

# **APRIL 15-16, 2020**

**Kansas Board of Regents**  
Virtual Meeting

2019-2020  
Shane Bangerter, Chair  
Bill Feuerborn, Vice Chair

## **KANSAS BOARD OF REGENT MEMBERS:**

Shane Bangerter	Ann Brandau-Murguia	Bill Feuerborn
Cheryl Harrison-Lee	Mark Hutton	Shelly Kiblinger
Jon Rolph	Allen Schmidt	Helen Van Etten

## **FORESIGHT 2020**

A Strategic Agenda for the State's Public Higher Education System

1. Increase higher education attainment among Kansas citizens
2. Improve alignment of the state's higher education system with the needs of the economy
3. Ensure state university excellence

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# FORESIGHT 2020

*A 10-Year Strategic Agenda for the State's Public Higher Education System*

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*Foresight 2020* is a 10-year strategic agenda for the state's public higher education system. Originally adopted by the Kansas Board of Regents in 2010, updated in 2012, and modified in 2015, the plan sets long-range achievement goals that are measurable, reportable, and ensure the state's higher education system meets Kansans' expectations.

Find each year's progress report at: [kansasregents.org/foresight2020](https://kansasregents.org/foresight2020).

## INCREASE HIGHER EDUCATION ATTAINMENT

### Aspirations

- ★ Increase to 60 percent the number of Kansas adults who have earned a certificate, associate or bachelor's degree by 2020.
- ★ Achieve a ten percentage point increase in retention and graduation rates by 2020.

### Measures

- ★ Number of certificates and degrees awarded by universities, community and technical colleges
- ★ Attainment Model progress
- ★ Graduation rates: 4/5/6-year rates for universities and 2/3/4-year rates for community and technical colleges
- ★ First to second year retention rates at universities, community and technical colleges
- ★ Student Success Index rates
- ★ Comparison of state demographics with higher education participation levels, including race/ethnicity, Pell Grant eligibility, and age
- ★ Comparison of postsecondary attainment in Kansas to the nation, by age groups
- ★ Adult Education: participation, percent served among working-age adults in Kansas without a high school diploma or its equivalent, and percent transitioning to postsecondary within 3 years of enrollment
- ★ Number of adults with college credit but no certificate or degree who are returning to complete a certificate, associate/bachelor degree
- ★ Seamless Transition: total number of courses approved for guaranteed transfer and number of Reverse Transfer degrees awarded systemwide

## IMPROVE ECONOMIC ALIGNMENT

### Aspirations

- ★ Respond to business and industry expectations for graduates and ensure all technical programs meet expectations of quality.
- ★ Reduce workforce shortages in select high-demand fields by increasing the number of certificates and degrees awarded, including in science, technology, engineering, and mathematics (STEM) fields.

### Measures

- ★ Percent of graduates employed and average wages in Kansas, by award level
- ★ Number of certificates and degrees awarded in selected high-demand fields, and progress made on special state initiatives
- ★ Summary findings from latest K-TIP Report, providing systemwide analysis of all approved postsecondary CTE programs, by program
- ★ Percent of certificates/degrees awarded in STEM fields

## ENSURE STATE UNIVERSITY EXCELLENCE

### Aspiration

- ★ Improve regional and national reputations of state universities.

### Measures

- ★ Comparison to peers for each of the six state universities on established metrics
- ★ Private giving to universities
- ★ Total research dollars awarded, highlighting federal research dollars (as percent of total) and specific industry support secured
- ★ University Excellence Profile: select rankings, Composite Financial Index, and assessment of economic impact

# BOARD GOALS 2019-2020



1. The Board will hire a new president at Wichita State University.
2. The Board will finalize a new strategic plan for the public higher education system.
3. The Board will develop a strategy for addressing deferred maintenance at state universities.
4. The Board will explore positive pathways to help students who do not meet Qualified Admissions standards achieve success beyond high school.
5. The Board will review the university CEO assessment process.
6. The Board will continue to implement a unified communications and advocacy plan for all sectors of the higher education system.

# TABLE OF CONTENTS

<b>Item</b>	<b>Page</b>
Meeting Information and Schedule .....	1
Board Agenda .....	2
Minutes of Previous Meeting .....	5
Wednesday Consent Agenda .....	17
Wednesday Discussion Agenda .....	45
Thursday Agenda .....	69
Other Agendas	
Board Fiscal Affairs and Audit Standing Committee .....	70
System Council of Presidents .....	71
Council of Presidents .....	74
System Council of Chief Academic Officers .....	76
Council of Chief Academic Officers .....	81
Resources	
Board Meeting Schedule .....	86
Deadlines for Agenda Item Submission .....	86
Board of Regents Committee Listing .....	87

# MEETING INFORMATION AND SCHEDULE

The Kansas Board of Regents will meet virtually on Wednesday, April 15, 2020 starting at 1:30 p.m. Any individual who wants to “attend” this Board meeting is encouraged to use the livestream link, which can be found on our website at [https://www.kansasregents.org/board\\_meeting\\_live\\_stream](https://www.kansasregents.org/board_meeting_live_stream). Please contact Renee Burlingham at [rburlingham@ksbor.org](mailto:rburlingham@ksbor.org) if you are unable to access the livestream. The committees and councils listed below will also be livestreamed at [https://www.youtube.com/channel/UCLNS\\_geZRDVX1gsEIA4sZkw?view\\_as=subscriber](https://www.youtube.com/channel/UCLNS_geZRDVX1gsEIA4sZkw?view_as=subscriber). On this page, you will need to locate and select the meeting you want to see.

## Wednesday, April 15, 2020

Time	Committee/Activity	Video/Audio Links
8:30 am - 9:00 am	System Council of Chief Academic Officers	<a href="#">Livestream Link</a>
9:00 am or Adjournment	Council of Chief Academic Officers	<a href="#">Livestream Link</a>
10:00 am - Noon	Fiscal Affairs & Audit Standing Committee	<a href="#">Livestream Link</a>
10:00 am - 10:30 am	System Council of Presidents	<a href="#">Livestream Link</a>
10:30 am or Adjournment	Council of Presidents	<a href="#">Livestream Link</a>
Noon - 1:15 pm	Council of Faculty Senate Presidents	Dial: 1-312-626-6799 Meeting ID: 159 311 353
1:30 pm	Board of Regents Meeting	<a href="#">Livestream Link</a>

## Thursday, April 16, 2020

The Kansas Board of Regents will meet virtually on Thursday, April 16, 2020 starting at 8:30 a.m. To join this meeting, please dial the phone number and enter the conference code.

8:30 am	Board of Regents Meeting	Dial: 1 312 626 6799 US Meeting ID: 997 136 607
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# MEETING AGENDA

The Kansas Board of Regents will meet virtually on Wednesday, April 15, 2020.

## Wednesday, April 15, 2020

- |  |  |       |
|--|--|-------|
| <b>I. Call To Order</b>  | Regent Bangerter, Chair                    |       |
| A. <i>Approve Minutes</i>  |  |       |
| 1. <a href="#">March 11, 2020 Special Meeting</a>  |  | p. 5  |
| 2. <a href="#">March 18, 2020 Regular Meeting</a>  |  | p. 8  |
| <b>II. Introductions and Reports</b>   |  |       |
| A. <i>Introductions</i>  |  |       |
| B. <i>Report from the Chair</i>  | Regent Bangerter, Chair                    |       |
| C. <i>Report from the President &amp; CEO</i>  | Blake Flanders, President & CEO            |       |
| D. <i>Report from the System Council of Presidents</i>   | President Trzaska                          |       |
| E. <i>Report from the Community Colleges</i>   | President Trzaska                          |       |
| F. <i>Report from Council of Presidents</i>  | President Garrett                          |       |
| G. <i>Report from Council of Faculty Senate Presidents</i>   | Greg Schneider                             |       |
| H. <i>Report from the Technical Colleges</i>   | President Genandt                          |       |
| <b>III. Standing Committee Reports</b>   |  |       |
| A. <i>Fiscal Affairs &amp; Audit</i>   | Regent Hutton                              |       |
| B. <i>Housing &amp; Dining Taskforce</i>   | Regent Rolph                               |       |
| <b>IV. Approval of Consent Agenda</b>  |  |       |
| A. <i>Academic Affairs</i>   |  |       |
| 1. <a href="#">Act on Request to Seek Accreditation for Masters of Science in Health Informatics at the University of Kansas Medical Center</a>                                  | Daniel Archer,<br>VP, Academic Affairs     | p. 17 |
| 2. <a href="#">Act on Proposed Policy Changes to Align with Recent U.S. Department of Education Regulations and Update other Language</a>  |  | p. 19 |
| B. <i>Fiscal Affairs &amp; Audit</i>   |  |       |
| 1. <a href="#">Amend the FY 2020 Capital Improvement Plan and Approve Program Statement for Construction of a Convergence Sciences Facility for Digital Transformation – WSU</a> | Eric King,<br>Interim Director, Facilities | p. 30 |
| C. <i>Retirement Plan</i>  |  |       |
| 1. <a href="#">Act on Fund Investment Line-Up Changes in the Board’s Mandatory Retirement Plan</a>   | Madi Vannaman,<br>Staff Affiliate          | p. 31 |

- 2. [Act on New TIAA and Voya Recordkeeper Contracts for the Board’s Voluntary Retirement Plan](#) Natalie Yoza, Associate General Counsel p. 33

*D. Technical Education Authority*

- 1. [Act on 2020-2021 Qualifying Credentials](#) Scott Smathers, VP, Workforce Development p. 37
- 2. [Act on Proposed Amendments to the Kansas Technology Innovation and Internship Program Policy](#) p. 42

**V. Consideration of Discussion Agenda**

*A. Academic Affairs*

- 1. [Discuss Updated Low-Enrollment Undergraduate Program Data](#) Regent Schmidt Daniel Archer, VP, Academic Affairs p. 45
- 2. [Act on Request for a COVID-19 Response Exception to the Board’s Tenure Clock Extension Policy](#) p. 55

*B. Fiscal Affairs & Audit*

- 1. [Receive and Discuss Capital Improvement Requests for FY 2022 and Five-Year Plans – University System \(First Read\)](#) Regent Hutton Eric King, Interim Director, Facilities p. 56
- 2. [Act on Allocation of FY 2020 Educational Building Fund Appropriation – System](#) p. 58
- 3. [Act on Request to Raze Building – WSU](#) p. 59
- 4. [Act on Distribution of FY 2021 Postsecondary Education Operating Grant to State Universities](#) Elaine Frisbie VP, Finance & Administration p. 60
- 5. [Review Request to Establish a National Institute of Digital Transformation and Convergence Sciences – WSU](#) President Golden p. 61
- 6. [Review Request to Establish a Food, Health and Biosecurity Program – KSU](#) Provost Taber p. 63
- 7. [Review Request for Transfer and Military Initiative – FHSU](#) President Mason p. 65
- 8. [Act on Request to Purchase Private Housing Facilities \(The Flats and The Suites\) Located on Campus and Act on Request to Seek Bonding Authority to Finance the Purchase – WSU](#) Julene Miller, General Counsel p. 66

C. *Other Matters*

- |   |  |              |
|---|--|--------------|
| 1. <a href="#">Receive Overview of New Board Strategic Plan</a> | Blake Flanders,<br>President and CEO             | <i>p. 68</i> |
| 2. <a href="#">Receive Legislative Update</a>                   | Matt Casey,<br>Director, Government<br>Relations | <i>p. 68</i> |
| 3. <a href="#">Act on Request to Create a Council</a>           | Blake Flanders,<br>President and CEO             | <i>p. 68</i> |

**Thursday, April 16, 2020**

**VI. Executive Session**

Board of Regents – Personnel Matters Relating to Non-Elected Personnel *p. 69*

**VII. Adjournment**



# MINUTES OF PREVIOUS MEETING(S)

## I. Call To Order

Regent Bangerter, Chair

### A. Approve Minutes

### KANSAS BOARD OF REGENTS MINUTES OF SPECIAL MEETING March 11, 2020

The Kansas Board of Regents met by conference call on Wednesday, March 11, 2020. Chair Shane Bangerter called the meeting to order at 7:00 p.m. Proper notice was given according to law.

MEMBERS PRESENT:

- Shane Bangerter, Chair
- Bill Feuerborn, Vice Chair
- Ann Brandau-Murguia
- Cheryl Harrison-Lee
- Mark Hutton
- Shelly Kiblinger
- Jon Rolph
- Allen Schmidt
- Helen Van Etten

### **RESPONSE TO COVID-19**

Chair Bangerter reported earlier in the day the university CEOs had a call with the Secretary of the Kansas Department of Health and Environment, Dr. Lee Norman, to discuss the universities' COVID-19 response plans. During the call the CEOs expressed their concerns about having students return from spring break and potentially spreading the virus. Currently Kansas State University, the University of Kansas, and Emporia State University are on spring break. The other three universities are scheduled to go on spring break later in March. To mitigate the potential spread of the virus, the CEOs discussed the possibility of suspending classes next week (March 16-20) to allow faculty time to convert courses to online delivery, which would begin on Monday, March 23, 2020.

Regent Bangerter stated the reason for this Board meeting is for the Board to hear each university's response plan and determine if the university academic calendars should be adjusted. Regent Bangerter then read the Board's policy on academic calendars, which is listed below, and noted any substantive changes to calendars require Board approval unless there has been a natural disaster or national emergencies declared. Regent Bangerter stated that as of right now President Trump has not declared this event a national emergency.

#### **1. ACADEMIC CALENDAR**

(See Chapter III., Section A. for additional academic affairs policies applicable to state universities)

**a.** The Academic Calendar of each state university shall provide for an academic year minimally consisting of two sixteen-week semesters totaling no fewer than 146 instructional days plus five final exam days each semester.

**b.** Each state university shall file a three-year Academic Calendar adhering to holidays and breaks approved by the Board. Each state university shall follow the calendar as approved by the Board. Any deviation for reasons other than natural disasters or national emergencies must have prior approval of the Board.

- c. The President and Chief Executive Officer of the Board shall have the authority to approve or deny non-substantive revisions to Board-approved three-year calendars and shall periodically report these changes to the Board.

Dr. Norman, Secretary of the Kansas Department of Health and Environment, briefed the Board on the current status of COVID-19 cases in Kansas. At this time there is no community spread of the virus, but he cautioned that the situation can change very rapidly. Dr. Norman stated he reviewed the proposed plans of the universities and understands that returning students are a concern. He agreed it would be appropriate for the institutions to implement a cooldown period by suspending classes next week, for the institutions that are currently on spring break, and then to move to online delivery. The universities can then monitor the situation to determine how to move forward. Dr. Norman also stated the universities need to have a plan in place to maintain social distancing for students that need to live on campus during this period.

President Myers stated Kansas State University is currently on spring break, and he is requesting to suspend classes next week (March 16-20, 2020), which will allow faculty the time to move classes to online delivery. Classes will then resume online beginning Monday, March 23. President Myers stated his biggest concern is for the safety of students, faculty, and staff. He noted the health system in Riley county is very good and capable of responding to normal patient caseloads. However, if thousands of students and staff return and get sick, the health system could be overwhelmed very quickly. President Myers stated there are students who will need to be housed on the campus. These students will be moved to single rooms, and the University will be adjusting its dining to accommodate social distancing.

Chancellor Girod concurred with President Myers' concerns. He noted many universities across the nation are moving to online course delivery to help stop the spread of the virus. Like Kansas State University, the University of Kansas is requesting to suspend its classes next week and begin online delivery on Monday, March 23. KU will then monitor the situation to determine if face-to-face classes can resume after March 30. Regent Murguia would like to remove the reference that online classes will end on March 30. She believes this will confuse students especially if KU needs to keep extending the timeframe.

President Garrett stated Emporia State University is requesting to suspend its face-to-face instruction and move classes to a virtual format. The University may need to suspend its classes next week to allow faculty time to get their classes converted. She noted the campus will remain open but is encouraging students to stay home. President Garrett also reported the University's sporting events will move forward with no fans.

Wichita State University, Fort Hays State University, and Pittsburg State University all have spring breaks scheduled for later this month. The CEOs will monitor the situation to determine if the universities need to move online.

Regent Rolph asked how the universities are handling student athletic events. Chancellor Girod and President Myers stated they are following the guidelines of the Big XII Conference. President Golden stated Wichita State University is currently moving forward with sporting events with no fans. Regent Murguia would like to see a more unified approach to athletics.

All the Regents concurred that it is important to mitigate the spread of the virus while also continuing to teach the students in our higher education system. Regent Feuerborn moved to allow the university CEOs to change their academic calendars based on their local needs to respond to the COVID-19 outbreak. The university CEOs will determine the best method of delivering courses and will continue to monitor the situation to determine if face-to-face delivery can resume later in the semester. As for athletic events, the universities are to follow their conference guidelines but should also try to be consistent with one another when possible. Regent Van Etten seconded, and the motion carried.

**MARCH BOARD MEETING**

Chair Bangerter stated the Board is currently scheduled to meet on the University of Kansas Medical Center campus on March 18 and March 19. The Board discussed whether to move the meeting back to Topeka. Following discussion, Regent Feuerborn moved to change the location of the Board meeting from the University of Kansas Medical Center to the Board office in Topeka. Regent Van Etten seconded, and the motion carried. The meeting will still be held on Wednesday, March 18.

Chair Bangerter stated at next week’s Board meeting, each CEO will be asked to give an update on how they are implementing their COVID-19 response plans.

**ADJOURNMENT**

Chair Bangerter adjourned the meeting at 8:19 p.m.

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Blake Flanders, President and CEO

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Shane Bangerter, Chair

**KANSAS BOARD OF REGENTS  
MINUTES  
March 18, 2020**

Due to the COVID-19 pandemic, the Kansas Board of Regents converted its regular in person meeting to a virtual meeting, which was held on March 18, 2020. Chair Shane Bangerter called the meeting of the Kansas Board of Regents to order at 1:30 p.m. Proper notice was given according to law.

MEMBERS PRESENT: Shane Bangerter, Chair  
Bill Feuerborn, Vice Chair  
Ann Brandau-Murguia  
Cheryl Harrison-Lee  
Mark Hutton  
Shelly Kiblinger  
Allen Schmidt  
Helen Van Etten

MEMBER ABSENT: Jon Rolph

**WELCOME**

Chair Bangerter welcomed everyone and noted that the meeting is being live-streamed. He then reported that the Board met by conference call on March 11, 2020 to discuss the universities’ COVID-19 response plans. The Board received information from Dr. Lee Norman, Secretary of the Kansas Department of Health and Environment, and heard from the CEOs on their campus plans, which for some included suspending face-to-face instruction and moving to a virtual format. Chair Bangerter noted that in that March 11 meeting the Board authorized the university CEOs to respond to the COVID-19 outbreak based on their local campus needs. This decision was made to allow the universities (KU, KSU, and ESU) that were on spring break in the prior week to immediately implement their plans to move to distance learning while also allowing the other universities (PSU, FHSU, and WSU) time to evaluate how to move forward before their students left for spring break. Chair Bangerter stated the university CEOs, community colleges, and the technical colleges will give updates on how they are responding later in today’s agenda.

**AMEND AGENDA**

Chair Bangerter stated that because today’s Board meeting was changed from in person to virtual, he would like to amend the agenda as follows: 1) remove introductions, 2) remove the reports from the System Council of Presidents, Council of Presidents, Council of Faculty Senate Presidents, and the Students’ Advisory Committee [the faculty and student leaders being unavailable to report], 3) under the discussion agenda, table the discussion on low-enrollment undergraduate program data until the April meeting, 4) under the discussion agenda, table Wichita State University’s request to purchase private housing facilities until the April meeting, and 5) move from today’s discussion agenda to the consent agenda, the FY 2021 Excel in CTE Fees request. Regent Schmidt moved to approve the amendments. Regent Van Etten seconded, and the motion carried.

**APPROVAL OF MINUTES**

Regent Kiblinger moved that the minutes of the February 19-20, 2020 meeting be approved. Following the second of Regent Harrison-Lee, the motion carried.

**GENERAL REPORTS**

**REPORT FROM CHAIR**

Chair Bangerter reported the Board Retirement Plan Committee met yesterday and reviewed a proposal to adopt new recordkeeping contracts with TIAA and Voya for the Voluntary Plan and the Committee approved the

proposed employer contracts. The Committee also approved the investment fund lineups, which were reviewed by its plan consultant, Advanced Capital Group (ACG). Both these items will be placed on a future agenda for the Board to consider. The Committee then received information from ACG on the fund performance in the current Mandatory Plan and reviewed funds that were on the Board's watch list. Chair Bangerter stated he appreciated the explanation provided by Brad Tollander, the Plan's ACG consultant, on the three types of market downturns. Chair Bangerter then summarized Mr. Tollander's explanation. The first category of downturn is inflation, which typically has an average duration of 26 months and the average draw down is 30 percent. The second type is structural, which typically has an average duration of 42 months and the average draw down is 57 percent, and the third type is event driven, which typically has an average duration of seven months and the average draw down is 26 percent. The current crisis associated with COVID-19 would be classified as an event driven downturn and typically losses are recovered one year after the event ends. Chair Bangerter also reported that representatives with TIAA and Voya are available to plan participants if they have any questions.

#### REPORT FROM PRESIDENT AND CEO

President Flanders highlighted that the Board office typically follows the Governor's directives on inclement weather announcements and other events that impact state workers even though the office is not a Cabinet agency under the Governor's control. He stated this week Governor Kelly announced that certain employees in her Cabinet agencies will be placed on paid administrative leave while the agencies develop plans on how best to operate in the coming weeks. President Flanders reported this directive is one that the Board office does not need to follow because Director of IT Steve Funk and his IT team along with the human resources team have put the office into a position where everyone can work remotely, and all Board staff have smoothly transitioned to remote work, which began on Monday, March 16, 2020.

#### REPORT FROM UNIVERSITY CEOS, COMMUNITY COLLEGES, AND TECHNICAL COLLEGES

Chancellor Girod reported the University of Kansas has modified its operation to address the COVID-19 pandemic. KU was on spring break last week and decided to suspend classes this week to give faculty and staff time to convert classes to online delivery. The original plan was to deliver classes online for two weeks and then return to face-to-face classes, but KU will now continue online delivery through the end of the semester. KU's classes will resume on Monday, March 23, 2020. The Chancellor stated the University's resident halls are closed but there are rooms available to those students who do not have other housing options. Faculty and staff who can work from home have been transitioned to remote work. To adhere to the social distancing guidelines, the University has cancelled all major events and is allowing gatherings of only ten people or less through May 15. Chancellor Girod stated he has not yet made a decision on whether to hold commencement ceremonies in May and will continue to monitor the situation. The Chancellor reported the University's Law Enforcement Training Center has suspended classes for three weeks and noted that the Medical Center has suspended all of its clinicals, which will protect the students and preserve healthcare equipment. However, the impact on students who will not be able to meet the clinical hour requirements is a concern and the Chancellor stated the University is expressing these concerns to the different accreditation bodies. The Chancellor concluded by thanking the students, faculty and staff for all their work and dedication during these difficult times.

President Myers reported Kansas State University suspended classes this week to allow faculty and staff time to convert their classes to an online format. Classes will resume on Monday, March 23 and will be taught online for the remainder of the semester. The University has directed faculty and staff to work from home, but President Myers noted there are individuals who will need to be on the campus to perform essential functions. All out-of-state travel for the University has been suspended and the majority of the study abroad students have returned. Campus food operations and housing have been shut down except for the Jardine Apartments, which will be used to house the students who have no other housing options. Students will be able to return to campus on a designated date to pick up their belongings, which will be coordinated to make sure social distancing is maintained. President Myers reported that student advising and student recruitment will continue in a virtual format. The University has created virtual tours so future Wildcats can explore the campus. President Myers stated the University's May commencement ceremonies have been cancelled. However, the University is looking at other options to celebrate

the success of its graduating students. Additionally, President Myers reported he has received different requests on how K-State can help during this crisis including opening the University's daycare for local healthcare workers and possibly using the KSU Biosecurity Research Center for COVID-19 testing. The University is looking into all these requests. President Myers concluded by stating that the COVID-19 crisis is going to have a major financial impact on all the universities.

Regent Hutton stated the financial downturn of the market will impact all of the universities' endowments and foundations and their ability to offer student scholarships. President Myers stated this is a concern and noted the K-State Foundation is collecting data on the expected impact. Regent Hutton asked all the universities to collect information on the expected financial impact on endowed student scholarships.

