support for career and technical education programs that improve the academic and career and technical skills of students through the integration of academics with career and technical education?

10. Describe how your institution used partnerships with other local educational agencies, institutions of higher education, adult education providers, employers, and labor organizations to enable students to achieve state academic standards and career and technical skills?

11. During the grant year how were Perkins funds used to improve career guidance and academic counseling programs?

12. Describe how Perkins funds were used throughout the grant year to establish agreements, including articulation agreements between secondary schools and postsecondary career and technical education and training opportunities for students?

13. Did your institution use Perkins funds to support career and technical student organizations? If so, please describe.

14. Please describe how your institution used Perkins funds to support partnerships between education and business, or business intermediaries, including cooperative education and adjunct faculty arrangements at the secondary and postsecondary levels.

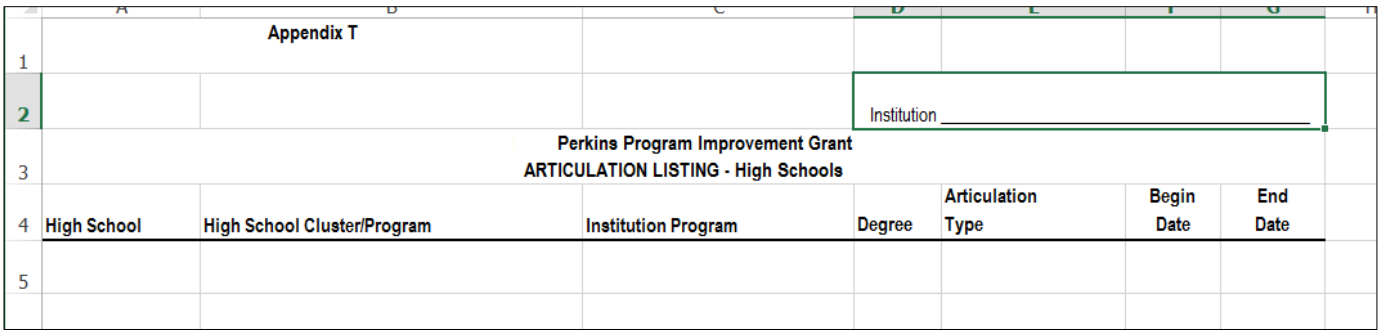
15. Did your institution use Perkins funds to improve the recruitment and retention of career and technical education teachers, faculty, administrators, or career guidance and academic counselors, and help in the transition from business and industry, including small business into academics? If so, please describe.

16. Did your institution use Perkins funds to support occupational and employment information resources? If so, please describe.

Appendix S

Appendix S		
PROGRAM INCOME AND EXPENSES		
INSTITUTION:	DATE:	
SECTION A -- INCOME		
Indicate total income received for each program generating income (include program name and source of income)		
Program -CIP Code		Amount
Total Income from program		$0.00
Program -CIP Code		
Total Income from program		$0.00
Program -CIP Code		
Total Income from program		0.00
Program -CIP Code		
Total Income from program		$0.00
Total Income		$0.00