President Golden reported Wichita State University has moved to remote teaching for its students. Faculty and staff are working from home with a few exceptions for personnel who need to be on campus to perform vital functions. He noted that WSU invested funds to upgrade hardware and purchase additional computers to be used by faculty and staff, which has made the transition to remote work go more smoothly. With regard to financial impact, WSU is collecting information on how this event is impacting its budget and as part of that process, the University will be looking at student scholarship funding levels. President Golden also noted that with the market downturn, there is a good possibility that donor gifts will also decrease. President Golden reported there are only 150 students currently living on the WSU campus and these students are provided boxed meals. The University is receiving many questions from students and parents related to refunds associated with their housing and dining plans along with parking fees. WSU will continue to work on these issues. President Golden stated the commencement ceremonies scheduled for May have been cancelled, but WSU is looking at other ways to celebrate student completion. He also reported that like KU, WSU has stopped all clinical rotations and there is a concern about how this will affect students and their ability to graduate. As the Chancellor noted, this is an accreditation issue because these students are required to have a specific number of hours of hands-on experience, which is not possible during this time. With regard to WSU Tech, the campus is closed until April 6, which will give faculty time to convert to online classes. President Golden noted that high school students enrolled in technical courses will continue with their classes in an online format. Similar to clinicals, there are going to be challenges with specific technical education classes that require hands-on training.

President Scott reported Pittsburg State University activated its critical response team to develop its COVID-19 campus response plan. This team meets daily and has identified the following University priorities: 1) maintain the health and safety of its students, faculty, and staff, 2) maintain transparency through clear communication, and 3) help students with degree completion. To help keep the campus community informed, PSU developed a website that contains information on the COVID-19 pandemic. President Scott stated PSU will be on spring break next week and students will resume classes online on Monday, March 30. During this timeframe, faculty and staff will be transitioning to remote work. President Scott echoed the challenges associated with classes that require hands-on training and noted that PSU has also cancelled its May graduation ceremonies. However, the University will develop a plan to celebrate these students' accomplishments at a later date. President Scott stated PSU is in the process of collecting data on the direct cost associated with this crisis and noted he is concerned about how this crisis will affect future student enrollments because many families are being financially impacted. Additionally, President Scott stated that loss of student scholarship dollars is also a concern.

President Garrett reported Emporia State University has converted its curriculum to online instruction, which will continue through the end of the semester. Counseling and advising have also been converted to a virtual format, and she noted the importance of having counselors available to students during this self-isolation period. ESU has about 100 students who need to live on campus, and they have been placed in individual rooms with assigned bathrooms. ESU has also changed the way it operates its food bank. Instead of students picking out their own groceries, bags are prefilled for students to grab and go, which adheres to the social distancing guidelines. The University is working on a staggered schedule that will allow students to return to campus to pick up their belongings. For the students who are moving out, the University will be giving prorated refunds. ESU has also

cancelled its May graduation ceremonies but is looking at other options for students who are graduating. President Garrett reported most of the students in the study abroad program have returned home, and the program has been placed on hold until further notice. President Garrett stated that all athletic events have been cancelled. She also noted the NCAA has insurance that may cover a portion of the funds that the institutions typically get from the NCAA. With regard to the financial impact, ESU will continue to collect data and will gather information on how student scholarships will be impacted.

President Trzaska reported the community colleges are taking similar steps to respond to the COVID-19 crisis. All the community colleges are moving classes online and most are moving faculty and staff to remote work. As far as graduations, some colleges have cancelled while others are monitoring the situation and will make their decisions at a later date. The ones that have cancelled are looking at options to celebrate the success of these students in some other way. President Trzaska stated many colleges have either closed their dorms or have limited access. For technical courses, faculty have the discretion to determine how those courses will move forward. The colleges are also working with the high schools on how best to move forward with the high school students who are enrolled in Excel in CTE classes.

President Mason reported Fort Hays State University began dealing with virus response when the crisis started in China. The University transitioned 4,000 students in its China program to online education, which gave the University an opportunity to test its systems. President Mason stated students are clearing out their dorm rooms before they leave for spring break next week. After spring break all classes will resume online. She also noted Fort Hays State has begun issuing prorated fees back to the students who will not return to the residence halls. The University is transitioning its employees to work remotely and is working with North Central Kansas Technical College on the challenges associated with the Gateway Program partnership, which allows NCK Tech students to utilize the same on-campus benefits that are offered to FHSU students. Provost Arensdorf then explained how the University is helping faculty transition to online teaching and noted the issues with clinicals are being address at the department level. She also noted there are challenges associated with labs but many of the associations are developing materials to help address these issues, which could include purchasing technology that will simulate activities.

President Genandt presented the technical college report. The technical colleges are responding in different ways, depending on where they are located in the state. The colleges are following the guidelines of their local health departments and the guidelines of Kansas Department of Health and Environment. President Genandt noted each college has its own website where students can go for information. He reported most of the colleges are able to convert their general education classes to an online format. However, the biggest challenge for many of the colleges are the courses that require hands-on training. The colleges are working with their local school districts to continue educating the high school students who are enrolled in Excel in CTE classes. President Genandt reported that most of the colleges have cancelled their graduation ceremonies and many of the employees are working remotely.

The Regents thanked all of the CEOs for their leadership. They noted the importance of celebrating student achievements and are glad all institutions are looking at ways to honor those students who will be graduating in May.

## **STANDING COMMITTEE AND OTHER REPORTS**

### **ACADEMIC AFFAIRS**

Regent Schmidt presented the Board Academic Affairs Standing Committee report. Stacy Smith with the Kansas State Department of Education provided an update on the Individual Plans of Study. Every student in grades 8 through 12 are required to have an individual plan, and these plans are designed to help students set educational goals and create a roadmap for success beyond high school including pathways toward college and career readiness. The Committee then heard from Flint Hills Technical College on its academic advising practices.

Regent Schmidt noted the Committee has now heard from all the institutions on their advising practices, and Board staff will be analyzing the information to create a best practices document, which will be presented to the Committee at a later date. The Committee also discussed the continued efforts to address the shortages of direct support personnel workers and received an update on the Coordinating Council. Regent Bangerter then asked Regent Kiblinger to present the Coordinating Council update to the Board.

Regent Kiblinger reported she had a call with her co-chair, Kathy Busch, to discuss three potential agenda topics for the Council's next meeting. The first topic is the idea of developing a webpage that contains all the Excel in CTE courses that are available to high school students. This resource would be helpful to high school students and counselors and would make program articulation easier. The second topic is developing advisory connections between K-12 counselors and postsecondary academic advisors, and the third topic is looking at alternatives to college algebra. Regent Kiblinger stated these topics were discussed before the COVID-19 crisis. Some topics that the Council could discuss to address issues associated with the pandemic include the following: 1) having all postsecondary institutions send guidance to instructors who are teaching high school dual enrollment classes to make sure these classes can be provided online, which will allow high school students to complete and acquire their credit, 2) reviewing the benefits of aligning all spring breaks for the entire education system in Kansas (K-12 through postsecondary), and 3) developing common advisory committees for career and technical education programs, which would benefit business and industry.

#### FISCAL AFFAIRS AND AUDIT

Regent Hutton presented the Fiscal Affairs and Audit Standing Committee report. The Committee discussed the number of Kansas resident students affected by Emporia State University's tuition rate proposal, which is on today's Board agenda for action. The Committee then heard from Stacia Boden with Wichita State University on the appraisal for The Flats and Suites. Julia Mast with BKD presented two external management review audits to the Committee. Pittsburg State University's audit, which was conducted in accordance with the every-five-years timeline in the Board's policy, contained no findings and only a few recommendations. Wichita State University's audit was initiated after the passing of President Bardo. BKD indicated they saw improvements from WSU's previous report and had no findings in this report. The Committee requested the two universities review and provide follow up information on BKD's recommendations, which can be presented to the Committee in the fall. The Committee then received a presentation from Jim MacMurray with the Kansas Development Finance Authority on the municipal market, which is in flux at the moment. He shared the Moody's annual report on Higher Education and four key operating and balance sheet metrics for the six universities. The Committee also received an update from Board staff on the deferred maintenance initiative and received information on a proviso that will be attached to the appropriations bill on extending in-state tuition rates to members of the four federally recognized Kansas tribes who live outside of Kansas.

#### APPROVAL OF CONSENT AGENDA

Regent Hutton moved, with the second of Regent Van Etten, that the Consent Agenda be approved as amended. The motion carried.

#### Academic Affairs

##### BACHELOR OF SCIENCE IN INTEGRATIVE PHYSIOLOGY – KSU

Kansas State University received approval to offer a Bachelor of Science degree in Integrative Physiology (CIP 26.0901). The estimated cost of the program for the first three years is as follows: year one - \$301,340, year two - \$306,226, and year three - \$311,291. The primary source of funding for the program will be student tuition and fees.

##### AY 2022-2025 ACADEMIC CALENDARS

The 2022-2025 state university academic calendars were approved.



(Calendars filed with Official Minutes)

AY 2020 AND AY 2021 BRIDGE PERFORMANCE AGREEMENTS

The bridge performance agreements for Academic Year 2020 and 2021 for all 32 public higher education institutions were approved.

Technical Education Authority

NEW PROGRAM AT GARDEN CITY COMMUNITY COLLEGE

Garden City Community College received authority to offer a Technical Certificate A (18 credit hours) in Carpentry (46.0201). The estimated cost of the program is \$66,250, which will be funded with the College’s general fund. The College plans to begin the program in the fall of 2020.

REMOVE PROGRAM CAP RESTRICTION ON DIAGNOSTIC MEDICAL SONOGRAPHY – LABETTE COMMUNITY COLLEGE

Labette Community College received approval to remove the student and site caps that were placed on its Diagnostic Medical Sonography program (51.0910) when the program was approved by the Board in 2010.

FY 2021 EXCEL IN CTE FEES

The Technical Education Authority’s recommendations for the Excel in CTE fee criteria and AY 2021 fee schedule were approved.

Allowable fees include:

- Uniforms
- Student owned tools/kits
- Personal protective equipment
- Background checks, Fingerprints, Drug tests
- E-subscriptions/E-books, Textbooks
- Certification tests
- Individual liability insurance (e.g. student malpractice)
- Graduation fees (if applicable)
- Transcript fees (if applicable)

Unallowable fees include:

- Student fees (general)
- Technology fees
- Health fees
- Consumables and supplies
- Any other fee not on the allowable list

Retirement Plan

APPOINTMENTS TO RETIREMENT PLAN COMMITTEE

The following individuals were re-appointed to serve additional three-year terms on the Retirement Plan Committee beginning July 1, 2020:

- Mike Barnett, Vice President for Administration and Finance, Fort Hays State University. Barnett would serve as one of the COBO representatives and has served on the RPC since inception.
- Dr. Dipak Ghosh, Professor of Business Administration in the School of Business, Emporia State University. Dr. Ghosh would serve as one of the subject matter experts and has served on the RPC since inception.
- Dr. Rick LeCompte, H. Dene Heskett Chair in Finance, Wichita State University Department of Finance, Real Estate and Decision Sciences. Dr. LeCompte also would serve as a subject matter expert and has served on the RPC since inception.

**CONSIDERATION OF DISCUSSION AGENDA****Academic Affairs****AMENDMENTS TO THE DEGREE POLICY**

Daniel Archer, Vice President for Academic Affairs, presented the proposed amendments to the Degree policy. The amendments would allow community colleges the option to offer an Associate of Fine Arts degree. Currently the colleges can offer the following degrees: Associate in Arts, Associate in Science, Associate in Applied Science, and Associate in General Studies. Vice President Archer stated the new degree option is needed to better reflect the fine arts course content and it establishes a more concrete alignment between the associate degree and the bachelor degree. The new degree will also allow the colleges and universities the opportunity to expand 2+2 articulation agreements within the fine arts disciplines. Regent Schmidt moved to approve the amendments to the policy. Regent Harrison-Lee seconded, and the motion carried. The following amendments were adopted:

**CHAPTER III: COORDINATION OF INSTITUTIONS****A. ACADEMIC AFFAIRS****9. DEGREES**

## ii. Curriculum

The curriculum shall have a structure that reflects acceptance of responsibility by the faculty at the degree-granting institution for what is to be learned overall, as well as in each course, and thus for the logical sequence and increasing difficulty of subjects and instructional levels. The curriculum shall reflect the distinction between the liberal disciplines and the occupations and professions, the nature of specialization in study and work, the contribution of liberal arts and sciences, and the relationship between teaching and faculty creativity. A graduate curriculum shall reflect a concept of the graduate school as a group of scholars, the faculty members of which have had extensive collegiate teaching experience and are engaged in the advancement of knowledge. Periods of study and other fundamental requirements for the five levels of academic degree are as follow:

(1) “Associate degree” means a degree consisting of courses totaling a minimum of 60 semester credit hours. Additional requirements for specific types of associate degrees follow:

(a) “Associate in arts degree” means a transfer-oriented degree:

(i) Granted to those who successfully complete programs equivalent to the freshman and sophomore level requirements for a bachelor of arts degree; and

(ii) In which not less than 30 semester credit hours in general education are required.

(b) “Associate in science degree” means a transfer- or professional-oriented degree:

(i) Granted to those who successfully complete programs equivalent to the freshman and sophomore level requirements for a bachelor of science degree: and

(ii) In which not less than 30 semester credit hours in general education are required.

(c) “Associate in applied science degree” means a degree:

- (i) Granted to those who successfully complete programs which emphasize preparation in the applied arts and sciences for careers, typically at the technical or semi-professional level; and
- (ii) Consisting of a minimum of 60 semester credit hours and a maximum of 68 semester credit hours, in which not less than 15 semester credit hours in general education and not less than 30 semester credit hours in the area of specialized preparation are required. An exception/waiver to the 68 semester credit hour limit may be granted to meet specific criteria such as external program accreditations or other special requirements. Selected courses may transfer to a college or university upon validation of applicable coursework.
- (d) “Associate in general studies” means a degree:
  - (i) Granted to those who successfully complete programs with an emphasis on a broad range of knowledge; and
  - (ii) In which not less than 24 semester credit hours in general education and not less than 36 semester credit hours in a program of college-level work are required.
- (e) “Associate in fine arts degree” means a transfer-oriented degree:
  - (i) Granted to those who successfully complete programs equivalent to the freshman and sophomore level requirements for a bachelor of fine arts degree; and
  - (ii) in which not less than 30 semester credit hours in general education are required.
- (ef) Other specific types of associate degrees may be offered upon approval by the Board office.

Fiscal Affairs and Audit

MODIFY TUITION AND FEES FOR ONLINE NURSING PROGRAM – ESU

President Garrett presented Emporia State University’s request to unify the tuition and fee charged for two new accelerated online Nursing programs: the Master of Science in Nursing and the Registered Nurse to Bachelor of Science in Nursing. The proposed rates are based on market research and President Garrett noted she does not believe the slight increase in resident rate will impact the number of resident students enrolling in the program. Regent Schmidt moved to approve the new rates, and Regent Kiblinger seconded. The motion carried. Below are the approved rate changes.

<b>Accelerated Online Program</b>	<b>FY 2021 Proposed Rate*</b>	<b>FY 2020 Current Resident Rate*</b>	<b>FY 2020 Current Non-Resident Rate*</b>
MSN	\$400.00	\$367.36	\$500.57
RN to BSN	\$295.00	\$272.67	\$358.66

\* The proposed rates include tuition, distance education fee, technology fee, and ITV fee.

Coordinating Council

SEPTEMBER 2020 BOARD MEETING DATES

President Flanders stated that at the 2019 joint meeting of the Kansas State Board of Education and the Kansas Board of Regents, the two Boards agreed that the Coordinating Council should be reconstructed to discuss and

make recommendations on topics that impact the two Kansas educational systems and the workforce sector. The Boards also concurred that the Kansas State Board of Education and the Kansas Board of Regents should meet annually to receive recommendations from the Council. At its February 10 meeting, the Council discussed possible dates for the joint meeting, and it was decided that the two Boards should align their September meetings so neither Board would need to have a special meeting. President Flanders stated the Kansas State Board of Education meeting is scheduled on Tuesday, September 8 and Wednesday, September 9, 2020 and the Kansas Board of Regents meeting is scheduled on Wednesday, September 16 and Thursday, September 17, 2020. The Council's recommendation is to move the Kansas Board of Regents' meeting up a week to Wednesday, September 9 and Thursday, September 10. The joint meeting can then be scheduled in the morning or afternoon of the 9<sup>th</sup>. Regent Kiblinger moved to approve changing the Board's September meeting to September 9 and 10, 2020. Regent Van Etten seconded, and the motion carried.

Other Matters

DEPARTMENT OF BIOLOGICAL AND AGRICULTURAL ENGINEERING NAMING – KSU

President Myers requested approval to name the Kansas State University Department of Biological and Agricultural Engineering in honor of Carl and Melinda Helwig. Regent Van Etten moved to approve, and Regent Feuerborn seconded. The motion carried. The Department will be named the Carl and Melinda Helwig Department of Biological and Agricultural Engineering.

NAMING THE EXTERIOR WALKWAY CONNECTING KOCH ARENA TO THE NEW STUDENT-ATHLETE SUCCESS CENTER – WSU

President Golden presented Wichita State University's request to name the exterior walkway that connects Koch Arena and the new Student-Athlete Success Center after Equity Bank. Regent Van Etten moved to approve, and Regent Schmidt seconded. The motion carried. The space will be named the Equity Bank Walkway of Champions.

LEGISLATIVE UPDATE

Matt Casey, Director of Government Relations, presented the legislative update. Because of the COVID-19 outbreak, the Legislature is moving quickly to finalize its annual budget bill. The House and Senate budget committees are currently discussing the different budgetary items, including relief funds to address the financial impact of the COVID-19 virus. Director Casey noted financial data on how this virus is impacting the budgets of the public higher education system was collected and is being provided to the Legislature. Director Casey also reported the Legislature is planning to return on April 27 for the veto session and to consider any bills that have not passed, and the Legislature is also planning to draft an omnibus bill to consider items that may be deferred from the current budget that are being considered. He did note that these timelines could change depending on the circumstances surrounding the outbreak.

EXECUTIVE SESSION

At 4:06 p.m., Regent Feuerborn moved, followed by the second of Regent Kiblinger, to recess into executive session for 60 minutes to discuss personnel matters of non-elected personnel. The subject of this executive session was to prepare for university CEO evaluations and the purpose was to protect the privacy of the individual Board employees involved. Participating in the executive session were members of the Board, President Flanders, and General Counsel Julene Miller. At 5:06 p.m., the meeting returned to open session.

ADJOURNMENT

Chair Bangerter adjourned the meeting at 5:07 p.m.

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Blake Flanders, President and CEO

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Shane Bangerter, Chair

# REPORTS AND CONSENT AGENDA

## II. Introductions and Reports

- A. *Introductions*
- B. *Report from the Chair* Regent Bangerter, Chair
- C. *Report from the President & CEO* Blake Flanders, President & CEO
- D. *Report from the System Council of Presidents* President Trzaska
- E. *Report from the Community Colleges* President Trzaska
- F. *Report from Council of Presidents* President Garrett
- G. *Report from Council of Faculty Senate Presidents* Greg Schneider
- H. *Report from the Technical Colleges* President Genandt

## III. Standing Committee Reports

- A. *Fiscal Affairs & Audit* Regent Hutton
- B. *Housing & Dining Taskforce* Regent Rolph

## IV. Approval of Consent Agenda

- A. *Academic Affairs*
  - 1. **Act on Request to Seek Accreditation for Masters of Science in Health Informatics at the University of Kansas Medical Center** **Daniel Archer, VP, Academic Affairs**

### Summary and Recommendation

The University of Kansas Medical Center is seeking approval to pursue programmatic accreditation for its Master of Science in Health Informatics from the Commission on Accreditation for Health Informatics and Health Information Management Education (CAHIIM). The total cost of initial accreditation is \$16,000. Staff recommends approval.

### Background

Board policy (II.7.1.i.) on accreditation requires state universities to seek approval prior to pursuing initial accreditation for an academic program.

### Request: Master of Science in Health Informatics

The University of Kansas Medical Center seeks approval for the Master of Science in Health Informatics from the Commission on Accreditation for Health Informatics and Health Information Management Education (CAHIIM).

CAHIIM is the only accreditor for informatics master’s programs of study in the United States. It is recognized by the Council for Higher Education and Accreditation (CHEA), a national advocate and institutional voice for self-regulation of academic quality through accreditation. As the field of health informatics is relatively new, this accreditation just opened for applications in mid-2019. Accreditation is being sponsored by the American Medical Informatics Association (AMIA).

The following costs are associated with CAHIIM accreditation:

<b>Costs for Health Informatics Accreditation</b>		
Fee	Amount	Due
Pre-Application Fee	\$500	One-time fee due when submit pre-application for candidacy
Candidacy Status Fee	\$7,500	One-time fee, due 3 months after pre-application, when candidacy is granted, and self-study starts
Site Visit Processing Fee	\$8,000	One-time fee, due 12-18 months after candidacy s granted and before site visit
<b>Total for Initial Accreditation</b>	<b>\$16,000</b>	
<b>Continuing Accreditation</b>	<b>\$2,750</b>	<b>Annually</b>

**Advantages of Public Health Accreditation**

Increasingly, higher levels of education and professionalism are required in health informatics, as it is considered to be indirect patient care. Accreditation offers both students and potential employers some assurance that a degree program provides its graduates with the necessary training. Potential students in applied health informatics inquire about program accreditation with increasing frequency.

In addition to sponsoring the development of the accreditation standards, AMIA is also in the process of developing a professional board certification exam for new graduates. The current plan is to require graduation from an accredited educational program. The tentative date for the first exam is March 2021.

There are currently only 11 CAHIIM accredited master’s informatics programs in the United States. There are currently no programs accredited in the KUMC region. However, the University of Missouri – Columbia has begun the accreditation process through CAHIIM. Part of the KUMC MS in Health Informatics marketing strategy is to be the first in the area to be an accredited program in applied health informatics.

Some of the benefits of accreditation in health informatics programs include<sup>1</sup>

- Encouraging confidence that the educational activities of a program have been found to meet accreditation standards supported by the profession.
- Assisting with student mobility by indicating to institutions that transfer students or graduate school applicants have met the expected thresholds of education quality.
- Signaling to prospective employers that a student’s educational program has met widely accepted professional standards; graduation from an accredited program is often a prerequisite for entering the profession.
- Assuring that programs reflect changes in knowledge and practice.

**Recommendation**

Total cost of initial accreditation is \$16,000, with an annual renewal fee of \$2,750 thereafter. Staff recommends approval of this request.

<sup>1</sup> CAHIIM (2020). *Value of Accreditation*. Retrieved from: <https://www.cahiim.org/about-us/value-awareness/benefits-of-accreditation> .

**2. Act on Proposed Policy Changes to Align with Recent U.S. Department of Education Regulations and Update other Language**

**Summary and Staff Recommendation**

*On November 1, 2019, the U.S. Department of Education issued final regulations governing the recognition of accrediting agencies and other areas of the Higher Education Act to take effect July 1, 2020. One key change was the labeling of accrediting agencies. The Department will no longer categorize accrediting agencies as regional or national. The terms “regionally accredited” and “nationally accredited” will no longer be used or recognized by the department. According to the U.S. Department of Education these regulations seek to “provide increased transparency and introduce greater competition and innovation that could allow an institution or program to select an accrediting agency that best aligns with the institution’s mission, program offerings, and student population” (<https://federalregister.gov/d/2019-23129>). This regulation requires changing Board policy to align with the recognized terms.*

**Background**

The Higher Learning Commission is the accrediting body currently assigned to the geographic region of 19 states, which includes Kansas. Board policy requires all public post-secondary institutions conferring degrees to achieve and maintain accredited status with the Higher Learning Commission. Recent action of the U.S. Department of Education no longer categorizes accrediting agencies as regional or national and requires the removal of “regional” in policy when referencing accreditation. Three areas of Board policy contain “regional” language and require revisions. These revisions occur in Chapter III under Academic Affairs: Section 2- Transfer and Articulation, Section 12- Accreditation of Degree Granting Institutions, and Section 13- Concurrent Enrollment of High School Students in Eligible Public Postsecondary Institutions Through Concurrent Enrollment Partnerships.

In addition to the updated language for accreditation, the recent launch of the Transfer Kansas portal provided an opportunity to remove outdated language in the Systemwide Transfer and Articulation section of Board policy. These minor changes do not affect the intent or purpose of the policies.

**Staff Recommendation**

Staff recommends approval of the proposed policy changes below to update language of current practice and align with the final regulations of the *Student Assistance General Provisions, The Secretary’s Recognition of Accrediting Agencies, The Secretary’s Recognition Procedures for State Agencies* from the Office of Postsecondary Education of the U.S. Department of Education.

**CHAPTER III: COORDINATION<sup>2</sup> - STATE UNIVERSITIES, COMMUNITY COLLEGES, TECHNICAL COLLEGES, WASHBURN UNIVERSITY AND/OR THE WASHBURN INSTITUTE OF TECHNOLOGY**

**A ACADEMIC AFFAIRS** (See Chapter II., Section A. for additional academic affairs policies applicable to state universities)

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**2 TRANSFER AND ARTICULATION**

**a Purpose**

Transfer is recognized as a crucial element within a seamless educational system. The purpose of this policy is to promote seamlessness in the public postsecondary education system in Kansas. A seamless educational system offers the best resources to provide a high quality education for every student, and

<sup>2</sup> See Chapter I., Section A.3 for definition of Coordination.

empowers and encourages each student to reach maximum potential by engaging in life-long learning. This includes:

- i Aligning high school and college expectations and standards to improve access and success;
- ii Providing access to postsecondary education;
- iii Providing high quality advising and information at every point of the journey to ensure that students understand the preparation required to succeed at the next level;
- iv Building connections and strengthening communications within and between the parts of the system; and
- v Providing a smooth transition from one level of learning to the next level, including graduate and professional education.

b Systemwide Transfer and Articulation

To facilitate transfer and articulation across the Kansas public postsecondary education system, the Board shall provide for a Transfer and Articulation Council with oversight responsibility for implementing the Board’s systemwide transfer and articulation policy. The Council’s mission is to create structures and processes that facilitate student transfer and degree completion within Kansas higher education. The Council provides status reports, as appropriate, to the System Council of Chief Academic Officers.

i The Transfer and Articulation Council shall:

- (1) Charge the Kansas Core Outcomes Groups with developing specific course articulations;
- (2) Adjudicate disagreement from the Kansas Core Outcomes Groups;
- (3) Provide final recommendation on systemwide transfer of specific courses;

(The Board of Regents approves specific courses to be accepted for systemwide transfer from any public postsecondary educational institution in Kansas. Each course approved and accepted for systemwide transfer by the Board is identified by a shared course number that supports a student-first philosophy, and is designed to enhance educational planning and effortless course transfer. A Kansas Regents Shared Number (KRSN) uses a 3-letter prefix and a 4-digit course number to differentiate the KRSN number from individual institution course prefixes and numbers. Each institution retains its own unique course prefix and course number.)

- (4) Assure quality and adherence to the agreed-upon learning outcomes of courses articulated across the institutions; and
- (5) Review proposed revisions to Board policies and bring forward issues and trends that affect transfer and articulation.

ii In addition, the Transfer and Articulation Council shall:

- (1) Identify courses acceptable for systemwide articulation and transfer with a focus on lower division general education courses and introductory courses to majors;
- (2) Create an effective, faculty-led structure for discipline level course articulations based on learning outcomes;
- (3) Ensure that appeals processes exist: (a) for individual students at the institutional level; and (b) at the system level to ensure equitable resolution of transfer concerns between institutions;



- (4) Address barriers to inter-institutional cooperation as they arise;
  - (5) Use learning outcomes to determine course equivalency; and
  - (6) Implement a clear and ongoing transfer structure.
- iii The Transfer and Articulation Council shall have a core outcomes subcommittee and a quality assurance subcommittee.
  - iv Kansas Core Outcomes Groups – These groups composed of faculty representing specific disciplines, shall carry out the work of course transfer articulation in accordance with the *Kansas Transfer and Articulation Procedures*. Each Kansas core outcomes group shall:
    - (1) Receive its charge from the Transfer and Articulation Council;
    - (2) Review specific courses within the discipline to articulate learning outcomes associated with courses and agree upon system-wide transfer of course credit as direct equivalents for transfer; and
    - (3) Report to the Transfer and Articulation Council.

One Transfer and Articulation Council member shall be appointed by the Council to serve as a non-voting ex officio member liaison to each discipline-specific core outcomes group. The Council liaison’s role is to ensure that the mission of the Transfer and Articulation Council is communicated to, and carried out by, each core outcomes group and to ensure excellent communication between the Council and each core outcomes group.

- c System Support. Board staff shall support the Board’s systemwide transfer initiative by:
  - i Maintaining a website for dissemination of transfer information;
  - ii ~~Maintaining a Common Course Equivalency Guide including a Common Course Matrix~~ a list of equivalent courses offered by institutions for all courses that transfer systemwide; and
  - iii Collecting and reporting common data on transfer student success and completion as one measure of system effectiveness.
- d Institutional Transfer and Articulation. To promote seamlessness, each public postsecondary educational institution shall develop and publicize its own transfer policy.
  - i Each public university shall appoint a point person for transfer and articulation issues and shall clearly identify that individual’s contact information on the university web site.
  - ii An institutional transfer policy shall not conflict with the Board’s systemwide transfer policy.
  - iii An institutional transfer policy shall include an appeal process.
  - iv An institutional transfer policy shall treat transfer students the same way academically as non-transfer students.
  - v An institutional transfer policy shall ensure transfer of substantially equivalent courses from any Kansas public postsecondary institution.
  - vi An institutional transfer policy shall ensure transfer of general education courses from any ~~HLC accredited~~ Kansas public postsecondary institution accredited by a nationally recognized agency, subject to conditions in paragraphs f.(iii) and b.

- vii Courses not substantially equivalent to a course offered by the receiving institution may be transferred at the discretion of the receiving institution.

- e Articulation Agreements

- i Between Community Colleges, Technical Colleges and the Institute of Technology

In accordance with K.S.A. 74-32,420, the board of trustees of each Kansas community college, the governing board of each Kansas technical college and the board of control of the Institute of Technology shall establish transfer and articulation agreements providing for the transferability of substantially equivalent courses of study and programs in order to facilitate the articulation of students to and among those institutions.

- (1) The Board of Regents shall be notified of each agreement at the time the agreement is executed.

- (2) Each agreement shall be effective only after submission to and approval by the Board of Regents. (K.S.A. 74-32,420). Preliminary approval shall be given by the Board President and Chief Executive Officer, or designee, upon verification that the agreement is consistent with this policy. Final approval shall require ratification by the Board.

- ii Between Community Colleges, Technical Colleges, the Institute of Technology, State Universities, and Washburn University

In accordance with K.S.A. 72-4454, Kansas technical colleges, community colleges, the Institute of Technology, state universities and Washburn University shall establish articulation agreements providing for the transferability of substantially equivalent courses of study and programs that are offered at those institutions in order to facilitate articulation of students in technical programs to and among the Kansas technical colleges, community colleges, Institute of Technology, state universities and Washburn University.

- iii Institutions are strongly encouraged to develop program-to-program articulation agreements. Such agreements may provide additional transfer opportunities over and above the opportunities named in this policy, but may not conflict with this policy.

- f General Transfer Provisions

- i Each Kansas public postsecondary educational institution shall establish its residency requirements, graduation requirements, and any admission requirements to professional or specific programs.

- (1) Admission to an institution shall not equate with admission to a professional school or a specific program.

- (2) Except as provided in paragraph f.iii., students must complete all graduation requirements of the receiving institution.

- (3) Students with a completed associate degree who transfer into a professional school or specialty program may need more than two academic years of course work to complete the baccalaureate degree, depending on requirements of the program.

- ii Requirements for transfer of credits between and among Kansas public postsecondary educational institutions include the following:

- (1) Transfer coursework must be transcribed in credit hours.

(2) Students transferring to Kansas public universities with a completed AA or AS degree shall be given junior standing.

iii Transfer of general education credit to and among Kansas public universities, including state universities and Washburn University, shall follow the requirements below.

Although the following distribution of courses does not necessarily correspond to the general education requirements for the bachelor degree at any Kansas public university, it shall be accepted as having satisfied the general education requirements for the bachelor degree of all Kansas public universities.

A minimum of 45 credit hours of general education with distribution in the following fields shall be required. General education hours totaling less than 45 shall be accepted, but transfer students must complete the remainder of this requirement before graduation from the receiving institution, which may require an additional semester(s).

(1) 12 hours of Basic Skills courses, including:

- 6 hours of English Composition
- 3 hours of Public Speaking or Speech Communication
- 3 hours of college level Mathematics; college Algebra and/or Statistics will be required of transfer students where the curriculum of the receiving institution requires it

(2) 12 hours of Humanities courses from at least three of the following disciplines:

- Art\*
- Theater\*
- Philosophy
- Music\*
- History\*\*
- Literature
- Modern Languages

(3) 12 hours of Social and Behavioral Science courses from at least three of the following disciplines:

- Sociology
- Psychology
- Political Science
- Economics
- Geography
- Anthropology
- History\*\*

(4) 9 hours of Natural and Physical Science courses from at least two disciplines (lecture with lab)

- \*Performance courses are excluded.
- \*\*The receiving institution will determine whether history courses are accepted as humanities or as social sciences.

iv Many of the Board approved systemwide transfer courses meet general education requirements at the public postsecondary educational institutions in Kansas.

v Although a transfer general education curriculum has not been established for associate degrees, the transfer curriculum is assumed to be a subset of the curriculum in paragraph j. above.

vi Public universities may develop program-to-program articulation agreements for the AAS degree.

vii Courses completed as part of technical programs (non-degree) and completed AAS degrees shall transfer according to option (1) or (2) below:

(1) As a block to articulated programs at community colleges, technical colleges, and to those universities that have program to program articulation agreements.

(2) On a course-by-course basis

(a) General education courses may be transferred according to paragraphs d.vi., f.iii., and f.v. above.

(b) Substantially equivalent courses may be transferred on a course-by-course basis according to paragraph d.v. above.

(c) Other courses may be transferred as electives according to paragraph d.vii. above.

g Students who intend to transfer are responsible for becoming acquainted with the program and degree requirements of the institution to which they expect to transfer.

...

12 ACCREDITATION OF DEGREE GRANTING INSTITUTIONS

It is the policy of the Board of Regents that all public post-secondary institutions conferring college degrees achieve and maintain accredited status with ~~the Higher Learning Commission of the North Central Association,~~ an a nationally recognized accrediting commission agency for higher education in the United States.

Any public post-secondary institution that has not achieved or does not maintain accredited status with ~~the Higher Learning Commission of the North Central Association~~ a nationally recognized accreditor may be subject to loss of degree granting authority.

Each public post-secondary institution pursuing HLC institutional accreditation shall continue to comply with all standards established by the institution's current accrediting agency; and shall submit an end of fiscal year report to the Board of Regents confirming adequate progress toward accredited status ~~with the HLC,~~ including as applicable any supporting documentation.

13 CONCURRENT ENROLLMENT OF HIGH SCHOOL STUDENTS IN ELIGIBLE PUBLIC POSTSECONDARY INSTITUTIONS THROUGH CONCURRENT ENROLLMENTS PARTNERSHIPS

It is the policy of the Kansas Board of Regents to encourage high school students to take advantage of postsecondary education opportunities by enrolling in postsecondary courses while still in high school or participating in home schooling. K.S.A. 72-11a01 through 72-11a05 provide for these opportunities through the Kansas Challenge to Secondary School Pupils Act. The act commonly is known as concurrent enrollment of high school students in eligible postsecondary institutions. Statutory language provides conditions under which secondary schools and eligible postsecondary institutions may establish cooperative agreements, defined as a Concurrent Enrollment Partnership.

While various forms of dual enrollment may be offered under the statute, this policy applies only to Concurrent Enrollment Partnerships formed between a high school and eligible postsecondary education institution in which a high school faculty member teaches a college-level course to high school students at the high school during the regular high school day. These partnerships must conform to paragraph b. of this policy.

Concurrent Enrollment Partnerships do NOT include the following: (1) programs in which the high school student travels to the college campus to take courses prior to graduation during the academic year or during the summer; (2) programs in which college faculty travel to the high school to teach separate courses to high school students; and (3) the College Board Advanced Placement Program and the International Baccalaureate Program,

which use standardized tests to assess the student’s knowledge of a curriculum developed by a committee consisting of both college and high school faculty.

a Purposes of Concurrent Enrollment Partnerships

As established by the Kansas Board of Regents, the system-wide purposes of Concurrent Enrollment Partnerships are threefold:

i To Reduce Time-to Degree and Lower Costs

Concurrent Enrollment Partnerships enable students to get an early start on their college education, thus potentially reducing the time required to complete a degree and lowering the costs borne by parents, students and taxpayers.

ii To Challenge High School Students and Promote College-Level Success

Concurrent Enrollment Partnerships are aimed at providing a college-level learning experience for qualified students by enhancing the amount, level and diversity of learning in high school beyond the traditional secondary curriculum. First year experience courses, performing and visual arts courses and advanced science, mathematics and language offerings not available in high school are especially encouraged.

iii To Foster Improved Relationships Between Kansas Public Postsecondary Education Institutions and Kansas Secondary Schools

Concurrent Enrollment Partnerships are intended to foster improved relationships among stakeholders by clarifying expectations, roles, and responsibilities

b Procedures and Standards for Implementing Concurrent Enrollment Partnerships

i Definitions

For purposes of this policy:

(1) “Concurrent Enrollment Partnership student” means a person who is in grades 10, 11, or 12, or who is gifted and is in grade 9 (see paragraph b.v.(2)); has been admitted to an eligible postsecondary education institution as a degree-seeking or non-degree seeking student; and is enrolled in courses at a high school at which approved high school faculty teach college credit courses during the normal school day.

(2) “Concurrent Enrollment Partnership agreement” means a written memorandum of understanding between an eligible postsecondary institution and a school district for the purpose of offering college-level learning to students who are eligible to enroll in college courses offered at a high school at which approved high school faculty teach said college courses during the normal school day.

(3) “Eligible postsecondary institution” means any state university, community college, technical college, municipal university or affiliated institute of technology.

ii Agreement between Eligible Postsecondary Institutions and School Districts

A Concurrent Enrollment Partnership agreement shall be established between the eligible postsecondary institution and the school district. Such agreement shall satisfy the requirements of K.S.A. 72-11a04 and contain the essential elements provided in this policy. The agreement shall contain, at a minimum:

- (1) the names and contact information of the liaisons for both parties, term of the agreement and any provisions for early termination, the individual and joint responsibilities of both parties, information, guidelines and necessary directions for curriculum, faculty, students, assessment, and a listing of principles for assuring quality in programming;
- (2) an implementation plan for ensuring high school faculty teaching concurrently enrolled partnership students are integrated into the postsecondary partner institution through orientation, professional development, seminars, site visits, annual evaluations and ongoing communication with the postsecondary partner institution's faculty;
- (3) a clause addressing issues of compensation, awarding of credit and course listings for each party;
- (4) acknowledgement that the academic credit shall be granted for course work successfully completed by the student at the postsecondary partner institution, which shall qualify as college credit and may qualify as both high school and college credit;
- (5) acknowledgement that such course work shall qualify as credit applicable toward the award of a degree or certificate at the postsecondary partner institution;
- (6) acknowledgement that the student shall pay to the postsecondary partner institution the negotiated amount of tuition, fees and related costs charged by the institution for enrollment of the student except in the case of tiered technical courses. Secondary students admitted to postsecondary tiered technical courses conducted by a community college, technical college or institute of technology may be charged fees, but shall not be charged tuition; (K.S.A. 72-4417, as amended)
- (7) a plan for ensuring that courses offered through a Concurrent Enrollment Partnership are annually reviewed by college faculty in the discipline at the postsecondary partner institution according to the criteria described in iii.(5); and
- (8) a statement indicating the Concurrent Enrollment Partnership agreement shall be reviewed at least every five years by the postsecondary partner institution to assure compliance and quality considerations as outlined in this policy.

iii Curriculum Standards, Course Content/Materials, and Assessment of Students

- (1) Courses administered through a Concurrent Enrollment Partnership shall be university/college catalogued courses with the same departmental id, course descriptions, numbers, titles and credits. Courses must have been approved through the curriculum approval process of the postsecondary partner institution.
- (2) The high school and college-level prerequisites, the content of courses, course goals and objectives, must be the same as those for the same courses offered to students at any location or by any delivery method.
- (3) Materials such as textbooks must be comparable to those used in the same course throughout the postsecondary partner institution. Procedures for selection of textbooks and related material by high school faculty who teach concurrently enrolled students must follow the postsecondary partner's institutional policies.
- (4) If a course has been approved by Board staff as competency-based, the competencies for the courses must be the same as those for courses not taught to concurrently enrolled students.
- (5) College faculty at the postsecondary partner institution shall annually review Concurrent Enrollment Partnership courses in their discipline to ensure that:

- (a) Concurrent Enrollment Partnership students are held to the same grading standards and standards of achievement as those expected of students in on-campus sections;
- (b) Concurrent Enrollment Partnership students are being assessed using the same methods (i.e., papers, portfolios, quizzes, labs) as students in on-campus sections;
- (c) high school faculty are utilizing the same final examination for each Concurrent Enrollment Partnership course as is given in a representative section of the same course taught at the public postsecondary institution awarding the course credit; and
- (d) high school faculty are applying the same scoring rubric for the assigned course as is used in the on-campus course; and that course management, instructional delivery and content meet or exceed those in regular on-campus sections.

(6) Remedial/developmental course work shall not be offered as a Concurrent Enrollment Partnership course.

iv High School Faculty

(1) Qualifications

- (a) High school faculty teaching college-level, non-tiered Concurrent Enrollment Partnership courses shall ~~attain instructional eligibility by meeting the faculty qualifications and standards established by the Higher Learning Commission of the North Central Association, as stated that the body's *Criteria for Accreditation and Assumed Practices* nationally recognized agency that accredits the sponsoring higher education institution regarding faculty roles and qualifications.~~ attain instructional eligibility by meeting the faculty qualifications and standards established by the Higher Learning Commission of the North Central Association, as stated that the body's *Criteria for Accreditation and Assumed Practices* nationally recognized agency that accredits the sponsoring higher education institution regarding faculty roles and qualifications.
- (b) Faculty teaching college-level tiered technical courses through a Concurrent Enrollment Partnership shall attain instructional eligibility by meeting the academic standards addressed above or possess a valid/current industry-recognized credential and a minimum of 4,000 hours of work experience in the specific technical field.

(c) Postsecondary partner institutions may set higher standards.

(2) Orientation, Professional Development and Evaluation

- (a) Before approving high school faculty to teach college-level Concurrent Enrollment Partnership courses, the postsecondary partner institution shall provide the high school faculty with orientation and training in course curriculum, assessment criteria, course philosophy, and Concurrent Enrollment Partnership administrative requirements.
- (b) The postsecondary partner institution shall provide the high school faculty with ongoing professional development opportunities.
- (c) Orientation and/or professional development activities shall include collaborative faculty development programming such as pedagogy, instructional design, course management, instructional delivery skill improvement, curricular reform initiatives, and student success assessment strategies.
- (d) The postsecondary partner institution shall annually conduct evaluations of high school faculty teaching Concurrent Enrollment Partnership courses to ensure compliance with the state expectations for Concurrent Enrollment Partnership courses.

v Student Eligibility for Enrollment, Advising and Student Guides

(1) High school students enrolled in courses administered through a Concurrent Enrollment Partnership shall be enrolled as degree or non-degree/non-matriculated students at the postsecondary partner institution. Each Concurrent Enrollment Partnership student must meet the postsecondary partner institution’s requirements for admission as a degree-seeking or non-degree/non-matriculated student. Concurrently enrolled students shall have met institutional enrollment requirements; satisfied course prerequisites; and followed institutional procedures regarding assessment/placement. In order to enroll in a Concurrent Enrollment Partnership course, students shall achieve the same score or subscore on a standardized placement test as is required for students enrolled in the same on-campus course. Postsecondary partner institutions may establish higher standards.

(2) Students who are enrolled in grade 9 and are classified by a school district as “gifted” according to the State Department of Education’s definition, K.A.R. 91-40-1(bb), as amended, may be admitted as concurrently enrolled students provided all other applicable requirements as outlined above are satisfied.

(3) The student must be authorized by the high school principal to apply for enrollment.

(4) Advising of students who desire to enroll in Concurrent Enrollment Partnership courses must be carried out by both the high school and postsecondary institution.

(5) Students shall be provided with a student guide created as part of the Concurrent Enrollment Partnership that outlines their rights and responsibilities as university/college students. The student guide shall also provide a description of how courses may be transferred in the Kansas public postsecondary education system.

vi Concurrent Enrollment Partnership Courses that Include Students Enrolled for Secondary and/or Postsecondary Credit

A course may include students enrolled for postsecondary and/or secondary credit. The postsecondary partner institution is responsible for ensuring that academic standards (course requirements and grading criteria) are not compromised.

c Reporting of Concurrent Enrollment Partnerships

i Institutions will report the following as a part of the regular Kansas Postsecondary Database collection:

- (1) Directory information for each high school student enrolled;
- (2) Credit hours generated by each high school student;
- (3) Credentials of faculty teaching Concurrent Enrollment Partnership courses; and
- (4) Concurrent Enrollment Partnership credit hours generated by each high school student.

ii By January 31 of odd-numbered years, each public postsecondary institution shall provide to Board staff a list of high schools with which it has Concurrent Enrollment Partnership agreements. For each institution, Board staff will select no more than two high schools for reporting. For each high school selected, each institution will submit the following to the Board office:

- (1) Copy of the Concurrent Enrollment Partnership agreement that includes the criteria described in b.ii.;
- (2) Student Guide for Concurrent Enrollment Partnership students as described in b.v.(5); and
- (3) Report resulting from the annual review of Concurrent Enrollment Partnership courses by postsecondary partner institution, aggregated by discipline (as described in section b.iii.(5)).



- iii By January 31 of odd-numbered years, each institution shall forward to the Board office a copy of all reports resulting from the five-year institutional review of Concurrent Enrollment Partnerships (as described in b.ii.(8)).
- iv All reports shall be reviewed for compliance and the results will be reported to the Board President and Chief Executive Officer.

*B. Fiscal Affairs & Audit*

**1. Amend the FY 2020 Capital Improvement Plan and Approve Program Statement for Construction of a Convergence Sciences Facility for Digital Transformation – WSU** **Eric King,  
Interim Director, Facilities**

Wichita State University requests authorization to construct a new building for the Convergence Sciences and Digital Transformation Center on the Innovation Campus. Board approval for the capital improvement plan amendment could be made contingent on legislative approval of state funding for the proposed Center, and any required approvals for creating the Institute and any related academic programs.

The new building would house the National Institute of Digital Transformation. The National Institute of Digital Transformation would be based on the model and strengths of NIAR but focused on developing technology that can transform other industries, including aviation, to drive economic development and support new ventures in Kansas. In fact, several companies, including a publicly-traded Fortune 500 company and a multinational professional services network supporting technology and automated manufacturing, have become the first to take root on the Innovation Campus and will likely continue to grow and attract other businesses in this industry with this infrastructure and research support at Wichita State University.

Digital transformation and convergence sciences research will provide Wichita State students with an opportunity to conduct research in various disciplines supporting multiple industry sectors. The knowledge and competencies acquired by students will be vital to Kansas industry as the student's transition to employment.

Industry from Kansas as well as national and global industrial partners that will have a new presence in Wichita, in collaboration with University teams, will conduct development and certification programs in the facility enabling increased speed to market for launch of new globally competitive products, processes and businesses. This industry participation will provide income required for operation and maintenance.

The building will be approximately 56,000 gross square feet (49,000 square feet of finished program space and 7000 square feet of shelled space for future program expansion). The estimated total cost of the project is \$22.2 million and will be funded with revenues bonds paid for with a combination of restricted use funds that are generated by private industry from training, testing and certification, federal grants, and local tax dollars. Planning, design and construction are expected to take about 25 months.

The cost of future maintenance and operations will be funded with university restricted funds.

The architectural program statement was emailed to the Board.

C. Retirement Plan

1. Act on Fund Investment Line-Up Changes in the Board’s Mandatory Retirement Plan

Madi Vannaman,  
Staff Affiliate

**Summary and Staff Recommendation**

*To fulfill the Board’s fiduciary responsibility for oversight of its retirement plans, appropriate management and periodic review of the investment options provided is required. In order to better provide such oversight, the Board created the “Retirement Plan Committee” (RPC) to serve as a co-fiduciary, and it delegated responsibility for plan administration and investment oversight to the RPC. The Board’s vendor management document requires that fund changes proposed by either of the two investment providers (TIAA and Voya) be first considered by the Retirement Plan Committee (RPC) and then the Board. Fund line-up changes were discussed at the March 17, 2020, RPC meeting. The RPC received recommendations on the proposed changes from the Board’s contracted investment consultant, Advanced Capital Group. The consultant recommended that the Board approve two changes to Voya’s Mandatory Plan investment fund line-up. Based on our consultant’s advice, the RPC recommends that the Board approve these changes to become effective July 6, 2020, and that the Board’s Investment Policy Statement be updated to reflect these changes.*

**Background on the Kansas Board of Regents Retirement Plan**

The Kansas Board of Regents (KBOR) Retirement Plans are essential components of the benefits and compensation package available to faculty and certain staff who serve at the six state universities and the Board office. There are four components: (1) a mandatory 403(b) retirement plan; (2) a voluntary 403(b) retirement plan; (3) a long term disability plan; and (4) a phased retirement plan. This issue paper addresses the Board’s oversight of the Retirement Plans and the composition of the Board-created Retirement Plan Committee (RPC).