Appendix T - *Sample*



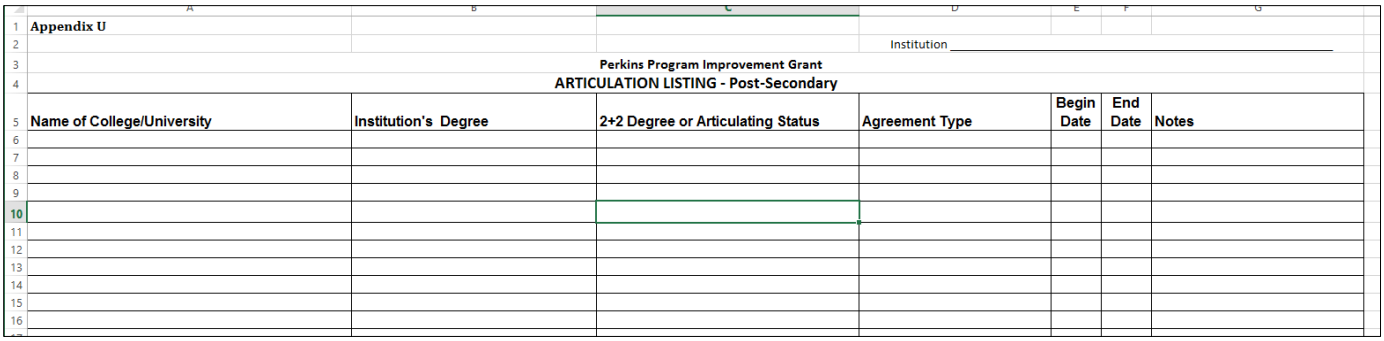
Appendix T

Institution _____

Perkins Program Improvement Grant
ARTICULATION LISTING - High Schools

High School	High School Cluster/Program	Institution Program	Degree	Articulation Type	Begin Date	End Date

Appendix U - *Sample*



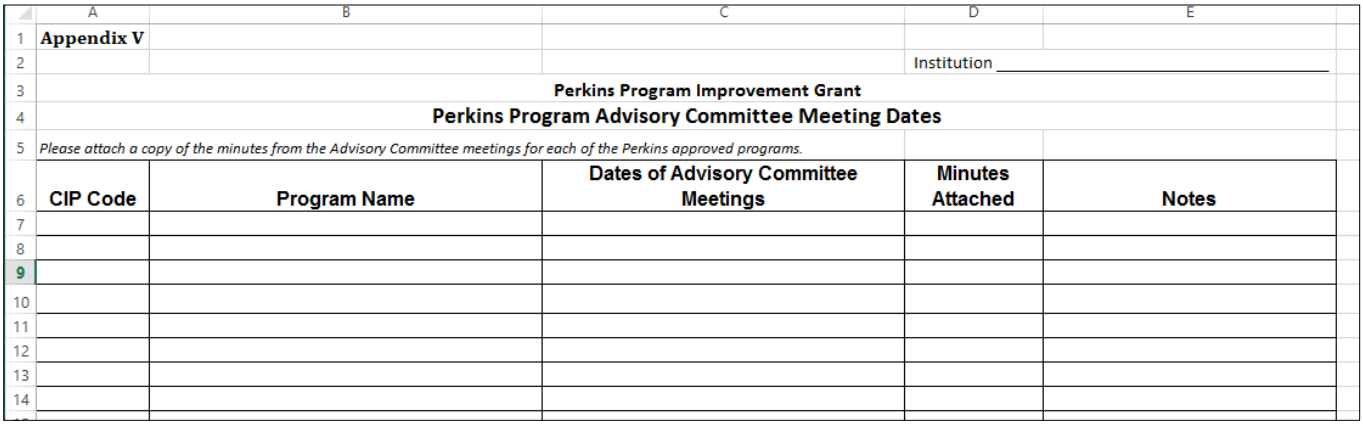
Appendix U

Institution _____

Perkins Program Improvement Grant
ARTICULATION LISTING - Post-Secondary

Name of College/University	Institution's Degree	2+2 Degree or Articulating Status	Agreement Type	Begin Date	End Date	Notes

Appendix V - *Sample*



Appendix V

Institution _____

Perkins Program Improvement Grant
Perkins Program Advisory Committee Meeting Dates

Please attach a copy of the minutes from the Advisory Committee meetings for each of the Perkins approved programs.

CIP Code	Program Name	Dates of Advisory Committee Meetings	Minutes Attached	Notes

Appendix W

Advisory Committee Meeting Minutes Template

Community/ Technical College
Specific Perkins-approved CTE Program / CIP Code
Program Advisory Committee Meeting
Date

6:30 pm Advisory Board Dinner
Insert Name, Dean of Instruction, welcomed the PAC Groups and thanked them for their support in the meeting the mission of **Institution Name**.

7:40 pm The annual PAC meeting for the dental programs was called to order with the following PAC members present:

List members present

PAC members absent: **List members not in attendance**

Approval of Previous Meeting Minutes
Insert Name moved to approve the minutes as read, **Insert Name** second, minutes approved as read.

Review of College Mission & Vision Statements – HLC accreditation is in process and as part of the annual review we ask the PAC members to review the College Mission and Program Missions. Typos within the documents were corrected; a motion to approve the mission statements was made by **Insert Name** and second by **Insert Name** approved with corrections.

Review of Curriculum for each program was completed no changes were recommended at this time.