Formed in 2005, the RPC’s assigned duties include oversight of the Mandatory and Voluntary Retirement Plans, including their administration and investments, as well as having the responsibility for creating and reviewing plan documents and investment provider agreements, implementing an investment policy with performance standards, and reviewing the investment performance on a semi-annual basis. In 2018, after a competitive bid process, Advanced Capital Group was awarded a contract in order to provide the RPC with consulting services regarding the oversight and performance of investment options for the Mandatory Plan, and the contract was recently amended to include the Voluntary Plan.

**Mandatory Retirement Plan Fund Lineup Changes**

In April 2007, the Board approved use of the Retirement Plan Committee (RPC) Vendor Management Document, which addresses the protocol for making fund changes proposed by either of the two investment providers (TIAA and Voya) or the Plan’s investment consultant, ACG. These protocols include the guidelines for submission of suggested fund changes.

Pursuant to the provisions of the vendor management document, Voya identified and presented to ACG the following proposed fund changes. The proposed fund changes, together with the analysis of those funds by the consultant, were presented to the RPC for review and discussion at its March 2020 meeting.

Based upon the consultant’s advice and the Committee’s review, the RPC recommends that the Board approve the following changes:

**Voya Mandatory Plan Fund Lineup Change Recommendations**

1. *Replace* the Voya Small-Cap Opportunities Portfolio with a different small cap growth option. ACG agrees with this recommendation and provided considerations and search information in the agenda material. ACG recommends the Loomis Sayles Small Cap Growth fund for the following reasons:

- a. Greater diversification benefits than the current option and Janus Triton compared to similar options in the plan (Vanguard Small Cap Index and Champlain Mid Cap Institutional);
  - b. Seasoned team of Portfolio Managers supported by four analysts, which average 17 years on the strategy;
  - c. Style purity relative to Janus Henderson Triton, a small-mid growth fund;
  - d. Better upside/downside capture ratio;
  - e. Has outperformed Voya Small Cap Opportunities in 51 of 73 rolling five-year periods (70% of the time) and has positive alpha relative to both other options.
2. *Add* a foreign small cap equity option to their lineup. ACG agrees with this recommendation and provided considerations and search information in the agenda material. ACG recommends adding the Fidelity Advisory Institutional Small Cap Z for the following reasons:
- a. Strongest trailing returns of the two options;
  - b. Strongest three and five-year rolling returns, outperforming the DFA International Small Company I in 73 of 73 (100%) rolling 5-year time periods;
  - c. Most favorable five-year risk-reward and up and down-market capture
  - d. Strongest head-to-head rolling-period-of-time analysis;
  - e. A slight value bias, resulting in lower historical correlations to current growth-leaning international options.

Based in part on the advice received from consultants, the RPC unanimously recommends these fund lineup changes. These changes would be effective July 6, 2020, at which time the new fund will be added and assets in the replaced fund will be mapped to the new fund. If approved by the Board, Voya will inform participants with assets in these funds about these changes.

**2. Act on New TIAA and Voya Recordkeeper Contracts for the Board’s Voluntary Retirement Plan**

**Natalie Yoza,  
Associate General Counsel**

**Summary and Staff Recommendation**

*As part of a larger project to increase fiduciary oversight of the Board’s Voluntary Retirement Plan, the Retirement Plan Committee (RPC) recommends that the Board enter new recordkeeping contracts with TIAA and Voya for the Voluntary Retirement Plan. The proposed contracts provide improved plan pricing and increased flexibility for the Board as the Plan sponsor and Plan participants, but moving to the new contracts will cause Plan disruption and a reduction in the fixed account guaranteed minimum yields.*

*To implement the new contracts, the RPC recommends that the Board adopt the new fund lineups recommended by the Board’s plan consultant, Advanced Capital Group (ACG). And, for current TIAA and Voya participants, the RPC recommends adopting ACG’s mapping strategy for new contributions if participants do not make an affirmative election.*

**Background**

The Kansas Board of Regents administers—and has a fiduciary duty to—a Mandatory 403(b) Retirement Plan and a Voluntary 403(b) Retirement Plan for eligible employees. In the past year, the Board has taken several actions to improve the fiduciary oversight of the Voluntary Retirement Plan. The Board hired legal counsel to review its oversight of the Voluntary Plan. It amended the Retirement Plan Committee (RPC) bylaws to extend the RPC’s oversight to the Voluntary Plan because it was previously limited to acting as a co-fiduciary for the Mandatory Plan only. Pursuant to the newly delegated authority, the RPC amended the Board’s contract with the Mandatory Retirement Plan’s consultant, Advanced Capital Group (ACG), to include consulting on the Voluntary Retirement Plan.

Based on ACG’s recommendations and a review of the 2017 Request for Information (RFI) for the Mandatory Plan recordkeepers, TIAA and Voya were selected to be the only approved recordkeepers for the Voluntary Plan beginning with the first paycheck in January 2021. All other recordkeepers were notified that effective January 2021 they will no longer be able to accept new contributions. Board staff received no complaints from the deselected companies. Plan participants were also notified of these upcoming changes and will be continually updated as more information is available.

With the reduced number of approved vendors for the Voluntary Plan, the RPC directed ACG to renegotiate the Voluntary Plan contracts with TIAA and Voya. At its March 2020 meeting, the RPC reviewed those proposed contracts and ACG’s recommendations for implementing them. The RPC unanimously recommends that the Board approve the proposed contracts and adopt ACG’s recommended fund lineups and mapping strategy for implementation.

To act on these proposed contracts, the Board must:

1. Review the pricing and other requirements of the proposed contracts with TIAA and Voya independently and determine whether the Board should enter the proposed contracts;
2. Review and act on the recommended fund lineup for the new Voluntary Retirement Plan contracts; and
3. Review and act on the recommendation to map new contributions for current TIAA and Voya Plan participants beginning in January 2021 if those participants do not make elections under the new contracts.

**Analysis of the Proposed Contracts with TIAA and Voya**

In the attached chart, ACG compares the proposed TIAA and Voya contracts with the Board’s current Mandatory and Voluntary Plan contracts. Also attached is a memo from ACG addressing one of the important recommended

changes—switching from participant-controlled contracts to employer-controlled contracts. The following summary is designed to provide context for and highlight some of the information contained in the documents created by ACG.

**A. The RPC and ACG recommend switching to employer-controlled contracts with TIAA and Voya for the Voluntary Plan**

Historically, the 403(b) plan sponsor contracts with recordkeepers were individual or participant-controlled contracts, meaning the participant was the contract holder and only the participant could transfer assets to a new contract. This structure can interfere with the plan sponsor's ability to exercise its fiduciary duty to remove investments that are no longer prudent, so the industry has been moving toward group or employer-controlled contracts. A group contract names the plan sponsor, *i.e.*, the Board, as the contract holder, giving the Board the right to move assets to a new contract.

The Board's Voluntary Plan contracts with TIAA and Voya are currently participant-controlled contracts. The TIAA Mandatory Plan contract with TIAA is also participant-controlled, but the Board has already moved to an employer-controlled contract with Voya on the Mandatory Plan.

ACG and the RPC recommend that the Board switch to employer-controlled (or group) contracts with TIAA and Voya for the Voluntary Retirement Plan, instead of maintaining the participant-controlled contracts. ACG drafted a memorandum summarizing the pros and cons of switching to employer-controlled contracts for the Voluntary Plan. The attached memo is entitled "KBOR Summary: Voluntary Retirement Plan Conversion from Individual to Group Contract."

In addition to the pros and cons outlined in ACG's memo, TIAA and Voya provided information on whether similar universities utilize employer-controlled contracts. TIAA indicated that seven of the 12 institutions in the Big 12 or 78% are utilizing employer-controlled contracts. Within TIAA's other higher education clients with plan assets totaling \$1 billion or more, 23 out of 88 or 26% have implemented employer-controlled contracts. Within TIAA's other healthcare and government clients with plan assets totaling \$1 billion or more, five institutions out of eight or 63% have moved to employer-controlled contracts. Going forward, all new TIAA clients must utilize employer-controlled contracts.

Voya responded that four universities in the Big 12 are going through the process of freezing plan assets in older participant-controlled accounts and moving new contributions to employer-controlled accounts. This includes the University of Kansas and Kansas State University through the Board of Regents' Mandatory Plan contract which is already employer-controlled. Voya also indicated that most other large public plans, like 457 plans, are already set up under employer-controlled contracts. Like TIAA, new Voya clients are required to use employer-controlled contracts.

As ACG mentions in their memo, switching to employer-controlled contracts for the Voluntary Plan will cause some disruption for participants who are already enrolled with TIAA and Voya because the participants will need to decide what to do with the assets already accumulated in the participant-controlled contract. Between the two recordkeepers, there are approximately 11,700 total participants with assets in the Voluntary Retirement Plan of whom roughly 3,300 are active participants. Those participants can either roll those assets over into the new employer-controlled contract or they can leave the assets in the old contract, creating a legacy account. Communications to the Plan participants from TIAA and Voya will detail those options.

TIAA participants will be able to transfer some or all of their assets, at any time, to the new contract while leaving, for example, the assets already invested in the fixed accounts with higher minimum guaranteed rates in the participant-controlled contract. Voya participants will have the option to leave their assets in the legacy account or transfer a pro rata share of those assets, at any time, to the employer-controlled contract.

### **B. Key Features of the proposed TIAA Voluntary Plan contract**

In the TIAA Voluntary Retirement Plan, there are 6,346 total participants—2,401 are active participants. And there are over \$1 billion in assets. Here are some of the highlights of the proposed contract:

- The current TIAA Voluntary Plan contract is participant-controlled, so there are several required investment funds—TIAA Traditional, CREF Stock, and CREF Money Market. The proposed new contract with TIAA switches to an employer-controlled contract with only one required investment fund—TIAA Traditional. This is one example in which switching to the employer-controlled account gives the Board more flexibility.
- The proposed TIAA contract reduces the pricing for the Mandatory and Voluntary Plans from 0.06% or (or 6 basis points) to 0.055% (or 5.5 basis points). Across both Plans, this would equate to approximately a \$215,000 expense reduction annually—a \$165,000 expense reduction allocated to the Mandatory Plan and \$50,000 allocated to the Voluntary Plan. By reducing the pricing to both Plans, TIAA and the Board ensure that the pricing remains the same for the TIAA Mandatory and Voluntary Plans.
- As summarized in the ACG memo outlining the pros and cons of adopting an employer-controlled contract, the minimum guarantees on the fixed accounts will decrease under the proposed contracts. TIAA's fixed account currently guarantees a minimum 3% yield, and that will decrease to 1% under the new contract. But it should be noted that the actual yield for TIAA has typically been over 3%. Please see ACG's chart, page 1, row 10 for these details.
- As is the case for the current TIAA Voluntary Plan contract, TIAA will continue to provide the Board expense recapture if TIAA's revenues exceed the minimum requirements.

### **C. Key features of the proposed Voya Voluntary Plan contract**

For Voya's Voluntary Retirement Plan, there are 1,463 total participants—877 are active participants. And there are over \$100 million in assets. Here are some of the highlights of the proposed contract:

- The proposed Voya Group contract would significantly reduce the current Voluntary Plan pricing. Under the current contract there is a 0.50% (or 50 basis point) wrap fee that applies to mutual fund investments, plus 0.75% (or 75 basis point) revenue share. Revenue sharing is an embedded fee in some, but not all, investments which can be used by the plan sponsor for various purposes. In this case, the revenue sharing is used for recordkeeping fees.

Since all Voya participants will not move their assets into the new account, Voya will change the pricing on the current Voya contract effective January 1, 2021. The .50% wrap fee on mutual funds will be eliminated. Voya will continue to earn 0.75% from the variable revenue being generated from the mutual funds. Anything in excess of 0.75% will be redistributed to Plan participants pro rata by account balance at the end of the year. In addition, Voya will calculate 0.36% in revenue being generated from the Fixed Account and distribute that revenue back to the Plan participants. The fixed revenue will be above and beyond any excess revenue generated above the 0.75% for the mutual funds. In the attached spreadsheet, ACG details these price reductions for the current Voya contract effective January 1, 2021. These changes are estimated to result in approximately \$452,700 annual savings.

If the Board were to enter the proposed employer-controlled group contract, the pricing for the new contract would go down to 0.12% (or 12 basis points). This change will mean that the Voya Mandatory Retirement Plan pricing will be identical to the Voya Voluntary Plan pricing.

- In the proposed Voya contract for the Voluntary Plan, the minimum guarantees on the Voya fixed accounts will decrease from 3% to 1%. As summarized on row 10 of ACG's comparison chart, the actual credited rate has been higher than 1% with a 10-year average of 2.65%.
- As is the case for the current Voya Voluntary Plan contract, Voya will continue to provide the Board expense recapture if Voya's revenues exceed minimum requirements.

**ACG's proposed TIAA and Voya new Voluntary Plan fund lineups**

If the Board were to approve the proposed Voluntary Plan contracts with TIAA and Voya, it also needs to approve the fund lineups under each contract. ACG has compiled its recommended lineup for the new Plan with TIAA and Voya, and the RPC recommends that the Board approve that recommendation. The proposed fund lineups are attached in the materials entitled "Voluntary Plan RFI Analysis" on pages 16 through 19.

**ACG's proposed mapping strategy for participants already enrolled with TIAA and Voya if those participants do not make elections under the new Plan before January 2021**

If the Board adopts ACG's recommended fund lineups for TIAA and Voya, it will also need to recommend whether new contributions should be defaulted or mapped if a participant with TIAA or Voya does not make elections under the new contract. ACG and the RPC recommend mapping new contributions because the affected participants have previously made affirmative elections. ACG's recommended mapping schedule can be found electronically in the materials entitled "Voluntary Plan RFI Analysis" on pages 20 through 23. To the extent a Participant has assets in a fund that ACG has not recommended retaining in the new Voluntary Plan contract, ACG has recommended similar investment opportunities and suggested mapping the contributions to those funds as a default. Communications from TIAA and Voya will notify Plan participants of these changes, and they will be given an opportunity to make a different selection if they do not want to utilize the mapped funds.



**KBOR Summary**  
**“Voluntary” Retirement Plan Conversion from Individual to Group Contract**  
**March 17, 2020**

ACG has worked with TIAA and Voya to develop new contracts for the Kansas Board of Regents’ Voluntary Retirement Plan. One important decision the Retirement Plan Committee and Board of Regents must make is whether the Board wants to switch from a participant-controlled contract to an employer-controlled contract with both recordkeepers.

The current TIAA and Voya Voluntary-Plan contracts are participant-controlled, and we recommend that the Board enter employer-controlled contracts for each company. Below is summary of the most material pros and cons of switching to an employer-controlled contract. An employer-controlled contract gives the Board greater control over the Plan’s investments. But participant-controlled contracts have a higher “guaranteed” rate of return on their fixed accounts, as demonstrated on the chart below.

Leaving investments out of the conversation, the other material impact of switching to participant-controlled contracts is that the funds already residing in the Voluntary Plan can only be moved at the participant’s initiation.<sup>1</sup> And if experience holds true, many participants will not move their participant-directed account assets – most out of inertia but some because of the higher crediting rate of the Fixed Account in the participant-controlled contracts. Participants that do not move their assets to the new employer-controlled contract will have two separate accounts to manage, even if the participant is already utilizing TIAA and Voya.

For TIAA, the new Plan pricing of 0.055% will apply regardless of whether the Board selects a participant or employer-controlled contract. But Voya’s pricing is lower, *i.e.*, the fund expenses, if the employer-controlled contract is selected. The employer-controlled contract requires 0.12%, and the participant-controlled contract requires 0.75%.

### **Pros for Employer-Controlled Contracts**

1. Adopting an employer-controlled contract will mean, in part, that should the Board ever decide to move away from TIAA and/or Voya – it will be able to direct the transfer of assets in the new employer-controlled contracts (which otherwise can only be moved at the direction of the Participant).

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<sup>1</sup> The only exception is that the TIAA Open Architecture Mutual Funds can be mapped to the new group contract by the Board, but ACG and Board staff do not recommend mapping for such a narrow classification of funds because it would be confusing to Plan participants.

2. If fund changes are made to the lineup (ex: a fund manager retires), assets can be moved into the “new” fund at the direction of the Board. Participant-controlled contracts have varying degrees of restrictions on that flexibility.
3. There is a critical – participant focused – recordkeeping-technology difference between employer-controlled and participant-controlled contracts (which applies to both TIAA and Voya). Only employer-controlled contracts can benefit from the new generation (and continuing refinement) of recordkeeping “options.” Perhaps the most (but far from the only) illustration for both TIAA and Voya is that their employer-controlled contracts can host “Model Portfolios” and their participant-controlled contracts cannot. Model Portfolios are click-a-button solutions to the participant question, “how should I mix-and-match the Plan’s investment options?”

Model Portfolio technology was developed by recordkeepers in response to a seminal Department of Labor directive that held that giving participants that guidance was so important that, notwithstanding their fiduciary function, they would be re-branded as non-fiduciary “education.” (DOL Interpretive Bulletin 96-1). Also importantly, there is no additional cost to using Model Portfolios.

4. The last “pro,” while important, is still developing. That is, over time the investment landscape vis-à-vis “options” has changed and employer-controlled contracts are more able to review (and possibly adopt) those changes. A case in point is the recent SECURE Act changes. One of the primary focuses of the SECURE Act was to promote the use of “In-Plan Guaranteed-Lifetime-Income” solutions. That is a fundamentally different way of looking at retirement-readiness. And there are both different structural ways to design those strategies and different products being developed for each strategy. Adding one or more of those products at this time would materially complicate the “transition” story – and would, arguably, be premature with regard to vetting the universe of options that are available now versus a year or two from now. Nevertheless, this is an important developing trend and both TIAA and Voya are involved in that product development.

### **Cons for Employer-Controlled Contracts**

1. Plan participants, even those participants that are already with TIAA and Voya, will need to move their assets to the new employer-controlled contract or the participant will have two contracts to manage. This disruption requires better communication with participants and will cause some participant frustration.
2. By far, the biggest negative of moving from a participant-controlled to an employer-controlled contract is the change that will result in the Plans’ “Fixed Accounts.” In short, the “guaranteed” minimum yield will go-down. As demonstrated below, the TIAA and

Voya guaranteed minimums decrease from 3% to 1%. However, TIAA and Voya have both historically been exceeding the minimum guaranteed yield. In other words, the “Actual Minimum” or Net Effective Yield (NEY) for TIAA and Voya has exceeded the minimum guarantee as summarized on the following chart:

TIAA		Voya	
Current Voluntary	New Voluntary	Current Voluntary	New Voluntary
Guaranteed Minimum: 3.00%	Guaranteed Minimum: 1.00%	Guaranteed Minimum: 3.00%	Guaranteed Minimum: 1.00%
NEY Current: 3.00%	NEY Current: 2.75%	NEY Current: 3.00%	NEY Current: 2.00%
NEY 1 Yr: 3.12%	NEY 1 Yr: 3.26%	1 Yr: 3.00%	NEY 1 Yr: 2.15%
NEY 3Yr:3.14%	NEY 3Yr:3.31%	3Yr:3.00%	NEY 3Yr:2.18%
NEY 5 Yr: 3.21%	NEY 5 Yr: 3.41%	5 Yr: 3.00%	NEY 5 Yr: 2.32%
NEY 10 YR: 3.29%	NEY 10 YR: 3.46%	10 YR: 3.00%	NEY 10 YR: 2.65%

For participants who are already using TIAA and Voya, it will be important for the Board to educate them about the difference between the guaranteed minimum yield versus the actual effective yield or NEY. If history is a guide, some participants will be upset by the reduced guaranteed minimum yields. It will be important to explain that, historically, the NEY has exceeded those guaranteed minimums. Since not all participants in the Voluntary Plan are currently with TIAA and Voya, the same participant education regarding the Fixed Accounts will apply to them too but ACG does not know what guaranteed minimums or NEY the participants are receiving from the deselected vendors.

Participants can keep their existing/legacy assets in their current participant-controlled accounts if they would rather manage two accounts and keep the higher guaranteed yield. They just won't be able to make new contributions to the Fixed Accounts in the old participant-controlled contract.



Plan Type	Mandatory Plan	Current Voluntary	New Voluntary	Mandatory Plan	Current Voluntary	New Voluntary	Legacy Voluntary
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Plan Statistics								
1	Participants:	24,881 Total/10,592 Active	6,346 Total/2,401 Active	N/A	5,398 Total/3,380 Active	1463 Total/877 Active	N/A	654 Total/132 Active
2	Plan Assets:	\$3,300,365,694	\$1,015,411,901	N/A	\$718,770,996	\$100,006,199	N/A	\$72,727,886
2a	Fixed	\$ 921,549,916 (28%)	\$ 316,311,212 (31%)	N/A	\$101,917,372 (14%)	\$33,807,455 (34%)	N/A	\$41,859,902 (58%)
2b	Funds	\$2,378,815,778 (72%)	\$ 699,100,789 (69%)	N/A	\$616,853,624 (86%)	\$66,198,743 (66%)	N/A	\$30,867,983 (42%)
3	Annual Contributions:	\$ 98,448,737	\$ 22,842,006	N/A	\$ 33,427,279	\$ 7,045,719	N/A	\$ 1,031,743
3a	Fixed	\$ 9,506,888 (10%)	\$ 8,362,886 (37%)	N/A	\$ 3,364,986 (10%)	\$ 1,552,793 (22%)	N/A	\$ 451,490 (44%)
3b	Funds	\$ 88,941,849 (90%)	\$ 14,479,120 (63%)	N/A	\$ 30,062,292 (90%)	\$ 5,492,925 (78%)	N/A	\$ 580,253 (56%)
4	Annual Disbursements:	\$ 173,351,112 (2018)	\$ 53,974,393 (2018)	N/A	\$ 33,575,411	\$ 8,094,380	N/A	\$ 4,265,075

Plan Contracts								
5	Type of contract offering: Group Annuity, Custodial Account, or Combination?	Group Retirement Annuity (GRA)	Group Supplemental Retirement Annuity (GSRA)	Retirement Choice Plus (RCP)	403(b)7 Custodial Account	403(b)7 Custodial Account	403(b)7 Custodial Acct.	403(b) Group Annuity
6	Employer or employee controlled contracts	Participant Controlled	Participant Controlled	Employer Controlled	Employer Controlled	Participant Controlled	Employer Controlled	Participant Controlled
7	Required Investments	TIAA Traditional, CREF Stock, and CREF Money Market	TIAA Traditional, CREF Stock, and CREF Money Market	TIAA Traditional	Voya Fixed Account	Voya Fixed Account	Voya Fixed Account	Voya Fixed Account
8	Optional Investments	TIAA Stable Value (fully liquid) + TIAA Variable Annuities + Open Architecture Mutual Funds	TIAA Variable Annuities + Open Architecture Mutual Funds	TIAA Variable Annuities + Open Architecture Mutual Funds	Open Architecture Mutual Funds	Open Architecture Mutual Funds	Open Architecture Mutual Funds	In excess of 100 Separate Accounts
9	Automatically Rebalanced Model Portfolios	No	No	Yes	Yes	No	Yes	No
10	Fixed Account – Yield	Actual Minimum: 3:00% Current: 3.25% 1 Yr: 3.75% 3Yr:3.80% 5 Yr: 3.89% 10 YR: 3.99%	Actual Minimum: 3.00% Current: 3.00% 1 Yr: 3.12% 3Yr:3.14% 5 Yr: 3.21% 10 YR: 3.29%	Actual Minimum: 1.00% Current: 2.75% 1 Yr: 3.26% 3Yr:3.31% 5 Yr: 3.41% 10 YR: 3.46%	Actual Minimum: 1.00% Current: 2.00% 1 Yr: 2.15% 3Yr:2.18% 5 Yr: 2.32% 10 YR: 2.65%	Actual Minimum: 3.00% Current: 3.00% 1 Yr: 3.00% 3Yr:3.00% 5 Yr: 3.00% 10 YR: 3.00%	Actual Minimum: 1.00% Current: 2.00% 1 Yr: 2.15% 3Yr:2.18% 5 Yr: 2.32% 10 YR: 2.65%	Actual Minimum: 4.00% Current: 4.00% 1 Yr: 4.00% 3Yr:4.00% 5 Yr: 4.00% 10 YR: 4.00%
11	Pricing – Current v. Proposed	0.06%  TIAA has agreed to lower this to 0.055%.	0.06%	0.055%  0.055% equates to \$215k in expense reduction.  (Fee reduction is for both the Mandatory and Voluntary Plans)	0.12%	Current  0.50% wrap + 0.75% Rev Share	1/1/2021  0.75% Rev Share	0.12%  1.25% Mortality & Expense Weighted Avg. Fund Expense (not applicable to Fixed Account)
12	Can Plan Sponsor “map” assets from current lineup	N/A	Open Architecture Mutual Funds only	N/A	N/A	No	N/A	N//A
13	Can Plan Participant “move” assets from current lineup	N/A	Yes – in part or whole but can’t move back	N/A	N/A	Move = All or nothing – and can’t move back	N/A	N//A
14	Are there any transfer restrictions, market value adjustments, or surrender fees for participants that want to transfer from your old Voluntary Product to your new Voluntary product?	N/A	None	N/A	N/A	None	N/A	None
15	What percentage of other “similar” universities to KBOR utilize employer controlled contracts vs. employee controlled contracts? (i.e., Other Big 12 institutions?)	1. 7 of the Big 12 Institutions are utilizing the Institutionally/Employer Controlled contracts 2. Across the broader Higher-Ed client base at TIAA with asset of \$1B+, 23 institutions have implemented the Institutionally/Employer controlled contracts.			We have four universities in the Big 12 (University of Kansas and Kansas State University-KBOR), is part of that four. They all are going through a similar process as KBOR. They are freezing existing plans and having new contributions go into a new group contract which is employer controlled. One of the universities is considering keeping the individual contract plan open and giving participants a choice but that is yet to be decided.			

Plan Type	Mandatory Plan	Current Voluntary	New Voluntary	Mandatory Plan	Current Voluntary	New Voluntary	Legacy Voluntary
	3. Across Healthcare and Government clients where plan assets are \$1B+, 5 institutions have moved to the Institutionally/Employer controlled contracts.			The same strategy that KBOR is moving towards is being used by our other higher education plans. If they have legacy assets they are freezing those plans and opening up a new employer controlled plan. This strategy as you know only exists because plan sponsors are trying to unwind their historical past where everything was employee controlled contracts.  You asked the same question regarding large public plans. Most of those plans (457) are already setup under group contracts that are employer controlled so you do not have the same issues. I guess the question is, are there any large university plans that are setting up <u>new</u> individual employee controlled plans and the answer is No. The challenge is how long does it take to unwind the past in order to build the plan for the future.			

**Fixed Account Details**

16	Fixed Account – Liquidity	10 annual payments	Fully Liquid	Fully Liquid				
17	Fixed Account – Plan level termination fees, contingent deferred sales charges, contract termination costs, or restrictions.	N/A (Participant Controlled Contract)	N/A (Participant Controlled Contract)	Mappable– 60 months No Deferred Sales Charge	5 year payout	N/A (Participant Controlled Contract)	5 year payout	N/A (Participant Controlled Contract)
18	Fixed Account – Participant level termination fees, contingent deferred sales charges, contract termination costs, or restrictions	Lump Sum withdrawals are available from TIAA Traditional (Fixed Acct.) only within 120 days after termination of employment and are subject to a 2.5% surrender charge. All other withdrawals and transfers must be paid in 10 annual installments.  After termination of employment additional income options may be available including income for life, income for a fixed period of time and IRS-required minimum distribution payments.	Lump Sum withdrawals and transfers are available from TIAA (Fixed Acct.) without any restrictions or charges. (Not Mappable)  After termination of employment additional income options may be available including income for life, income for a fixed period of time and IRS-required minimum distribution payments.	Fully liquid	Equity Wash – 90 day	Equity Wash – 90 day	Equity Wash – 90 day	5 year payout
19	Fixed Account – Backed by General Account	Yes	Yes	Yes	Yes	Yes	Yes	Yes

**Excess Revenue**

20	If your revenue EXCEEDS your minimum requirements, will you create an ERISA budget the sponsor may use for qualified plan expenses? Similar to the Mandatory Plan?  Describe Expense Recapture Process.	Yes	Yes	Yes	Yes  If revenue exceeds the Minimum Revenue Requirement of .12, Voya will reimburse the excess revenue to offset plan expenses, (consulting, legal, admin. Etc.) If there is revenue remaining following payment of the plan expenses, the remaining excess is contributed to Voya participant accounts.  If Voya does not meet the Minimum Revenue Requirement, it is a business risk Voya assumes. Voya would offset the Plan expenses (consulting, legal, admin. Etc.), however, there would be no excess revenue to provide participants if the	Yes  If revenue exceeds the Minimum Revenue Requirement of .12, Voya will reimburse the excess revenue to offset plan expenses, (consulting, legal, admin. Etc.) If there is revenue remaining following payment of the plan expenses, the remaining excess is contributed to Voya participant accounts.  If Voya does not meet the Minimum Revenue Requirement, it is a business risk Voya assumes. Voya would offset the Plan expenses (consulting, legal, admin. Etc.), however, there would be no excess revenue to provide participants if the Minimum	Yes  If revenue exceeds the Minimum Revenue Requirement of .12, Voya will reimburse the excess revenue to offset plan expenses, (consulting, legal, admin. Etc.) If there is revenue remaining following payment of the plan expenses, the remaining excess is contributed to Voya participant accounts.  If Voya does not meet the Minimum Revenue Requirement, it is a business risk Voya assumes. Voya would offset the Plan expenses (consulting, legal, admin. Etc.), however, there would be no excess revenue to provide participants if the Minimum	No
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Plan Type	Mandatory Plan	Current Voluntary	New Voluntary	Mandatory Plan	Current Voluntary	New Voluntary	Legacy Voluntary	
				Minimum Revenue Requirement is not exceeded."		Revenue Requirement is not exceeded."		
<b>Participant Expenses</b>								
21	Describe any Participant level termination fees, contingent deferred sales charges, contract termination costs, or restrictions.	Lump Sum withdrawals are available from TIAA Traditional (Fixed Acct.) only within 120 days after termination of employment and are subject to a 2.5% surrender charge. All other withdrawals and transfers must be paid in 10 annual installments.	Lump Sum withdrawals and transfers are available from TIAA (Fixed Acct.) without any restrictions or charges.	Lump Sum withdrawals and transfers are available from TIAA (Fixed Acct.) without any restrictions or charges.	Fixed Account Equity Wash – 90 day	Fixed Acct. Equity Wash-90 day	Fixed Acct. Equity Wash-90 day	10 yr. Benefit Sensitive Deferred Sales Charge (All legacy accounts are currently beyond their 10yr. time frame so no DSC will apply)
22	<p><b><u>Participant Contract Exchanges between providers.</u></b></p> <p>Can you provide any participant level restrictions or DSC's if a plan participant in your plan would want to exchange (transfer) their contract to a competitor?</p> <p>Can a participant do a <i>partial</i> exchange (transfer) of their contract to competitor or does it have to be a <i>complete</i> transfer?</p>	Lump Sum withdrawals are available from TIAA Traditional (Fixed Acct.) only within 120 days after termination of employment and are subject to a 2.5% surrender charge. All other withdrawals and transfers must be paid in 10 annual installments.	A participant can initiate a transfer to a Competitor Contract at any time. There are no restrictions on Mutual Funds or CREF products.	A participant can initiate a transfer to a Competitor Contract at any time. There are no restrictions on Mutual Funds or CREF products.	Non-benefit withdrawals (transfers) from the Voya Fixed Plus Account III are subject to a 90 day industry standard equity wash provision. A Deferred Sales Charge does not apply to this contract.	Non-benefit withdrawals (transfers) from the Voya Fixed Plus Account III are subject to a 90 day industry standard equity wash provision. A Deferred Sales Charge does not apply to this contract.	Fixed Acct. Equity Wash-90 day	<p>KBOR Legacy – Non-benefit withdrawals (transfers) from the Voya Fixed Plus Account are subject to a five payment payout schedule paid out over 4 years and one day, a DSC does not apply to the Fixed Plus Account. Participants are subject to a 10 year DSC based upon the participants effective date of contract. The DSC is waived for death, separation from service, hardship or small account balances (less than \$5,000).</p> <p>Yes, a participant can do a partial exchange to an approved provider.</p>
23	<p><b><u>Participant Contract Exchanges within provider.</u></b></p> <p>Can you describe any participant level restrictions or DSC's if a participant in your plan would want to exchange (transfer) their current voluntary contract to the new proposed voluntary contract within the plan?</p> <p>Can a participant do a <i>partial</i> exchange (transfer) of assets from their current voluntary contract to the new proposed voluntary contract or does it have to be a complete transfer?</p>	N/A	There are no restrictions on Mutual Funds or CREF products.	N/A	N/A	Participants can move 100% of their account balance to the new Retirement Choice II Program with no restrictions on the Fixed Plus Account and no Deferred Sales Charge (if applicable).	N/A	Participants can move 100% of their account balance to the new Retirement Choice II Program with no restrictions on the Fixed Plus Account and no Deferred Sales Charge (if applicable).
		N/A	Yes. A participant can do a partial exchange	N/A	N/A	Participants can only elect to move 100% of their account balance to the new Retirement Choice II Program. Partial withdrawals are allowed to maintain an outstanding contract loan balance in the current plan	N/A	Participants can only elect to move 100% of their account balance to the new Retirement Choice II Program. Partial withdrawals are allowed to maintain an outstanding contract loan balance in the current plan and remaining balances can transfer when the loan is repaid. The Variable Annuity and Retirement Choice Product is frozen



Plan Type		Mandatory Plan	Current Voluntary	New Voluntary	Mandatory Plan	Current Voluntary	New Voluntary	Legacy Voluntary
						and remaining balances can transfer when the loan is repaid. The Variable Annuity and Retirement Choice Product is frozen and does not allow new contributions or transfers in. Once you transfer out of the Product with Voya you cannot transfer back.		and does not allow new contributions or transfers in. Once you transfer out of the Product with Voya you cannot transfer back.
24	Are there any Participant Per Occurrence Fees, ie. Loans?	No loans allowed	Collateralized Loan Program: no fee	Retirement Plan loan program: \$75 Loan Initiation Fee \$125 Fee for Principal Residence Loan \$25 Annual Maintenance Fee	No loans allowed	<u>Fees for optional services:</u> \$100 loan initiation fee \$50 part. initiated wire \$50 overnight mail \$50 stop payment	<u>Fees for optional services:</u> \$100 loan initiation fee \$50 part. initiated wire \$50 overnight mail \$50 stop payment	<u>Fees for optional services:</u> \$50 part. initiated wire \$50 overnight mail
25	Are there any transfer restrictions, market value adjustments, or surrender fees for participants that want to transfer from your old Voluntary Product to your new Voluntary product?	N/A	None	N/A	N/A	None	N/A	None
<b>Self Directed Brokerage Account</b>								
26	Are you proposing a Self-Directed Brokerage account? If yes, describe the proposed services.	No, but available.	Yes 18 Total participants utilize the SDBA.  Thousands of mutual funds offered. Tools available including: Mutual Fund Screener powered by Morningstar. Thomson Financial research Argus Equity research Real time quotes Dow Jones business news.	Yes  Thousands of mutual funds offered. Tools available including: Mutual Fund Screener powered by Morningstar. Thomson Financial research Argus Equity research Real time quotes Dow Jones business news.  \$1,000 minimum initial deposit No annual fee No Transaction Fee funds – a minimum may be required. \$50 Redemption fee for funds held less than 3 months  Transaction Fee funds- \$35 per trade regardless of order size, a minimum amount may be required.  Exchanges \$8 per trade regardless of order size.	N/A	N/A	Yes TD Ameritrade \$50 annual fee Other charges could apply depending on the investments selected by the plan participant.  Voya has formed an alliance with TD Ameritrade to provide SDBA in addition to the investment options available through your retirement plan. Access to more than <ul style="list-style-type: none"> <li>• 13,000 mutual funds;</li> <li>• 4,500 available with no transaction fees</li> <li>• Fixed-income securities available</li> <li>• Access to Individuals stocks listed on major U.S. exchanges</li> <li>• Access to alternative investments, including ETF's</li> </ul>	N/A
<b>Investment Advice</b>								
27	Do you offer participant investment advice? Describe the proposed services.  What is the expense for this service?	Yes  <b>Retirement Advisor (Morningstar) – no charge.</b> Retirement Advisor provides fund level specific advice to employees utilizing the product. It is a point in time service that utilizes	Yes  <b>Retirement Advisor (Morningstar) – no charge.</b> Retirement Advisor provides fund level specific advice to employees utilizing the product. It is a point in time service that utilizes	Yes  <b>Retirement Plan Portfolio Manager</b> is a discretionary fee-based asset allocation program provided by TIAA, FSB (TIAA Trust). Participants who enroll receive ongoing customized asset	N/A	Yes <b>Morningstar Manage My Plan Manually – No Charge</b> Provides objective online investment advice from the investment options available under the KBOR Plan.	Yes <b>Morningstar Manage My Plan Manually – No Charge</b> Provides objective online investment advice from the investment options available under the KBOR Plan.	Yes <b>Morningstar Manage My Plan Manually – No Charge</b> Provides objective online investment advice from the investment options available under the KBOR Plan.



Plan Type	Mandatory Plan	Current Voluntary	New Voluntary	Mandatory Plan	Current Voluntary	New Voluntary	Legacy Voluntary
	<p>Morningstar's investment research, portfolio construction and allocation advice. The tool is accessible online, through the National Contact Center or by working with a Financial Consultant. This service is currently available to KBOR participants at no cost to the plan or participants.</p> <p><b>Retirement Plan Portfolio Manager</b> is a discretionary fee-based asset allocation program provided by TIAA, FSB (TIAA Trust). Participants who enroll receive ongoing customized asset allocation, which includes quarterly rebalancing and reallocation of their portfolios.</p> <p>There is a <b>.30 basis point fee</b> assessed to the participant who elects to use this product.</p>	<p>Morningstar's investment research, portfolio construction and allocation advice. The tool is accessible online, through the National Contact Center or by working with a Financial Consultant. This service is currently available to KBOR participants at no cost to the plan or participants.</p>	<p>allocation, which includes quarterly rebalancing and reallocation of their portfolios.</p> <p>There is a <b>.30 basis point fee</b> assessed to the participant who elects to use this product.</p>		<p>Features include:  <b>Research and reports</b> about the plan's available investment options;  <b>Tools</b> to help participants set retirement goals and strategy recommended asset mix;  <b>Specific, independent, objective and professional investment advice</b> to help participants create a diversified portfolio; and            Personalized investment option recommendations that factor in a participant's unique financial situation and savings objectives.</p> <p><b>Morningstar Manage My Plan - .50bp annual fee</b>  <b>A managed account program</b> available to participants who would prefer to have Morningstar Investment Management actively manage their account. Participants who enroll in this service receive a personalized retirement strategy, discretionary asset management, and ongoing oversight to help them meet their retirement goals. This service is ideal for employees who lack the time or desire to actively manage their retirement account.</p> <p>Morningstar Investment Management would in turn assume fiduciary responsibility for monitoring participants' accounts on a quarterly basis and execute appropriate transactions on the participants' behalf.</p>	<p>Features include:  <b>Research and reports</b> about the plan's available investment options;  <b>Tools</b> to help participants set retirement goals and strategy recommended asset mix;  <b>Specific, independent, objective and professional investment advice</b> to help participants create a diversified portfolio; and            Personalized investment option recommendations that factor in a participant's unique financial situation and savings objectives.</p> <p><b>Morningstar Manage My Plan - .50bp annual fee</b>  <b>A managed account program</b> available to participants who would prefer to have Morningstar Investment Management actively manage their account. Participants who enroll in this service receive a personalized retirement strategy, discretionary asset management, and ongoing oversight to help them meet their retirement goals. This service is ideal for employees who lack the time or desire to actively manage their retirement account.</p> <p>Morningstar Investment Management would in turn assume fiduciary responsibility for monitoring participants' accounts on a quarterly basis and execute appropriate transactions on the participants' behalf.</p>	<p>Features include:  <b>Research and reports</b> about the plan's available investment options;  <b>Tools</b> to help participants set retirement goals and strategy recommended asset mix;  <b>Specific, independent, objective and professional investment advice</b> to help participants create a diversified portfolio; and            Personalized investment option recommendations that factor in a participant's unique financial situation and savings objectives.</p> <p><b>Morningstar Manage My Plan - .50bp annual fee</b>  <b>A managed account program</b> available to participants who would prefer to have Morningstar Investment Management actively manage their account. Participants who enroll in this service receive a personalized retirement strategy, discretionary asset management, and ongoing oversight to help them meet their retirement goals. This service is ideal for employees who lack the time or desire to actively manage their retirement account.</p> <p>Morningstar Investment Management would in turn assume fiduciary responsibility for monitoring participants' accounts on a quarterly basis and execute appropriate transactions on the participants' behalf.</p>



**Voya - Voluntary Plan Pricing**

Fixed Account Assets:	\$ 33,807,455
Mutual Fund Assets:	\$ 66,198,743
Total:	\$ 100,006,199

<b>Participant Controlled</b>	
<u>Current</u>	<u>As of 1/1/2021</u>
(1) 0.50% or 50 basis point wrap on all mutual fund assets	(1) 0.50% or 50 basis point wrap is waived
(2) 0.75% required revenue on all mutual fund assets.	(2) 0.75% required revenue on all mutual fund assets
<u>Underlying Calculation</u>	<u>Underlying Calculation</u>
(1) $0.005 * \$66,198,743 =$ \$ 330,994	(1) Waived
(2) $0.0075 * \$66,198,743 =$ \$ 496,491	(2) Actual revenue generated by current lineup $0.0081 * \$66,198,743 =$ \$ 536,210
<b>Pricing:</b> \$ 827,484	<b>Subtotal:</b> \$ 536,210
	<b>Rebate of 0.36% on all Fixed Account Assets back to participants</b> = $0.0036 * \$33,807,455$ \$ (121,706.84)
	<b>Rebate of excess revenue above 0.75%.</b> <b>The current mutual fund lineup generates approximately 0.81%.</b> <b>Therefore 0.06% will be rebated back to participants. <math>0.0006 * \\$66,198,743</math></b> \$ (39,719.25)
	<b>Voya Final Pricing based on Participant Controlled Plan as of 1/1/2021</b> \$ <b>374,783.74</b>
	<b>Savings from Old Pricing</b> \$ <b>452,701</b>

<b>Employer Controlled</b>
<b>As of 1/1/2021</b>
(1) 0.12% or 12 basis point wrap on all assets. Any excess revenue above 0.12% will be credited back to participants.
Note: The cost savings for recordkeeping expenses will be offset by an assumed smaller payout rate on the Fixed Account assets.

D. Technical Education Authority

1. Act on 2020-2021 Qualifying Credentials

Scott Smathers,  
VP, Workforce Development

**Summary**

*Excel in CTE (SB 155), now K.S.A. 72-3819 (updated from K.S.A. 72-4489), which passed in 2012, established the CTE Incentive Program which provides incentive awards, as sufficient monies are appropriated, to school districts for high school graduates who have obtained qualifying industry-recognized credentials in high-demand occupations either prior to graduation or by December immediately following graduation. Based on established criteria; the proposed list of qualifying credentials for 2020-2021 has been developed and is presented to the Board for approval.*

**Credential List Development**

The list of credentials qualifying for the incentive program is developed by the Kansas Department of Labor (KDOL) in consultation with KBOR staff and the Kansas State Department of Education (KSDE) based on the following criteria:

- Occupations must appear on the high demand/high wage occupations list and have an overall demand score between 10 and 30 using the metric developed by KDOL based on job vacancy, short-term job projections, long-term job projections, and wage data.
- Wages for the occupation must be at least 70 percent (\$31,694) of the average annual wage in Kansas (\$45,277 – 2019 Wage Survey, for year 2018) or, if the occupation does not meet the wage criteria, the credential for the occupation must be a stackable credential and required for the next occupation level.
- The education level for the occupation requires at least a high school diploma as designated by KDOL.
- Occupations must have an associated, occupationally specific industry-recognized credential (certification or license).
- Courses leading to that certification/license are available to high school students.
- The certification/licensure is attainable by a high school student within six months of high school graduation or before.

**AY 2020-2021 Updates**

The following occupations are on the 2020 Phase-Out Occupation list; Automotive Body and Related Repairers under SOC code 49-3021, and Telecommunications Equipment Installers and Repairers under SOC code 49-2022. Certifications for these programs must be earned by December 2020 to be eligible for incentive/reimbursement funding. Farm Equipment Mechanics under SOC code 49-3041 is included on the 2021 Phase-Out Occupation list, and certifications must be earned prior to December 2021 to be eligible for the incentive funding.

The qualifying credentials list does not limit any program eligibility for the Excel in CTE tuition reimbursement or Carl D. Perkins funding. According to statute, institutions are to receive notification of the credentials that qualify for the incentive program on an annual basis.

Appropriation for the incentive funding are provided directly to KSDE and they distribute the funds among the qualifying school districts. Although the incentive funding portion of Excel in CTE has been significantly reduced over the last few years; the remaining appropriated amount has been sufficient to cover the one/half of the credentialing assessment costs that districts were required to pay in each fiscal year through FY 2019, and the same is expected for FY 2020.

**Recommendation:**

The proposed 2020-2021 Qualifying Credentials were reviewed by the TEA on March 26, 2020 and recommended for Board approval.