Insert Name recommended that the PAC members consider having only **Insert Institution Name** students be accepted to the program as a matter of tracking the educational process and information standards. Currently students from other programs in the state do not hold to the same standard as the institution's program and there is no time to provide remedial instruction. Students from other programs are not prepared for the examinations and rigorous program requirements.

It was determined that this needed to have considerable thought and policy changes would need to be reworked and as such we would table this discussion until the spring meeting at which time the program faculty would bring a proposal to the PAC as a recommendation.

OLD Business: none

NEW Business:

Insert Name suggested that we move a paperless system within the programs - he is meeting with a vendor tomorrow and will inquire as to what they might be able to help us with in terms of providing software and equipment to the programs.

Insert Name needs an autoclave in his area. **Insert Name** said that she would see what she could do in terms of getting him this piece of equipment.

No other new business.

Major Program Issues - none

Insert Name made the motion to adjourn the meeting a second by **Insert Name**. Motion passed

8:10 pm Meeting Adjourned

Appendix X

Semi-Annual Certification

This is to certify that Jane Doe has worked 100% of his/her time for the period January 1, 2014 through June 30, 2014 on State Administration.

_____
Signature of Employee

_____
Printed Name of Employee

_____
Date

_____
Signature of Supervisor

_____
Printed Name of Supervisor

_____

Appendix Y

Personnel Activity Report#

Name_____
Department_____
Time Period ___/__/__ to _____/__/_____

	NON FED.	STATE MATCH	STATE ADMIN	STATE LEADERSHIP	NON-TRAD.	CORRECTIONS	LOCAL BASIC GRANT	LOCAL ADMIN	SEC. 112 (C) RESERVE
Mon __/__/__									
Activity									
Tues __/__/__									
Activity									
Wed __/__/__									
Activity									
Thurs __/__/__									
Activity									

Personnel Activity Report#

Mon __/__/__									
Activity									
Tues __/__/__									
Activity									
Wed __/__/__									
Activity									
Thus __/__/__									
Activity									
Fri __/__/__									
Activity									
TOTALS									

I certify that this report represents a true recording of effort expended for the period indicated and that I have full knowledge of those activities.

_____
Signature of Employee/Date

* Institutions may choose to use hourly form (Appendix Y), percentage-based form (Appendix X), or provide their own institutional reporting documentation (institutional documentation must be approved for use by KBOR staff).

Appendix Z

Monthly Personnel Activity Report (PAR)

Employee: _____

Institution: _____

Position: _____

Date: from_____to_____(monthly)

Percent of Time	Funding Stream	Activities
%	Local Basic Grant	
%	Local Admin	
%	Reserve Grant	
%	Leadership Grant (Non-trad)	
%	Other	
100%	**TOTAL**	

This is to certify that I have worked 100% of my time for the period according to the funding stream indicated above.

Signature of Employee: _____

Date: _____(must be signed **after** the period reported in this PAR)

* Institutions may choose to use hourly form (Appendix Y), percentage-based form (Appendix X), or provide their own institutional reporting documentation (institutional documentation must be approved for use by KBOR staff).

Appendix AA

Perkins Program Improvement Grant Revision Request FY 20XX

Institution: _____Revision #: _____ Date:_____

Requested By: _____ Contact Phone: _____

Fiscal/Accounting notified (yes / no): _____

Goal # **Line #**	**Current Activity**	**Funding** **$**
Goal # **Line #**	**Revised Activity**	**Funding** **$**
Goal # **Line #**	**Current Activity**	**Funding** **$**
Goal # **Line #**	**Revised Activity**	**Funding** **$**
Goal # **Line #**	**Current Activity**	**Funding** **$**
Goal # **Line #**	**Revised Activity**	**Funding** **$**

NOTE: If transferring funds from one goal category to another goal category the request:

1) **Must include a revised Breakdown of Expenses with preparer's signature only.**
2) **Must include confirmation that fiscal/accounting on your campus has been notified of revision. (see box above).**
3) **Update your Activity and Progress Report prior to the next Progress Report (December, March and Final).**

Approved ☐
Denied ☐ Reasoning: _____

_____ _____
KBOR Signature Date

Appendix BB

PERKINS IV STATE I.D. TAG REQUEST & ASSIGNMENT

Name of Educational Institution

Name of Institutional Contact Title Phone Email address

PO Box/Street Address City Zip Code

Description of Equipment	Model No./ Serial No.	Cost per Unit	Purchase Date	Tag No.	Program

KBOR Representative Title Date

Appendix CC

Carl Perkins Requests – Professional Development
AY _____

Name: _____ Program: _____

Description (Type of activity, provider, location, dates, etc.):

Detailed Budget	Cost	Description
Registration		
Lodging		
Airfare		
Meals		
Mileage		
Substitute		
Total:		

If this request is fulfilled, the impact on the department will be:

Faculty Signature: _____ Date: _____

Appendix DD

Carl Perkins Requests – New Equipment
AY _____

Name: _____ Program: _____

Description (Equipment specification, vendors, etc.):

Detailed Budget	Cost	Description
Equipment		
Accessories		
Software		
Shipping		
Installation		
Training		
Total:		

If this request is fulfilled, the impact on the department will be:

Faculty Signature: _____ Date: _____

Appendix EE

Professional Development Follow-Up Report
AY _____

[ ] Perkins Funding

[ ] Other Funding Source (please specify) _____

Total Expenditures: $ _____

Name: _____

Event: _____

Date: _____/ _____/ _____ Location: _____

Please provide a brief summary of how the training or equipment will benefit student learning. Address one or more of the categories below.

Impact on program:

1. Improve teaching strategies.
2. Improve student assessment techniques and use of data to improve instruction.
3. Program improvement (including all aspects of the industry, program accreditation, special populations, etc.)
4. Training in the use and application of technology, skills and /or knowledge to improve instruction for the specific industry.

Please provide summary here:

Appendix FF – Quality Assurance Monitoring Review Process

KANSAS BOARD OF REGENTS														
FY CARL D. PERKINS QUALITY ASSURANCE MANAGEMENT PLAN (effective 07/01/17)														
INSTITUTION	***RISK FACTOR***	Funds - Returned/ Unspent/ Misspent/ Not Drawn	Single Audit Findings	Failure to Meet Core Indicators	Failure to Meet Deadlines	Data Reporting Issues (includes unlocking after certification)	Failure to Tag Equipment in Plan Year	Change in Essential Personnel (Data, Perkins, Finance, etc.)	Noted Violations in EDGAR Regulations	Not Monitored in Last 4 Years	Failure to Follow Grant Guidelines	Public Risk Perception	New Personnel attend KBOR trainings	C
	Total Points	10	10	6	5	10	5	10	10	5	5	10	-5	

Appendix GG – Technical Assistance Plan

KANSAS BOARD OF REGENTS – CAREER TECHNICAL EDUCATION
Technical Assistance Plan for Perkins Grant Management

Institutions will be asked to complete this plan if there are noted issues concerning grant management.

Educational Institution:

Institution's Point of Contact:

Resources or Technical Assistance to be provided by KBOR:

Institutional goals to address concerns:

President's Signature	Date
Perkins Grant Manager	Date
KBOR Perkins Representative	Date

Appendix HH – Tagged Equipment Disposal Form

NOTICE OF DISPOSAL -- PERKINS IV STATE I.D. TAGGED EQUIPMENT

Name of Educational Institution

Name of Institutional Contact | Title | Phone | e-mail address

PO Box/Street | City | zip code

Description of Equipment	Purchase Price	Purchase Date	Current Market Value	Tag No.	Disposal Date

Disposition of the equipment was made as follows (check box):

☐ Equipment (with a current per-unit fair market value of less than $5,000.00) retained, sold, or otherwise disposed of with no further obligation to the awarding agency; or

☐ Equipment (with a current per-unit fair market value in excess of $5,000.00) retained or sold, with a resulting profit of $_____ (awarding agency shall have a right to an amount calculated by multiplying the current market value or proceeds from sale by the awarding agency's share of the equipment).

Signature of Authorized Administrator | Title | Name of Authorized Administrator

Questions, please do not hesitate to contact you Perkins Liaison:

Vera Brown
Associate Director for Career Technical Education
785-430-4272
vbrown@ksbor.org

Or

Tobias Wood
Associate Director for Career Technical Education
785-430-4277
twood@ksbor.org

For further follow-up, you may contact:

Connie Beene
Senior Director, Adult and Career Technical Education
785-430-4271
cbeene@ksbor.org