2010 Standard Occupational Codes (SOC)	Classification of Instructional Program (CIP) Code	Occupation	Credentials/Certifications Qualifying for Incentive Payment	Average Annual Wages
53-3032	49.0205	Truck Drivers, Heavy and Tractor-Trailer	Commercial Driver License (CDL)	\$46,178
31-1014	51.3902	Nursing Assistants**	Certified Nurse Aide (CNA)	\$26,214
15-1151	01.0106, 11.1006, 51.0709	Computer Support Specialists	<i>Cisco</i> --Certified Entry Networking Technician; CompTIA - A+; CompTIA - Network +; CompTIA - Server +; CompTIA - Security +; <i>Microsoft</i> --Microsoft Technology Associate (MTA); Microsoft Certified Solutions Associate (MCSA)	\$45,364
49-3023	47.0600, 47.0604, 47.0614, 47.0617	Automotive Service Technicians and Mechanics	<i>Automotive Service Excellence (ASE)</i> -- ASE Student Certification in all 4 of the following areas: Brakes, Electrical/Electronic Systems, Engine Performance, and Suspension and Steering – <i>OR ASE Student Certification in at least one of the following areas: Maintenance and Light Repair (MLR), Automobile Service Technician (AST) or Master Automobile Service Technician (MAST)</i>	\$40,669
51-4121	15.0614, 48.0508	Welders, Cutters, Solderers, and Brazers	<i>American Welding Society (AWS)</i> -- 3 Position Qualifications D1.1 standard or higher (AWS - 1F, 2F and 1G); <i>American Society of Mechanical Engineers (ASME)</i> Section 9 Standards (6G level)	\$43,828
47-2031	46.0201	Carpenters	<i>National Center for Construction Education and Research (NCCER)</i> - Core Curriculum: Introduction to Craft Skills and Carpentry Level 1	\$43,298
53-3033	49.0205	Truck Drivers, Light or Delivery Services,	Commercial Driver License (CDL)	\$37,436
47-2111	46.0302	Electricians	<i>National Center for Construction Education and Research (NCCER)</i> - Core Curriculum: Introduction to Craft Skills and Electrical Level 1	\$54,937
49-9041	47.0303	Industrial Machinery Mechanics	<i>National Center for Construction Education and Research (NCCER)</i> -- Core Curriculum: Introduction to Craft Skills and Industrial Maintenance Level I; <i>Society of Maintenance &amp; Reliability Professionals</i> --Certified Maintenance & Reliability Technician (CMRT)	\$51,589

49-3031, 49-3041	47.0605, 47.0613	Bus and Truck Mechanics and Diesel Engine Specialists	<i>Automotive Service Excellence (ASE)</i> --ASE Student Certification in all 4 of the following areas: Diesel Engines, Electrical/Electronic Systems, Brakes, and Steering & Suspension	\$44,165
51-4011	48.0510	Computer-Controlled Machine Tool Operators, Metal and Plastic	<i>National Institute for Metalworking Skills (NIMS)</i> - Machining Level 1	\$42,652
47-2152	46.0502, 46.0503, 46.0599	Plumbers, Pipefitters, and Steamfitters	<i>National Center for Construction Education and Research (NCCER)</i> - Core Curriculum: Introduction to Craft Skills and Plumbing and Pipefitting Level 1	\$55,136
49-9021	15.0501, 47.0201	Heating, Air Conditioning, and Refrigeration Mechanics and Installers	<i>ICE</i> --Core +Residential Air Conditioning & Heating or Light Commercial Air Conditioning & Heating or Commercial Refrigeration; <i>North American Technician Excellence (NATE)</i> --Core + 1 one of the following specialty areas: Air Conditioning, Air Distribution, Air-to Air Heat Pumps, Gas Furnaces, Oil Furnaces, Hydronics Gas, Light Commercial Refrigeration, Commercial Refrigeration, Ground Source Heat Pump Loop Installer; <i>HVAC Excellence</i> --Core Areas (Electrical & Refrigeration Theory) + one of the following specialty areas: Air Conditioning, Gas Heat, & Electrical or Light Commercial Air Conditioning, Gas Heat & Electrical or Light Commercial Refrigeration & Electrical; <i>National Center for Construction Education and Research (NCCER)</i> --Core + HVAC Levels 1 & 2	\$51,249
47-2211	48.0506, 46.0411	Sheet Metal Workers	<i>Manufacturing Skills Standards Council (MSSC)</i> - Certified Production Technician (CPT), <i>National Center for Construction Education and Research (NCCER)</i> - Core Curriculum: Introduction to Craft Skills and Sheet Metal Level 1, <i>National Institute for Metalworking Skills (NIMS)</i> - Metal Forming I	\$43,599
51-4041	48.0501, 48.0503	Machinists	<i>National Institute for Metalworking Skills (NIMS)</i> - Machining Level 1	\$42,367
11-9013	01.0101, 01.1012, 01.0199	Farmers, Ranchers, and Other Agricultural Managers **	<i>Kansas Department of Agriculture (KDA)</i> -- KS Commercial Pesticide Applicators Certificate, <i>Kansas Department of Agriculture (KDA)</i> -- Agriculture Skills and Competencies Certificate, <i>Kansas Department of Agriculture (KDA)</i> --Plant Systems Skills and Competencies Certificate, <i>Kansas Department of Agriculture (KDA)</i> -- Animal Science Skills and Competencies Certificate	\$55,637***

33-2011	43.0203	Fire Fighter	National Firefighter I Certification	\$37,925
11-9051	12.0504	Food Service Managers	<i>National Restaurant Association Educational Foundation - ProStart National Certificate of Achievement; ServSafe Food Protection Manager</i>	\$54,868
31-9091	51.0601	Dental Assistant	Certified Dental Assistant Certification	\$37,043
29-2041	51.0810, 51.0904	Emergency Medical Technicians & Paramedics	<i>EMT-Basic National Registry -- EMT Certification, National Registry-Paramedic -- Paramedic Certification</i>	\$30,521
49-3011, 51-2011	47.0607, 47.0608	Aircraft Mechanics and Service Technicians	<i>Federal Aviation Administration (FAA)--Aviation Maintenance Technician- Airframe, or Aviation Maintenance Technician-Powerplant</i>	\$56,836
29-2052	51.0805	Pharmacy Technicians	<i>Kansas Board of Pharmacy -- Kansas Pharmacy Technician Certification Board Exam (PTCB); Certified Pharmacy Technician</i>	\$32,937

\*\* Stackable Credentials

\*\*\* Average Annual Wage - reflects occupation listed which requires bachelors degree and/or substantial experience in the field. This salary is not likely achievable for students completing the associated credential

**\*2021 Phase Out Occupations: Occupations on the 2020 SB155 Certifications/Credentials List but no longer meet the In-Demand/Wage/Education criteria (Only for students who started courses in 2019-2020 Credential must be earned before December 2021)**

49-3041	1.0205	Farm Equipment Mechanics	<i>Automotive Service Excellence (ASE)--ASE Student Certification in all 4 of the following areas: Diesel Engines, Electrical/Electronic Systems, Brakes, and Steering &amp; Suspension</i>	\$41,076
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\*Occupations fall below the minimum demand, wage, or educational requirement criteria; in some cases credentials may also be associated with in-demand occupations listed above

<b>*2020 Phase Out Occupations: Occupations on the 2019 SB155 Certifications/Credentials List but no longer meet the In-Demand/Wage/Education criteria                      (Only for students who started courses in 2018-2019 Credential must be earned before December 2020)</b>				
49-3021	47.0603	Automotive Body and Related Repairers	<i>Automotive Service Excellence (ASE)</i> --ASE Student Certification on any of the following areas: Painting & Refinishing, Structural Analysis & Damage Repair, Non-Structural Analysis & Damage Repair, or Mechanical & Electrical; <i>Inter-Industry Conference on Auto Collision Repair (I-CAR)</i> --Refinish Technician ProLevel 1	\$41,349
49-2022	47.0103	Telecommunications Equipment Installers and Repairers Except Line Installers	<i>Society of Cable Telecommunications Engineers</i> -- Broadband Permisses Installer	\$51,583

\*Occupations fall below the minimum demand, wage, or educational requirement criteria; in some cases credentials may also be associated with in-demand occupations listed above

**2. Act on Proposed Amendments to the Kansas Technology Innovation and Internship Program Policy**

**Background**

*K.S.A. 74-32.429 established the Kansas Technology Innovation and Internship program to provide funds to career technical institutions for start-up support for innovative technical courses or programs in emerging technologies, manufacturing or areas of skill shortages, as well as faculty internships. These funds are appropriated on an annual basis and awarded to institutions through a competitive grant process.*

*Eligible institutions are any public postsecondary career technical institutions delivering approved technical education programs in Kansas. The Technical Education Authority reviewed and approved these changes at its March 20, 2020 meeting and recommends Board approval.*

**Policy Change**

The regulations governing this grant program originated in 1991 and were last updated in 2009. Board staff suggest edits to bring the policy up to date, provide clarification, and allow greater flexibility with faculty internships.

Key changes include:

- Internships will not exceed 16 weeks during the award period
- Internships will be paid a maximum of \$30/hr. which is an increase from \$25/hr.
- Internships will have a maximum salary of \$1,200/wk. which is an increase from \$1,000/wk.

These changes more clearly define the use of state funds in support of the internship salary and allow additional faculty to participate.

**Recommendation**

Proposed changes to Board policy were approved by the TEA on March 26, 2020 and Board staff requests approval from the Board.

**B FISCAL MANAGEMENT** (see Chapter II., Section B. for additional fiscal management policies applicable to state universities)

**2 KANSAS TECHNOLOGY INNOVATION AND INTERNSHIP GRANTS**

Under the authorization of the Board of Regents, the Board President and Chief Executive Officer established the following procedures

Procedures

a Background

K.S.A. ~~72-4466~~ 74-32.429 et seq. ~~established~~ establishes the Kansas Technology Innovation and Internship program for:

- i Start-up support for innovative technical courses or programs in emerging technologies, manufacturing or areas of skill shortages; or
- ii internships to enable faculty of a career technical education institution to work in a business/industry setting or to enable employees from business/industry to work in an educational setting at a career technical education institution.

b Participation

Any “career technical education institution” may participate in the program. For purposes of this provision, “career technical education institution” shall mean any “community college,” “technical college” or “institute of technology,” as those terms are defined in K.S.A. ~~72-4412~~ 74-32,407, and amendments thereto.

c Conditions for Participation/Application Guidelines

i Private business must provide financial or in-kind support, or any combination thereof, to the career technical education institution equaling 100% of the amount of the grant request. A letter from the business/industry validating this support must accompany the grant application.

ii Technology Innovation grants

(1) The technical course or program must be new to Kansas, or, if an equivalent course or program is already in existence in Kansas, the new course or program is not offered at a site within 100 miles of a site at which the existing, equivalent course or program is offered.

(2) The technical course or program must relate to a business or industry located in the service area of the career technical education institution.

(3) The technical course or program must relate to emerging technologies, manufacturing or areas of skill shortages. Board staff will determine if an area has a skill shortage by utilizing data from multiple sources.

(4) The application must include a plan for implementation of the grant if awarded. The application must explain how the grant project is innovative in addressing emerging technologies, manufacturing, or areas of skill shortages.

(5) The application must include a budget and budget narrative for the grant that has been signed by the institution’s president.

iii Internship grants

(1) Internship grants may be awarded to full-time career technical faculty to participate in an internship with a business, industry, or other agency, for the purpose of upgrading knowledge and skills in a particular profession, vocation, or trade. Similarly, full-time employees in private industry or other agencies may participate in an internship in a postsecondary career technical education institution.

(2) A career technical teacher or employee from business/industry may be awarded no more than two internships over a three-year period.

(3) The internship may range from a minimum of two weeks to a maximum of 16 weeks during ~~a fiscal year, July 1 to June 30~~ the award period.

(4) The state funds may be used to support the internship salary, which shall not exceed ~~\$25~~ \$30 per hour with a maximum salary of ~~\$1,000~~ \$1,200 per week. The maximum grant from



state funds for an internship shall not exceed a total of \$6000, inclusive of all proposed expenditures.

d Allowable Expenditures

- i Technology Innovation grants: Instructional salaries, equipment, instructional supplies and materials, curriculum development, vendor training, and other related costs pre-approved by Board staff and itemized in the application budget.
- ii Internship grants: Salaries, internship related travel, and related training costs itemized in the application budget.

e Transfer and Expenditure of Funds

- i. Technology Innovation grants: Upon implementation of the grant activities, an institution may request up to 80 percent of the grant funds. The remaining 20 percent of the awarded funds may be requested from Board Finance and Administration staff upon submission and approval of all completed Board final reports. Funds must be expended within ~~the fiscal year in which the grant was awarded~~ the award period. A postsecondary educational institution must act as the fiscal agent for the grant funding.
- ii. Internship grants: Upon completion of the internship activities, a postsecondary institution acting as the fiscal agent for the funds may request the awarded funds from Board Finance and Administration staff upon submission and approval of all completed Board final reports. Funds must be expended within the award period.

f Reporting Requirements

- i. Technology Innovation grants: All final reports must be submitted to Board staff regarding the outcomes of the grant project within the specified timeframe and prior to the release of the final 20 percent of the awarded funds. ~~Interns and their supervisor(s) must also submit timesheets and an evaluation report to Board staff upon completion of the internship.~~
- ii. Internship grants: Interns and their supervisor(s) must submit all required final report forms, timesheets, and evaluation reports to Board staff upon completion of the internship within the specified timeframe and prior to the release of the awarded funds.

g Application Forms

Electronic application forms for both the Innovative Technology and Internship grants are available from Board workforce development staff.

h Application Timeframe

Grant announcements will be made periodically during the year as funds are available. Grants are awarded on a competitive basis.

i Allocation of Funds for Grants

Each year the Board President and Chief Executive Officer, or designee, will determine the amount of funds available for grants.

# DISCUSSION AGENDA

A. *Academic Affairs*

**1. Discuss Updated Low-Enrollment Undergraduate Program Data**

Regent Schmidt  
**Daniel Archer,**  
**VP, Academic Affairs**

**Summary**

*The Board has recently reviewed low-enrollment program data. This month, an updated inventory as well as background information on many of these programs will be discussed.*

**Background**

On January 15, 2020, the Board reviewed and approved the degree programs in which Emporia State University, Fort Hays State University, and Kansas State University requested to review under strategic program alignment. During this discussion, the Board requested enrollment data on the current academic programs offered by the six universities. These data were shared at the February 19, 2020 Board meeting.

Since the February Board meeting, Board staff have reexamined the low-enrollment program data and worked with the institutions to gather additional information about such programs. An updated inventory of low-enrollment programs is included herein. For each low-enrollment program, this inventory includes:

- The average number of majors;
- The average number of graduates; and
- A notes section that provides information regarding certain programs.

It should be noted that the KBOR undergraduate minimum threshold for 1) majors is an average of 25 over a 5-year period 2) and degree completers is an average of 10 over a 5-year period.

None of the programs listed in this inventory met the major threshold and five met the graduation threshold.

An explanation of the notes section is detailed below.

Note	Explanation
New Program	A program that in its infancy stage and has not existed long enough to establish a 5-year enrollment average.
Interdisciplinary Program	A program that integrates a variety of related courses from existing programs into one degree. As a result, this program typically utilizes the resources of more than one existing program.
Phase-Out Status	A program that is teaching out its existing students but is no longer accepting new enrollments. The programs under this status will eventually be discontinued.
On-Hold Status	A status used for a program that is considered inactive. No enrollments of any kind can be submitted in an on-hold status program. Institutions may reactivate an on-hold status program, allowing for student enrollments.
Supports General Education	A program that has courses that support the university’s general education course requirements.
Supports Other Programs	A program that supports other programs at the university.

**EMPORIA STATE UNIVERSITY**

The updated data show that 17 programs at Emporia State University are below the minimum enrollment threshold.

Of the 17 programs:

- 4 programs are new or are being/will be phased out
  - 2 are new;
  - 1 is being phased out; and
  - 1 is scheduled to move into phase-out status.
- 13 programs are active and at least 5-years old.

The average number of majors and graduates in each low enrollment program is detailed below.

Program	Average Number of Majors <sup>1</sup>	Average Number of Graduates <sup>2</sup>	Notes
BUSINESS AND INNOVATION/ ENTREPRENEURSHIP TEACHER EDUCATION.	8	4	• Supports Other Programs
HEALTH TEACHER EDUCATION.	6	6	• Supports Other Programs
MUSIC TEACHER EDUCATION.	14	5	• Supports Other Programs
FOREIGN LANGUAGES AND LITERATURES, GENERAL.	19	6	• Supports General Education
GENERAL STUDIES.	23	N/A	• New • Interdisciplinary
BIOCHEMISTRY AND MOLECULAR BIOLOGY.	20	7	• Supports General Education • Interdisciplinary
MULTI-/INTERDISCIPLINARY STUDIES, OTHER.	5	2	• In Phase-Out Status
PHYSICAL SCIENCES, GENERAL.	5	4	• Supports General Education • Interdisciplinary
CHEMISTRY, GENERAL.	18	9	• Supports General Education
GEOLOGY/EARTH SCIENCE, GENERAL.	17	9	• Supports General Education
PHYSICS, GENERAL.	12	7	• Supports General Education
ECONOMETRICS AND QUANTITATIVE ECONOMICS.	16	9	• Supports General Education

Program	Average Number of Majors <sup>1</sup>	Average Number of Graduates <sup>2</sup>	Notes
POLITICAL SCIENCE AND GOVERNMENT, GENERAL.	16	7	<ul style="list-style-type: none"> <li>Supports General Education</li> </ul>
DRAMA AND DRAMATICS/THEATRE ARTS, GENERAL. <sup>3</sup>	24	7	<ul style="list-style-type: none"> <li>Supports General Education</li> </ul>
ATHLETIC TRAINING/TRAINER.	15	4	<ul style="list-style-type: none"> <li>Will move to Phase-Out Status (ESU recommended to discontinue in 2019 Program Review Report)</li> </ul>
MANAGEMENT SCIENCE.	2	N/A	<ul style="list-style-type: none"> <li>New</li> </ul>
HISTORY, GENERAL.	24	12 (Meets Graduation Threshold)	<ul style="list-style-type: none"> <li>Supports General Education</li> </ul>

<sup>1</sup> Represents the 5-year average number of juniors and seniors majoring in a program between 2014-2018.

<sup>2</sup> Represents the 5-year average number of program graduates between 2014-2018.

<sup>3</sup> Teacher education students are not included in these counts.

**FORT HAYS STATE UNIVERSITY**

The updated data show that 12 programs at Fort Hays State University are below the minimum enrollment threshold.

Of the 12 programs:

- 6 programs are new, being/will be phased out, or being reviewed under strategic program alignment
  - 3 are being phased out;
  - 2 will be phased out; and
  - 1 is currently being reviewed under strategic program alignment.
- 6 are active and at least 5-years old.

The average number of majors and graduates in each low enrollment program is detailed below.

Program	Average Number of Majors <sup>1</sup>	Average Number of Graduates <sup>2</sup>	Notes
ART TEACHER EDUCATION.	11	3	<ul style="list-style-type: none"> <li>Supports Other Programs</li> </ul>
COMPUTER ENGINEERING TECHNOLOGIES/TECHNICIANS, OTHER.	1	N/A	<ul style="list-style-type: none"> <li>Under strategic program alignment Review (will report to the Board in June)</li> </ul>
FOREIGN LANGUAGES AND LITERATURES, GENERAL.	13	6	<ul style="list-style-type: none"> <li>Interdisciplinary</li> <li>Supports General Education</li> </ul>

Program	Average Number of Majors <sup>1</sup>	Average Number of Graduates <sup>2</sup>	Notes
BIOLOGICAL AND PHYSICAL SCIENCES.	11	9	<ul style="list-style-type: none"> <li>Will Phase Out (Recommended by FHSU to discontinue in 2019 Program Review)</li> </ul>
PHILOSOPHY.	15	3	<ul style="list-style-type: none"> <li>Supports General Education</li> </ul>
PHYSICS, GENERAL.	20	6	<ul style="list-style-type: none"> <li>Supports General Education</li> </ul>
GEOGRAPHY.	24	5	<ul style="list-style-type: none"> <li>In Phase-Out Status</li> </ul>
ART/ART STUDIES, GENERAL.	5	3	<ul style="list-style-type: none"> <li>Supports Other Programs.</li> </ul>
MUSIC, GENERAL.	13	7	<ul style="list-style-type: none"> <li>Supports General Education</li> </ul>
ATHLETIC TRAINING/TRAINER.	5	5	<ul style="list-style-type: none"> <li>Will phase out based on accreditation requirement</li> </ul>
ADMINISTRATIVE ASSISTANT AND SECRETARIAL SCIENCE, GENERAL.	12 <sup>3</sup>	2	<ul style="list-style-type: none"> <li>In Phase-Out Status</li> </ul>
BUSINESS/CORPORATE COMMUNICATIONS, GENERAL.	2	3	<ul style="list-style-type: none"> <li>In Phase-Out status. Will be discontinued in 2023.</li> </ul>

<sup>1</sup> Represents the 5-year average number of juniors and seniors majoring in a program each fall between 2014-2018.

<sup>2</sup> Represents the 5-year average number of program graduates between 2014-2018.

<sup>3</sup> Also includes freshman and sophomores because this is an associate program.

**KANSAS STATE UNIVERSITY**

The updated data show that 11 programs at Kansas State University are below the minimum enrollment threshold.

Of the 11 programs:

- 4 programs are new or in phase-out status
  - 3 are new; and
  - 1 is being phased out.
- 7 programs are active and at least 5-years old.

The average number of majors and graduates in each low enrollment program is detailed below.

Program	Average Number of Majors <sup>1</sup>	Average Number of Graduates <sup>2</sup>	Notes
LATIN AMERICAN STUDIES.	3	3	<ul style="list-style-type: none"> <li>In Phase-Out Status</li> </ul>
ETHNIC STUDIES.	10	4	<ul style="list-style-type: none"> <li>Interdisciplinary</li> <li>Supports General Education (Diversity Area)</li> </ul>
WOMEN'S STUDIES.	15	7	<ul style="list-style-type: none"> <li>Interdisciplinary</li> <li>Supports General Education (Diversity Area)</li> </ul>
BIOENGINEERING AND BIOMEDICAL ENGINEERING.	2	N/A	<ul style="list-style-type: none"> <li>New</li> </ul>
HUMANITIES/HUMANISTIC STUDIES.	8	7	<ul style="list-style-type: none"> <li>Interdisciplinary (General Humanities Degree)</li> </ul>
STATISTICS, GENERAL.	21	6	<ul style="list-style-type: none"> <li>Supports Other Programs</li> <li>Supports general education area of quantitative reasoning</li> </ul>
PHYSICAL SCIENCES, GENERAL.	12	3	<ul style="list-style-type: none"> <li>Interdisciplinary (General Science Degree)</li> </ul>
AIRFRAME MECHANICS AND AIRCRAFT MAINTENANCE TECHNOLOGY/TECHNICIAN.	5	N/A	<ul style="list-style-type: none"> <li>New associate degree (Phasing out bachelors and starting an associates)</li> </ul>
AIRLINE/COMMERCIAL/ PROFESSIONAL PILOT AND FLIGHT CREW.	9	N/A	<ul style="list-style-type: none"> <li>New associate degree (Phasing out bachelors and starting an associates)</li> </ul>
CLINICAL LABORATORY SCIENCE/MEDICAL TECHNOLOGY/TECHNOLOGIST.	12	1	<ul style="list-style-type: none"> <li>Interdisciplinary – Program is composed of courses from other programs (BIOL, CHEM, etc.)</li> </ul>
ACCOUNTING AND BUSINESS/MANAGEMENT. <sup>3</sup>	16	6	<ul style="list-style-type: none"> <li>Specified that the program will be enhanced to improve recruitment</li> </ul>

<sup>1</sup> Represents the 5-year average number of juniors and seniors majoring in a program each fall between 2014-2018.

<sup>2</sup> Represents the 5-year average number of program graduates between 2014-2018.

<sup>3</sup> Also includes freshman and sophomores because this is an associate program.

**PITTSBURG STATE UNIVERSITY**

The updated data show that 25 programs at Pittsburg State University are below the minimum enrollment threshold.

Of the 25 programs:

- 9 programs are new or being phased out
  - 8 are being phased out; and
  - 1 is new.
- 16 programs are active and at least 5-years old.

The average number of majors and graduates in each low enrollment program is detailed below.

Program	Average Number of Majors <sup>1</sup>	Average Number of Graduates <sup>2</sup>	Notes
FAMILY AND CONSUMER SCIENCES/HOME ECONOMICS TEACHER EDUCATION.	17	6	
MATHEMATICS TEACHER EDUCATION.	18	6	• Supports Other Programs
BIOLOGY TEACHER EDUCATION.	9	3	• Supports Other Programs
CHEMISTRY TEACHER EDUCATION.	4	1	• In Phase-Out Status
FRENCH LANGUAGE TEACHER EDUCATION.	3	1	• In Phase-Out Status
PHYSICS TEACHER EDUCATION.	1	N/A	• In Phase-Out Status
SPANISH LANGUAGE TEACHER EDUCATION.	19	2	• In Phase-Out Status
PSYCHOLOGY TEACHER EDUCATION.	1	2	• In Phase-Out Status
TEACHER EDUCATION AND PROFESSION DEVELOPMENT, SPECIFIC SUBJECT AREAS, OTHER.	5	2	
FOREIGN LANGUAGES AND LITERATURES, GENERAL. <sup>3</sup>	12	4	• Supports General Education
SPANISH LANGUAGE AND LITERATURE. <sup>3</sup>	1	5	• In Phase-Out Status
MATHEMATICS, GENERAL.	19	6	• BS Active (BA in Phase-Out Status)
MULTI-/INTERDISCIPLINARY STUDIES, OTHER.	21	9	• In Phase-Out Status
POLYMER CHEMISTRY.	3	1	
PHYSICS, GENERAL.	9	3	• Supports General Education
ECONOMICS, GENERAL.	14	4	• Supports General Education

Program	Average Number of Majors <sup>1</sup>	Average Number of Graduates <sup>2</sup>	Notes
GEOGRAPHY.	6	5	• Supports General Education
POLITICAL SCIENCE AND GOVERNMENT, GENERAL.	21	7	• Supports General Education
SOCIOLOGY, GENERAL.	13	6	• Supports General Education
INTERIOR DESIGN.	17	5	• New
GRAPHIC DESIGN.	6	20 (meets graduation threshold)	• In Phase-Out Status
MUSIC PERFORMANCE, GENERAL.	15	4	• Supports General Education
CLINICAL LABORATORY SCIENCE/MEDICAL TECHNOLOGY/ TECHNOLOGIST.	8	3	
INTERNATIONAL BUSINESS/TRADE/COMMERCE	23	8	
HISTORY, GENERAL.	20	10 (Meets Graduation Threshold)	• Supports General Education

<sup>1</sup> Represents the 5-year average number of juniors and seniors majoring in a program each fall between 2014-2018.

<sup>2</sup> Represents the 5-year average number of program graduates between 2014-2018

<sup>3</sup> Due to the incapability of determining the degree code of the major, the major count could be either BA (Spanish Language and Literature) or BSE (Spanish Language Teacher Education).

**UNIVERSITY OF KANSAS**

The updated data show that 20 programs at the University of Kansas are below the minimum enrollment threshold.

Of the 20 programs:

- 5 are new or being phased out
  - 4 are new; and
  - 1 is being phased out.
- 15 are active and at least 5-years old.



The average number of majors and graduates in each low enrollment program is detailed below.

Program	Average Number of Majors <sup>1</sup>	Average Number of Graduates <sup>2</sup>	Notes
INTERIOR ARCHITECTURE.	1	N/A	<ul style="list-style-type: none"> <li>• New</li> </ul>
AFRICAN STUDIES.	14	8	<ul style="list-style-type: none"> <li>• Supports General Education</li> </ul>
AMERICAN/UNITED STATES STUDIES/CIVILIZATION.	18	14 (Meets Graduation Threshold)	<ul style="list-style-type: none"> <li>• Interdisciplinary</li> <li>• Supports General Education</li> </ul>
EUROPEAN STUDIES/CIVILIZATION.	2	2	<ul style="list-style-type: none"> <li>• Interdisciplinary</li> </ul>
RUSSIAN STUDIES.	5	2	<ul style="list-style-type: none"> <li>• Interdisciplinary</li> <li>• Unit federally funded; Title VI National Resource Center since 1965</li> </ul>
LATIN AMERICAN AND CARIBBEAN STUDIES.	5	4	<ul style="list-style-type: none"> <li>• Interdisciplinary</li> <li>• Unit federally funded; Title VI National Resource Center</li> </ul>
ART TEACHER EDUCATION.	17	6	<ul style="list-style-type: none"> <li>• Supports Other Programs</li> </ul>
PHYSICAL EDUCATION TEACHING AND COACHING.	18	10 (Meets Graduation Threshold)	<ul style="list-style-type: none"> <li>• Supports Other Programs</li> </ul>
SLAVIC LANGUAGES, LITERATURES, AND LINGUISTICS, GENERAL.	15	7	<ul style="list-style-type: none"> <li>• Interdisciplinary</li> <li>• Supports General Education</li> </ul>
GERMAN STUDIES	17	7	<ul style="list-style-type: none"> <li>• Supports General Education</li> </ul>
CLASSICS AND CLASSICAL LANGUAGES, LITERATURES, AND LINGUISTICS, GENERAL.	6	4	<ul style="list-style-type: none"> <li>• Interdisciplinary</li> <li>• Supports General Education</li> </ul>
LEGAL PROFESSIONS AND STUDIES.	11	2	<ul style="list-style-type: none"> <li>• New</li> </ul>
BIOTECHNOLOGY	13	1	<ul style="list-style-type: none"> <li>• New</li> </ul>
HUMANITIES/HUMANISTIC STUDIES	12	5	<ul style="list-style-type: none"> <li>• Supports General Education</li> <li>• Interdisciplinary</li> </ul>
ANCIENT STUDIES/CIVILIZATION.	14	5	<ul style="list-style-type: none"> <li>• Interdisciplinary</li> <li>• Supports General Education</li> </ul>
RELIGION/RELIGIOUS STUDIES.	15	7	<ul style="list-style-type: none"> <li>• Supports General Education</li> </ul>

Program	Average Number of Majors <sup>1</sup>	Average Number of Graduates <sup>2</sup>	Notes
JEWISH/JUDAIC STUDIES.	5	3	• New
ASTRONOMY.	13	3	• Interdisciplinary
DEVELOPMENTAL AND CHILD PSYCHOLOGY.	5	8	• In Phase-Out Status
DANCE, GENERAL.	22	9	

<sup>1</sup> Represents the 5-year average number of juniors and seniors majoring in a program each fall between 2014-2018.

<sup>2</sup> Represents the 5-year average number of program graduates between 2014-2018.

**WICHITA STATE UNIVERSITY**

The updated data show that 7 programs at Wichita State University are below the minimum enrollment threshold.

Of the 7 programs:

- 4 programs are merging, being phased out/will be phased out, or new
  - 1 is folding into an interdisciplinary program (per strategic program alignment);
  - 1 is being phased out (plan to discontinue per strategic program alignment);
  - 1 will be phased out based on a programmatic accreditation requirement; and
  - 1 is new.
- 3 programs are active and at least 5-years old.

The average number of majors and graduates in each low enrollment program is detailed below.

Program	Average Number of Majors <sup>1</sup>	Average Number of Graduates <sup>2</sup>	Notes
WOMEN'S STUDIES.	17	5	• Folding into an interdisciplinary program per strategic program alignment
MANUFACTURING ENGINEERING.	16	4	• Specified it will place this program under additional review.
LIBERAL ARTS AND SCIENCES/LIBERAL STUDIES.	23	7	• Moving to phase-out status (plan to discontinue per strategic program alignment)
MULTI-/INTERDISCIPLINARY STUDIES, OTHER.	2	1	• Interdisciplinary
PHILOSOPHY.	20	7	• Supports General Education

Program	Average Number of Majors <sup>1</sup>	Average Number of Graduates <sup>2</sup>	Notes
HOMELAND SECURITY.	7	N/A	<ul style="list-style-type: none"> <li>New</li> </ul>
ATHLETIC TRAINING/TRAINER.	23	5	<ul style="list-style-type: none"> <li>Will be phased out due to accreditation requirements.</li> </ul>

<sup>1</sup> Represents the 5-year average number of juniors and seniors majoring in a program each fall between 2014-2018.

<sup>2</sup> Represents the 5-year average number of program graduates between 2014-2018.

**Summary**

Lastly, a summary of the number of programs in each average major range is detailed below.

University	# of Programs Averaging 17-24 Majors	# of Programs Averaging 8-16 Majors	# of Programs Averaging 1-7 Majors	Totals
Emporia State University	6	5	2	13
Fort Hays State University	1	4	1	6
Kansas State University	1	6	0	7
Pittsburg State University	6	7	3	16
University of Kansas	5	6	4	15
Wichita State University	1	1	1	3
Totals	20	29	11	60

This inventory was intended to provide foundational information about these programs. Given that this review was limited, a more thorough analysis is needed to gauge the breadth and depth of these programs. With this issue in mind, it is recommended that the Board direct the universities to review some of these programs under strategic program alignment. This will provide an avenue to analyze and assess the efficiency, effectiveness, and essentiality of the programs in which the Board wishes to examine further.

**2. Act on Request for a COVID-19 Response Exception to the Board’s Tenure Clock Extension Policy**

**Summary**

*Board policy specifies that faculty are limited to two extensions to their tenure-track clock. In response to the recent and significant disruptions that have occurred based on the COVID-19 pandemic, there is a need for the Board to review a systemwide request for an exception to this policy. Board staff recommend approving this request.*

**Background**

Board policy prescribes a tenure-track clock, which is the timeline by which university tenure-track faculty are reviewed for tenure. This policy specifies that the tenure-track clock shall generally not exceed seven years. Additionally, the policy prescribes specific parameters regarding the tenure-track clock. Most notably, policy 2.C.2.b.vii.2.e states “no more than two extensions of the tenure clock may be granted to a faculty member for any reason.”

When looking at this specific policy in relation to the recent COVID-19-related disruptions to scholarly work and teaching, it is anticipated that tenure-track faculty will need additional flexibility in 2020. Because this pandemic is an “unexpected special and extenuating circumstance” affecting everyone, as opposed to an individual faculty member having a unique experience disrupting their work, institutions may find it expedient to grant a campus-wide exemption that should not count against faculty as one of the two extensions currently allowed by Board policy.

In response to these issues, Board staff recommend that the Board approve a one-time systemwide exception to policy 2.C.2.b.vii.2.e for tenure-clock extensions granted based on COVID-19. This would exclude COVID-19 related tenure-clock extensions from counting toward the two-extension limit that is prescribed in policy.

The Council of Chief Academic Officers and the Council of Faculty Senate Presidents support this request. Board staff recommend approval.

B. Fiscal Affairs & Audit

Regent Hutton

1. Receive and Discuss Capital Improvement Requests for FY 2022 and Five-Year Plans – University System (First Read)

Eric King, Interim Director, Facilities

**Summary and Staff Recommendation**

*As required by Kansas law and in accordance with the format prescribed by the Division of the Budget, the campuses have submitted their FY 2022 capital improvement requests and five-year capital plans. Included as attachments are summaries of the universities’ requests and staff recommendations for the Board’s review and discussion. The Board will take action at the May meeting, as the capital improvement requests are due to the Division of Budget on July 1, 2020.*

**Background**

Capital improvement requests are due to the Division of Budget by July 1<sup>st</sup> each year; therefore, the Board has a process to review the institutional requests and act on those requests in the spring. Funding for capital improvements can come from a variety of sources as noted on the last page of the list of requested projects.

In recent years, the Legislature has not appropriated money from the State General Fund (SGF) for new building projects on a consistent basis, only on an isolated project-by-project basis. For example, the Legislature and Governor supported \$25 million in bonds for the new Health Education Building at KU Medical Center and infrastructure improvements for the National Bio-Agro Defense Facility in Manhattan with SGF appropriations for debt service, but other projects have not received SGF support. Therefore, when state universities identify projects on the capital improvement plans that require state funding within Year 1 or 2 of the Five-Year Plan, KBOR staff usually does not support inclusion of that project unless state funds have already been appropriated, a specific funding source has been identified, or a designation of TBD (to be determined) is indicated.

The only consistent source of state funding for university buildings has been the Educational Building Fund (EBF), which now provides approximately \$40-41 million per year for rehabilitation and repair projects. The Board has directed that EBF resources be used solely for deferred maintenance-type projects, to help address the deferred maintenance backlog. As reported in the Fall 2018 biennial report on deferred maintenance, there is currently an estimated \$966 million backlog in deferred maintenance. It is estimated that the system would need at least \$99 million per year to provide timely upkeep of the 1,000 buildings on the Regents campuses.

**Staff Recommendations**

- Approve all projects funded by non-state funds as submitted (e.g. housing, parking, private gifts, restricted fees, etc.).
- Approve University System request for spending authority for Rehabilitation & Repair projects from the Educational Building Fund (EBF).
- Approve the staff recommendation for individual building/infrastructure projects for state appropriations in FY 2022, and those to be considered for future appropriations should the Board deem certain projects essential.

For over a decade, addressing the deferred maintenance of existing facilities has been a top priority with the Board and Legislature, yet insufficient state resources have been directed toward that purpose. Funding for the construction, maintenance and operation of new facilities has largely been left to other resources available to the University System.

Summary of state funds requested from SGF

**As Submitted** (TABLE 1)

FY 2021	\$ 50,000
FY 2022	2,117,150
FY 2023	--
FY 2024	8,982,992
FY 2025	8,982,992
FY 2026	--
<b>TOTAL</b>	<b>\$ 20,133,134</b>

**As Recommended** (TABLE 2)

FY 2021	\$ --
FY 2022	--
FY 2023	--
FY 2024	--
FY 2025	--
FY 2026	--
<b>TOTAL</b>	<b>\$ --</b>

List of individual building/infrastructure projects submitted for SGF support:

FY 2021	WSU Central Energy Plant Cooling Tower Fan Replacement	\$ 50,000
FY 2022	WSU Central Energy Plant Cooling Tower Fan Replacement	\$ 2,117,150
FY 2024	KU Medical Center School of Medicine Wichita Health Education Building	\$ 8,982,992
FY 2025	KU Medical Center School of Medicine Wichita Health Education Building	\$ 8,982,992
	<b>TOTAL</b>	<b>\$ 20,133,134</b>

Following are two tables of Capital Improvement requests, detailed in each institution’s Five-Year Plan:

**TABLE 1** includes all requests that have been submitted by each institution.

**TABLE 2** includes all requests that have been recommended by KBOR staff for each institution.

**TABLE 1**  
**FY 2022 CAPITAL IMPROVEMENT REQUESTS - SUMMARY OF INSTITUTION SUBMITTALS**

	Total Project Cost	Prior Years	Current Year	FY 2022	FY 2023	FY 2024	FY 2025	FY 2026	Subsequent Years
<b>Kansas Board of Regents</b>									
Rehabilitation & Repair of Mission Critical State Facilities Educational Building Fund	\$230,000,000		\$41,000,000	\$44,000,000	\$45,000,000	\$46,500,000	\$47,000,000	\$47,500,000	
<b>University of Kansas</b>									
District Chilled Water Plant University's Share of Educational Building Fund	6,000,000	1,000,000	3,000,000	2,000,000					
Bailey Hall HVAC Upgrades University's Share of Educational Building Fund	5,000,000			1,000,000	3,000,000	1,000,000			
Deferred Maintenance Multiple Buildings Phase 1 University's Share of Educational Building Fund, University Interest, Restricted Fees, Tuition	143,807,000		8,838,400	10,885,400	11,385,400	13,885,400	15,385,400	15,385,400	68,041,600
Irving Hill Road Improvements Phase 3 University's Share of Educational Building Fund	4,950,000	4,403,000	547,000						
Capitol Federal Hall Build Out Shell Space Private Gift	2,650,000	1,200,000	1,450,000						
Chalmer Hall Improvements - Metalsmithing Shop Private Gift	1,500,000	300,000	300,000	900,000					
Allen Fieldhouse Renovations Phase 2 Athletic Association, Private Gift	20,000,000		20,000,000						
Hoglund Ballpark Improvements Phase 4 Athletic Association, Private Gift	22,000,000			12,000,000	10,000,000				
Memorial Stadium Renovations Phase 1 Athletic Association, Private Gift	170,000,000		6,200,000	66,000,000	97,800,000				
Memorial Stadium Renovations Phase 2 Athletic Association, Private Gift	130,000,000						30,000,000	100,000,000	
Kansas Memorial Union Renovation Student Fees, Union	21,662,000	2,000,000	1,200,000	1,400,000	1,080,000	1,800,000	1,400,000	1,800,000	10,982,000
Oliver Residence Hall Demolition Housing Funds, Parking Fees	2,200,000	500,000	1,700,000						
<b>Lewis Residence Hall Replace Chiller</b> Housing Funds	<b>1,500,000</b>		1,500,000						
<b>Templin Hall Improvements</b> Housing Funds, Private Gifts	<b>3,000,000</b>		200,000	2,800,000					
<b>Lewis Hall Improvements</b> Housing Funds, Private Gifts	<b>3,000,000</b>			200,000	2,800,000				
Parking Improvements Parking Fees	22,823,000	3,338,000	3,715,000	1,885,000	1,800,000	1,895,000	1,240,000	1,800,000	7,150,000
<b>Total</b>	<b>\$560,092,000</b>	<b>\$12,741,000</b>	<b>\$48,650,400</b>	<b>\$99,070,400</b>	<b>\$127,865,400</b>	<b>\$18,580,400</b>	<b>\$48,025,400</b>	<b>\$118,985,400</b>	<b>\$86,173,600</b>

**TABLE 1  
FY 2022 CAPITAL IMPROVEMENT REQUESTS - SUMMARY OF INSTITUTION SUBMITTALS**

	Total Project Cost	Prior Years	Current Year	FY 2022	FY 2023	FY 2024	FY 2025	FY 2026	Subsequent Years
<b>University of Kansas Medical Center</b>									
<b>Murphy Entrance Renovation</b>	<b>1,623,983</b>	1,623,983							
Research Institute, Tuition, University's Share of EBF									
Orr Major Anatomy Lab	7,254,050	7,254,050							
Research Institute, Tuition, State General Fund									
KUMC - Dental School	37,661,950				12,687,317	12,687,317	12,287,316		
To be determined									
School of Medicine Wichita Health Ed Building	17,965,984					8,982,992	8,982,992		
State General Fund									
<b>KUMC HVAC Controls</b>	<b>3,312,000</b>		2,041,250	1,270,750					
Research Institute, Tuition, University's Share of EBF									
Parking Lot/Garage Maintenance & Improvements	7,500,000	500,000	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000
Parking Fees									
Cambridge Parking Garage Repairs	9,972,083	7,009,790	2,962,293						
Parking Fees									
<b>Cancer Research Building</b>	<b>210,000,000</b>				30,000,000	90,000,000	90,000,000		
Private Gifts, To be determined									
<b>Brain Health Building</b>	<b>59,000,000</b>				9,000,000	25,000,000	25,000,000		
Private Gifts, To be determined									
Parking Facility No. 6	30,713,055				10,237,685	10,237,685	10,237,685		
Parking Fees									
Orr Major Master Plan Completion	20,000,000			5,000,000	5,000,000	5,000,000	5,000,000		
To be determined									
<b>Surgical Skills Lab</b>	<b>3,204,171</b>			2,000,000	1,204,171				
Private Gifts, To be determined									
<b>Eaton - Cardiovascular Offices</b>	<b>1,541,000</b>			1,541,000					
Private Gifts, To be determined									
<b>Total</b>	<b>\$409,748,276</b>	<b>\$16,387,823</b>	<b>\$6,003,543</b>	<b>\$10,811,750</b>	<b>\$69,129,173</b>	<b>\$152,907,994</b>	<b>\$152,507,993</b>	<b>\$1,000,000</b>	<b>\$1,000,000</b>



**TABLE 1**  
**FY 2022 CAPITAL IMPROVEMENT REQUESTS - SUMMARY OF INSTITUTION SUBMITTALS**

	Total Project Cost	Prior Years	Current Year	FY 2022	FY 2023	FY 2024	FY 2025	FY 2026	Subsequent Years
<b>Kansas State University</b>									
Campus Infrastructure Improvements - 12.5kVA Electrical <small>University's Share of Educational Building Fund, Revenue Bond, University Interest</small>	7,800,000	7,250,000	550,000						
Parking Lot Improvements <small>Parking Fees</small>	7,600,000	2,800,000	800,000	800,000	800,000	800,000	800,000	800,000	
Bill Snyder Family Stadium Phase V East Stadium Upgrades <small>Private Gift, Athletic Association</small>	4,700,000	1,700,000		3,000,000					
Hale Library Repair and Restoration <small>Insurance Claim Proceeds, Private Gift</small>	42,000,000	29,000,000	13,000,000						
Ice Hall Physician Assistant Program Renovation <small>Tuition</small>	2,500,000	2,050,000	450,000						
Willard Lecture Hall Renovation <small>Student Fees</small>	1,500,000	750,000	750,000						
Multicultural Student Center <small>Private Gift</small>	5,500,000	3,500,000	2,000,000						
McCain Additions and Code Compliance <small>Private Gift</small>	5,700,000	1,000,000	4,700,000						
Derby Dining Center Renovation <small>Housing Fund, Revenue Bond</small>	16,000,000	6,600,000	9,400,000						
Mosier Hall - Additions and Renov for Pet Health Ctr, Auditorium, Research Suite <small>Veterinary Medical Revenues, Private Gifts</small>	8,209,000	100,000	4,209,000	3,900,000					
Campus Infrastructure Retro Commission, Thermostats, Insul <small>Restricted Fees, University Interest</small>	2,100,000		1,000,000	1,100,000					
Bill Snyder Family Stadium South End Zone <small>Private Gift, Athletic Association</small>	49,936,162	1,000,000	40,000,000	8,936,162					
Campus Infrastructure Building Lighting, Infrastructure, System Upgrades <small>Revenue Bond</small>	20,000,000			10,000,000	10,000,000				
Mosier Hall - Second Floor Renovations, Biomedical Labs <small>Veterinary Medical Revenues, Private Gifts</small>	3,300,000					3,300,000			
Agricultural Research & Extension Facility (FASTER) <small>Private Gift, Federal Grant, Restricted Fees</small>	150,000,000					50,000,000	50,000,000	50,000,000	
Geosciences Building <small>Private Gift, To be determined</small>	10,000,000					1,000,000	9,000,000		
Large Animal Research Center Expansion - Phase I & II <small>To be determined</small>	17,800,000					5,000,000	5,000,000		7,800,000
<b>West Memorial Stadium Renovation - Band</b> <small>To be determined</small>	<b>3,500,000</b>					2,000,000	1,500,000		
<b>Boyd Putnam Hall Renovations</b> <small>Housing Fund</small>	<b>16,000,000</b>					8,000,000	8,000,000		
<b>Football Indoor Practice Facility</b> <small>Private Gift, Athletic Association</small>	<b>24,500,000</b>					24,500,000			
<b>Volleyball Arena</b> <small>Private Gift, Athletic Association</small>	<b>17,500,000</b>					17,500,000			
<b>Olympic Training Center</b> <small>Private Gift, Athletic Association</small>	<b>13,000,000</b>					13,000,000			
<b>Total</b>	<b>\$ 429,145,162</b>	<b>\$ 55,750,000</b>	<b>\$ 76,859,000</b>	<b>\$ 27,736,162</b>	<b>\$ 10,800,000</b>	<b>\$ 125,100,000</b>	<b>\$ 74,300,000</b>	<b>\$ 50,800,000</b>	<b>\$ 7,800,000</b>

**TABLE 1**  
**FY 2022 CAPITAL IMPROVEMENT REQUESTS - SUMMARY OF INSTITUTION SUBMITTALS**

	Total Project Cost	Prior Years	Current Year	FY 2022	FY 2023	FY 2024	FY 2025	FY 2026	Subsequent Years
<b>Wichita State University</b>									
Central Energy Plant Cooling Tower Fan Replacement State General Fund	2,167,150		50,000	2,117,150					
Parking Maintenance & Improvements Parking Fees	3,350,000	350,000	500,000	500,000	500,000	500,000	500,000	500,000	
Charles Koch Arena Expansion & Renovation Private Gift, Athletic Association	13,800,000	13,300,000	500,000						
Innovation Campus New School of Business Private Gift, Revenue Bond	60,500,000	3,500,000	25,000,000	32,000,000					
<b>National Institute of Aviation Research + Addition</b> Restricted Fees, Federal Grant	<b>8,750,000</b>	4,400,000	4,350,700						
<b>Total</b>	<b>\$88,567,150</b>	<b>\$21,550,000</b>	<b>\$30,400,700</b>	<b>\$34,617,150</b>	<b>\$500,000</b>	<b>\$500,000</b>	<b>\$500,000</b>	<b>\$500,000 \$</b>	<b>--</b>
<b>Emporia State University</b>									
Abigail Morse Renovations Revenue Bond, Housing Funds	10,625,500	9,481,500	1,144,000						
New Aquatic Research & Research Center Private Gift	900,000	900,000							
New Tennis Facility Private Gift	3,235,000	750,000	2,485,000						
New Maintenance Facility Private Gift	10,852,000					5,352,000	5,500,000		
Parking Improvements Parking Fees	900,000		100,000	500,000	75,000	75,000	75,000	75,000	
<b>Total</b>	<b>\$26,512,500</b>	<b>\$11,131,500</b>	<b>\$3,729,000</b>	<b>\$500,000</b>	<b>\$75,000</b>	<b>\$5,427,000</b>	<b>\$5,575,000</b>	<b>\$75,000 \$</b>	<b>--</b>

**TABLE 1  
FY 2022 CAPITAL IMPROVEMENT REQUESTS - SUMMARY OF INSTITUTION SUBMITTALS**

	Total Project Cost	Prior Years	Current Year	FY 2022	FY 2023	FY 2024	FY 2025	FY 2026	Subsequent Years
<b>Pittsburg State University</b>									
Kelce Expansion <small>University's Share of Educational Building Fund, Private Gift</small>	18,500,000	8,616,914	1,514,476	1,767,892	4,000,000	2,600,718			
Kansas Technology Center Expansion <small>To be determined</small>	15,000,000				8,000,000	7,000,000			
McPherson Hall Expansion <small>Private Gift, To be determined</small>	6,050,000		1,000,000	3,050,000	2,000,000				
Tyler Research Center Expansion <small>To be determined</small>	10,000,000					5,000,000	5,000,000		
Sports Complex Improvements <small>Private Gift</small>	3,900,000	3,400,000			500,000				
Parking Maintenance & Improvements <small>Parking Fees</small>	1,200,000		200,000	200,000	200,000	200,000	200,000	200,000	
JHO Student Center Improvements <small>Student Fees</small>	1,500,000	250,000	250,000	250,000	250,000	250,000	250,000		
Housing System Maintenance & Improvements <small>Housing Funds</small>	3,000,000	500,000	500,000	500,000	500,000	500,000	500,000		
<b>Total</b>	<b>\$59,150,000</b>	<b>\$12,766,914</b>	<b>\$3,464,476</b>	<b>\$5,767,892</b>	<b>\$15,450,000</b>	<b>\$15,550,718</b>	<b>\$5,950,000</b>	<b>\$200,000</b>	<b>\$ --</b>
<b>Fort Hays State University</b>									
Forsyth Library Renovation <small>University's Share of Educational Building Fund, Tuition</small>	16,500,000		1,000,000	7,250,000	7,000,000	1,250,000			
Rarick Hall Renovation <small>University's Share of Educational Building Fund, Tuition</small>	10,100,000	500,000	4,500,000	5,100,000					
Akers Generator Replacement <small>University's Share of Educational Building Fund, University Interest</small>	<b>2,500,000</b>	500,000	2,000,000						
Parking Improvements <small>Parking Fees</small>	2,400,000		400,000	400,000	400,000	400,000	400,000	400,000	
Memorial Union Addition <small>Student Fees, Private Gift</small>	15,250,000	9,500,000	5,750,000						
Gross Coliseum Parking Lot Replacement <small>Parking Fees, Tuition</small>	4,275,000				500,000	1,900,000	1,875,000		
Football Facility <small>Private Gift</small>	6,000,000			3,000,000	3,000,000				
<b>Total</b>	<b>\$57,025,000</b>	<b>\$10,500,000</b>	<b>\$13,650,000</b>	<b>\$15,750,000</b>	<b>\$10,900,000</b>	<b>\$3,550,000</b>	<b>\$2,275,000</b>	<b>\$400,000</b>	<b>\$ --</b>
<b>Grand Total - System</b>	<b>\$1,860,240,088</b>	<b>\$140,827,237</b>	<b>\$223,757,119</b>	<b>\$238,253,354</b>	<b>\$279,719,573</b>	<b>\$368,116,112</b>	<b>\$336,133,393</b>	<b>\$219,460,400</b>	<b>\$94,973,600</b>
<b>Subtotal - State General Fund</b>	<b>\$27,387,184</b>	<b>\$7,254,050</b>	<b>\$50,000</b>	<b>\$2,117,150</b>	<b>\$ --</b>	<b>\$8,982,992</b>	<b>\$8,982,992</b>	<b>\$ --</b>	<b>\$ --</b>
<b>Project Not Previously Approved For 5-Year Plan</b>									
<b>Project Approved Mid-cycle For 5-Year Plan</b>									

**TABLE 2**  
**FY 2022 CAPITAL IMPROVEMENT REQUESTS - SUMMARY OF STAFF RECOMMENDATIONS**

	Total Project Cost	Prior Years	Current Year	FY 2022	FY 2023	FY 2024	FY 2025	FY 2026	Subsequent Years
<b>Kansas Board of Regents</b>									
Rehabilitation & Repair of Mission Critical State Facilities Educational Building Fund	\$230,000,000		\$41,000,000	\$44,000,000	\$45,000,000	\$46,500,000	\$47,000,000	\$47,500,000	
<b>University of Kansas</b>									
District Chilled Water Plant University's Share of Educational Building Fund	6,000,000	1,000,000	3,000,000	2,000,000					
Bailey Hall HVAC Upgrades University's Share of Educational Building Fund	5,000,000			1,000,000	3,000,000	1,000,000			
Deferred Maintenance Multiple Buildings Phase 1 University's Share of Educational Building Fund, University Interest, Restricted Fees, Tuition	143,807,000		8,838,400	10,885,400	11,385,400	13,885,400	15,385,400	15,385,400	68,041,600
Irving Hill Road Improvements Phase 3 University's Share of Educational Building Fund	4,950,000	4,403,000	547,000						
Capitol Federal Hall Build Out Shell Space Private Gift	2,650,000	1,200,000	1,450,000						
Chalmer Hall Improvements - Metalsmithing Shop Private Gift	1,500,000	300,000	300,000	900,000					
Allen Fieldhouse Renovations Phase 2 Athletic Association, Private Gift	20,000,000		20,000,000						
Hoglund Ballpark Improvements Phase 4 Athletic Association, Private Gift	22,000,000			12,000,000	10,000,000				
Memorial Stadium Renovations Phase 1 Athletic Association, Private Gift	170,000,000		6,200,000	66,000,000	97,800,000				
Memorial Stadium Renovations Phase 2 Athletic Association, Private Gift	130,000,000						30,000,000	100,000,000	
Kansas Memorial Union Renovation Student Fees, Union	21,662,000	2,000,000	1,200,000	1,400,000	1,080,000	1,800,000	1,400,000	1,800,000	10,982,000
Oliver Residence Hall Demolition Housing Funds, Parking Fees	2,200,000	500,000	1,700,000						
<b>Lewis Residence Hall Replace Chiller</b> Housing Funds	<b>1,500,000</b>		1,500,000						
<b>Templin Hall Improvements</b> Housing Funds, Private Gifts	<b>3,000,000</b>		200,000	2,800,000					
<b>Lewis Hall Improvements</b> Housing Funds, Private Gifts	<b>3,000,000</b>			200,000	2,800,000				
Parking Improvements Parking Fees	22,823,000	3,338,000	3,715,000	1,885,000	1,800,000	1,895,000	1,240,000	1,800,000	7,150,000
<b>Total</b>	<b>\$560,092,000</b>	<b>\$12,741,000</b>	<b>\$48,650,400</b>	<b>\$99,070,400</b>	<b>\$127,865,400</b>	<b>\$18,580,400</b>	<b>\$48,025,400</b>	<b>\$118,985,400</b>	<b>\$86,173,600</b>

**TABLE 2  
FY 2022 CAPITAL IMPROVEMENT REQUESTS - SUMMARY OF STAFF RECOMMENDATIONS**

	Total Project Cost	Prior Years	Current Year	FY 2022	FY 2023	FY 2024	FY 2025	FY 2026	Subsequent Years
<b>University of Kansas Medical Center</b>									
<b>Murphy Entrance Renovation</b>	<b>1,623,983</b>	1,623,983							
Research Institute, Tuition, University's Share of EBF									
Orr Major Anatomy Lab	7,254,050	7,254,050							
Research Institute, Tuition, State General Fund									
KUMC - Dental School	37,661,950				12,687,317	12,687,317	12,287,316		
To be determined									
School of Medicine Wichita Health Ed Building	17,965,984					8,982,992	8,982,992		
To be determined									
<b>KUMC HVAC Controls</b>	<b>3,312,000</b>		2,041,250	1,270,750					
Research Institute, Tuition, University's Share of EBF									
Parking Lot/Garage Maintenance & Improvements	7,500,000	500,000	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000
Parking Fees									
Cambridge Parking Garage Repairs	9,972,083	7,009,790	2,962,293						
Parking Fees									
<b>Cancer Research Building</b>	<b>210,000,000</b>				30,000,000	90,000,000	90,000,000		
Private Gifts, To be determined									
<b>Brain Health Building</b>	<b>59,000,000</b>				9,000,000	25,000,000	25,000,000		
Private Gifts, To be determined									
Parking Facility No. 6	30,713,055				10,237,685	10,237,685	10,237,685		
Parking Fees									
Orr Major Master Plan Completion	20,000,000			5,000,000	5,000,000	5,000,000	5,000,000		
To be determined									
<b>Surgical Skills Lab</b>	<b>3,204,171</b>			2,000,000	1,204,171				
Private Gifts, To be determined									
<b>Eaton - Cardiovascular Offices</b>	<b>1,541,000</b>			1,541,000					
Private Gifts, To be determined									
<b>Total</b>	<b>\$409,748,276</b>	<b>\$16,387,823</b>	<b>\$6,003,543</b>	<b>\$10,811,750</b>	<b>\$69,129,173</b>	<b>\$152,907,994</b>	<b>\$152,507,993</b>	<b>\$1,000,000</b>	<b>\$1,000,000</b>

**TABLE 2  
FY 2022 CAPITAL IMPROVEMENT REQUESTS - SUMMARY OF STAFF RECOMMENDATIONS**

	Total Project Cost	Prior Years	Current Year	FY 2022	FY 2023	FY 2024	FY 2025	FY 2026	Subsequent Years
<b>Kansas State University</b>									
Campus Infrastructure Improvements - 12.5kVA Electrical <small>University's Share of Educational Building Fund, Revenue Bond, University Interest</small>	7,800,000	7,250,000	550,000						
Parking Lot Improvements <small>Parking Fees</small>	7,600,000	2,800,000	800,000	800,000	800,000	800,000	800,000	800,000	
Bill Snyder Family Stadium Phase V East Stadium Upgrades <small>Private Gift, Athletic Association</small>	4,700,000	1,700,000		3,000,000					
Hale Library Repair and Restoration <small>Insurance Claim Proceeds, Private Gift</small>	42,000,000	29,000,000	13,000,000						
Ice Hall Physician Assistant Program Renovation <small>Tuition</small>	2,500,000	2,050,000	450,000						
Willard Lecture Hall Renovation <small>Student Fees</small>	1,500,000	750,000	750,000						
Multicultural Student Center <small>Private Gift</small>	5,500,000	3,500,000	2,000,000						
McCain Additions and Code Compliance <small>Private Gift</small>	5,700,000	1,000,000	4,700,000						
Derby Dining Center Renovation <small>Housing Fund, Revenue Bond</small>	16,000,000	6,600,000	9,400,000						
Mosier Hall - Additions and Renov for Pet Health Ctr, Auditorium, Research Suite <small>Veterinary Medical Revenues, Private Gifts</small>	8,209,000	100,000	4,209,000	3,900,000					
Campus Infrastructure Retro Commission, Thermostats, Insul <small>Restricted Fees, University Interest</small>	2,100,000		1,000,000	1,100,000					
<b>Bill Snyder Family Stadium South End Zone Seating/Concourse</b> <small>Private Gift, Athletic Association</small>	<b>49,936,162</b>	1,000,000	40,000,000	8,936,162					
Campus Infrastructure Building Lighting, Infrastructure, System Upgrades <small>Revenue Bond</small>	20,000,000			10,000,000	10,000,000				
<b>Mosier Hall - Second Floor Renovations, Biomedical Labs</b> <small>Veterinary Medical Revenues, Private Gifts</small>	<b>3,300,000</b>					3,300,000			
Agricultural Research & Extension Facility (FASTER) <small>Private Gift, Federal Grant, Restricted Fees</small>	150,000,000					50,000,000	50,000,000	50,000,000	
Geosciences Building <small>Private Gift, To be determined</small>	10,000,000					1,000,000	9,000,000		
Large Animal Research Center Expansion - Phase I & II <small>To be determined</small>	17,800,000					5,000,000	5,000,000		7,800,000
<b>West Memorial Stadium Renovation - Band</b> <small>To be determined</small>	<b>3,500,000</b>					2,000,000	1,500,000		
<b>Boyd Putnam Hall Renovations</b> <small>Housing Fund</small>	<b>16,000,000</b>					8,000,000	8,000,000		
<b>Football Indoor Practice Facility</b> <small>Private Gift, Athletic Association</small>	<b>24,500,000</b>					24,500,000			
<b>Volleyball Arena</b> <small>Private Gift, Athletic Association</small>	<b>17,500,000</b>					17,500,000			
<b>Olympic Training Center</b> <small>Private Gift, Athletic Association</small>	<b>13,000,000</b>					13,000,000			
<b>Total</b>	<b>\$ 429,145,162</b>	<b>\$ 55,750,000</b>	<b>\$ 76,859,000</b>	<b>\$ 27,736,162</b>	<b>\$ 10,800,000</b>	<b>\$ 125,100,000</b>	<b>\$ 74,300,000</b>	<b>\$ 50,800,000</b>	<b>\$ 7,800,000</b>

**TABLE 2  
FY 2022 CAPITAL IMPROVEMENT REQUESTS - SUMMARY OF STAFF RECOMMENDATIONS**

	Total Project Cost	Prior Years	Current Year	FY 2022	FY 2023	FY 2024	FY 2025	FY 2026	Subsequent Years
<b>Wichita State University</b>									
Central Energy Plant Cooling Tower Fan Replacement <small>To be determined</small>	2,167,150		50,000	2,117,150					
Parking Maintenance & Improvements <small>Parking Fees</small>	3,350,000	350,000	500,000	500,000	500,000	500,000	500,000	500,000	
Charles Koch Arena Expansion & Renovation <small>Private Gift, Athletic Association</small>	13,800,000	13,300,000	500,000						
Innovation Campus New School of Business <small>Private Gift, Revenue Bond</small>	60,500,000	3,500,000	25,000,000	32,000,000					
<b>National Institute of Aviation Reserch + Addition</b> <small>Restricted Fees, Federal Grant</small>	<b>8,750,000</b>	4,400,000	4,350,700						
<b>Total</b>	<b>\$88,567,150</b>	<b>\$21,550,000</b>	<b>\$30,400,700</b>	<b>\$34,617,150</b>	<b>\$500,000</b>	<b>\$500,000</b>	<b>\$500,000</b>	<b>\$500,000 \$</b>	<b>--</b>
<b>Emporia State University</b>									
Abigail Morse Renovations <small>Revenue Bond, Housing Funds</small>	10,625,500	9,481,500	1,144,000						
New Aquatic Research & Research Center <small>Private Gift</small>	900,000	900,000							
New Tennis Facility <small>Private Gift</small>	3,235,000	750,000	2,485,000						
New Maintenance Facility <small>Private Gift</small>	10,852,000					5,352,000	5,500,000		
Parking Improvements <small>Parking Fees</small>	900,000		100,000	500,000	75,000	75,000	75,000	75,000	
<b>Total</b>	<b>\$26,512,500</b>	<b>\$11,131,500</b>	<b>\$3,729,000</b>	<b>\$500,000</b>	<b>\$75,000</b>	<b>\$5,427,000</b>	<b>\$5,575,000</b>	<b>\$75,000 \$</b>	<b>--</b>





**2. Act on Allocation of FY 2020 Educational Building Fund Appropriation – System**

**Summary and Staff Recommendation**

*A 2019-2020 Board goal is to develop a strategy for addressing deferred maintenance at state universities. Two systemwide studies, financed from the Board’s appropriation from the Educational Building Fund, will be conducted as an initial step to evaluate state university facilities and gather data to support a potential deferred maintenance initiative. One study will assess current building conditions, while a second study will evaluate space utilization of university facilities. Staff recommends the Board approve the allocation of funds as identified below.*

**Background**

In response to the Board’s current year goal to develop a strategy for addressing deferred maintenance at state universities, two university building studies have been initiated to assist with the assembling and analysis of data. Accruent LLC (formerly VFA) will conduct detailed condition assessments of university mission critical buildings, establish databases, and provide software and training to the campuses allowing the campuses to update and provide to the Board consistent reporting of their deferred maintenance status. From a request for proposal process coordinated with the Department of Administration, Gould Evans in association with Rickes Associates will conduct a space utilization study of classrooms, specialized instructional space (i.e. labs and research spaces), and offices in university mission critical buildings. Their findings and recommendations will be presented in a common format for all campuses. The report will include executive summaries of findings for each campus location and the KBOR system as a whole. The current anticipated completion date of both studies is the end of October 2020.

Staff seeks approval of an allocation from the Educational Building Fund (EBF) to finance the state universities’ costs for systematic evaluations by external vendors of university facilities. The EBF is the primary source of state funds for building projects at the Regents institutions. Income to the fund is derived from a one mill, statewide levy on property subject to ad valorem taxation.

**Allocation of Educational Building Funds for State University Deferred Maintenance Studies**

	<b>Allocation</b>
University of Kansas	\$349,949
University of Kansas Medical Center	334,338
Kansas State University	839,453
Wichita State University	327,001
Emporia State University	152,949
Pittsburg State University	206,230
Fort Hays State University	220,688
<b>Total</b>	<b>\$2,430,608</b>

**Staff Recommendation**

Staff recommends the Board approve the EBF allocation for FY 2020 as noted above.

### **3. Act on Request to Raze Building – WSU**

Wichita State University requests approval to raze Cessna Stadium, building #71500-00042, on the main Wichita State University Campus at 1845 Fairmount St., Wichita, Kansas. The 30,000-seat stadium was constructed in 1946 (with a significant renovation in 1969) as a football and track facility and was the home of the Shocker football program until it was discontinued in 1986. The stadium serves as the venue for the annual Kansas State High School Track and Field Championship and the K.T. Woodman Track Classic.

The stadium consists of a lower section of concrete bleacher seating as well as a higher section of steel seating structure and a press box on the west side. There are numerous concrete/concrete block enclosures incorporated into the lower section of concrete bleachers extending to the area below the upper section of steel seating structure. These enclosures house team locker rooms and support facilities that are being relocated to the new Student Athlete Center currently under construction adjacent to Koch Arena. There are also freestanding concrete/concrete block structures under the steel seating structure serving as public restrooms, concessions and ticketing areas.

The stadium is in poor condition and has outlasted the typical lifespan of exposed steel structures. A 2017 study indicated that significant steel repair is needed – over \$100,000 was invested at that time for immediate safety repairs. The facility continues to be exposed to the elements and deterioration of the steel structure will require extensive repairs and is a continuing public safety concern. Additionally, the facility is not ADA compliant and past studies have indicated that significant demolition and rework are needed to bring the facility into compliance.

Plans entail demolishing the stadium in two separate phases (demolition of the east stands as Phase 1 and demolition of the west stands and press box as Phase 2) to allow track activities to continue until a new, smaller multi-purpose stadium is built on the site serving both men's and women's athletics and the regional community and economy by supporting soccer, lacrosse, and track and field events for both Wichita State University as well as K-12 aged tournaments from around the Midwest/Southwest in partnership with regional clubs. The cost of razing the building is estimated at approximately \$1.4 million (\$625,000 for the east stands and \$775,000 for the west stands) which will be funded from private funds and restricted fee funds. Opportunities will be explored to re-use any materials that can be salvaged from the demolition.

**4. Act on Distribution of FY 2021 Postsecondary Education Operating Grant to State Universities**

**Elaine Frisbie  
VP, Finance & Administration**

**Summary and Recommendation**

*The Board of Regents was appropriated \$11,893,156 to be distributed to the state universities in FY 2021. Staff recommends the Board approve the distributions as displayed below, contingent upon the Board’s assessment of each institution’s performance pursuant to the performance agreement process.*

**Background**

The Postsecondary Educational Institutions Unified Operating Grant referred to as the “block grant” represents new funding in the Board’s unified appropriation request. Last fall, the Board presented a \$50.0 million request for FY 2021. The Legislature ultimately approved \$11.9 million in 2020 Senate Bill 66 for the state universities, intended as an inflationary increase to the universities’ State General Fund support of 2.0 percent. With the high degree of uncertainty as to the FY 2021 financial effect of the COVID-19 pandemic, staff recommends that the Board proceed with approving the allocations to allow the universities more time to plan on how they can best deploy the grant. The amount is slightly less than one-fourth of what was requested to address the state universities’ operating costs in the coming year.

**State University Operating Grant Distribution**

The operating grant comes to the Board Office under the tuition ownership model of state budgeting for the state universities. Under this model, tuition revenues are not considered when determining state funding levels. The \$11.9 million is only the second instance when the state universities have seen an operating grant amount (\$15.7 million was appropriated for FY 2019) since FY 2009. The table below displays a distribution based upon the state universities’ State General Fund appropriations for FY 2021, excluding those appropriations specially designated for research (the KU Medical Center’s Cancer Center, KSU’s Global Food Systems, KSU Veterinary Medical Center’s Operating Enhancement, and WSU’s appropriations for aviation research, aviation infrastructure and technology transfer facility).

	Postsecondary Education Operating Grant
University of Kansas	\$ 2,859,244
University of Kansas Medical Center	2,140,809
Kansas State University	2,009,267
KSU Polytechnic Campus	136,697
KSU Extension & Agriculture Research Programs	1,019,207
KSU Veterinary Medical Center	204,174
Wichita State University	1,356,949
Emporia State University	681,516
Pittsburg State University	762,482
Fort Hays State University	722,811
<b>Total</b>	<b>\$11,893,156</b>

## 5. Review Request to Establish a National Institute of Digital Transformation and Convergence Sciences – President Golden – WSU

In furtherance of its vision and mission to be an essential educational, cultural and economic driver for the State of Kansas, Wichita State University is requesting authorization to accept additional funding and legislation for an investment in the amount of \$5 million to support the University's National Institute of Digital Transformation (NIDT) and Convergence Sciences.

The NIDT will explicitly support Pillar 3 of the Strategic Plan established by the Kansas Board of Regents. The NIDT is a cornerstone of President Golden's Initiative for Convergence Sciences which entails integrating knowledge, methods, and expertise from different disciplines and forming novel frameworks to **catalyze scientific discovery and innovation**. The result being novel research and subsequent innovations that can be exported worldwide as well as attract and create new companies in Wichita which, will help diversify and advance the economy of Kansas.

Recent aviation industry layoffs reinforced the critical need to diversify the Kansas economy and focus on the jobs of the future. Those jobs are in technology. For the last 40 years, all net new jobs in the US economy have been technology jobs, mainly from companies less than five years old. The University's planned National Institute of Digital Transformation and Convergence Sciences will focus on (1) research and innovation in cloud computing and software development utilizing high speed broadband in Kansas; and (2) research and service laboratories to provide the needed technology for Kansas to compete globally. The labs will support these endeavors and include: (1) cybersecurity; (2) environmental testing and certification for hardware and Internet of Things ("IoT") devices; (3) interoperability and solutions testing; (4) machine learning and artificial intelligence; and 5) simulations and virtual and augmented reality for systems engineering.

Students at WSU would benefit from the additional experiential learning opportunities from the institute. New programs (e.g., artificial intelligence, machine learning, virtual reality, blockchain) or expanded and redevelopment of existing programs (e.g., data science, engineering, business, health sciences) will occur as a result of the proposed cluster. These programs will provide internships, certificates, minors, and degrees at the undergraduate or graduate level, which will provide the necessary education for jobs in the growing technology field. Students of all types will be able to immediately participate in applied learning experiences within and related to the project as industry partners begin to collaborate with the institute.

The National Institute would be based on the model and strengths of NIAR but focused on developing technology that can transform other industries, including aviation, to drive economic development and support new ventures in Kansas. In fact, several companies, including a publicly-traded Fortune 500 company and a multinational professional services network supporting technology and automated manufacturing, have become the first to take root and will likely continue to grow and attract other businesses in this industry with this infrastructure and research support at Wichita State University.

The state investment of \$5.0 million would be used as the KART (Kansas Aviation Research & Technology Growth Initiative) money is used at NIAR and the NCAT (National Center for Aviation Training) funding is used at WSU Tech. We will meet with industry in the technology fields to determine what labs and research are needed to grow the Kansas economy. Each year we will submit a review of how the money was spent as we currently do with NIAR and WSU Tech. These reports will respond to industry need each year and we will be able to change focus based on economic needs. The research programs developed by collaboration between WSU and the tech companies will be used to purchase equipment, subject matter experts and lab space. The Institute will be expected to use this funding to drive additional revenue for government contracts and grants and private industry funding.

Digital transformation can vastly expand the potential of the economy and generate opportunities for many. Digital technology improvements are the most important driving factor for business sustainability as they are redefining work and transforming the structure of the entire economy. It is essential that Wichita State urgently expand the high-skill information technology talent pipeline and create capacity to upskill incumbent workers as knowing digital skills represent a key channel to productivity gains. The National Institute will provide additional direct job for faculty and staff but will also provide applied learning opportunities for students. The following table provide the estimated direct total full-time equivalent (FTE) jobs immersed in digital transformation at the National Institute. Each student job is equal to 0.5 FTE and it is anticipated that the student to staff ratio will be a minimum of four students to each staff thereby expanding the high-skilled information technology pipeline.

The Institute anticipates an equipment investment of \$20 million half donated by the private sector and half funded by the public sector. Over the 10-year term of the analysis, it is estimated that there will be 1,300 applied learning experiences.

**Table 1. Growth Metrics for First 10 Operating Years**

10 Year Outcomes	Total Direct Jobs		3. Equipment Investment	4. R&D Grant and Contract
	1. Added/Created	2. Retained		
NIDT	95 FTE Faculty, Staff and Students per year	33 FTE	\$20 Million	\$40 Million
Private Sector	550 FTE	Thousands at risk due to competition	N/A	\$43 Million

To maintain economic prosperity Kansas must increase research and development (R&D) spending provide greater investment in local R&D institutions. In the first ten years of operation, it is estimated that the NIDT will engage in \$40 million dollars of grant and contract research with over \$16 million of research programming in the tenth year. The National Institute will improve Kansas’s digital workforce skills in and increase labor productivity and the potential of individuals and society.

Given the dramatic increase in technological competencies of our economic competitors, any basic and applied research investments that the State of Kansas can make will yield increased technological and digital capacity and capabilities for existing Kansas companies and the companies of tomorrow. The NIDT ten-year private sector job growth impact is estimated to be approximately 550 added jobs with 120 added jobs in the tenth year. To retain jobs, Kansas companies will be required analyze, prioritize and adopt the technologies that are reshaping the way that things are made and maintained.

If the state of Kansas cannot invest in developing centers of excellence to train and support a workforce skilled in applying digital transformation tools to grow and create new business, those businesses will have no choice but to move and center their operations around locations that do invest in a digitally skilled and savvy workforce.

## 6. Review Request to Establish a Food, Health and Biosecurity Program – KSU Provost Taber

### Background

Kansas State University has identified four initiatives as part of the refresh of our K-State 2025 strategic plan. Preeminent among these is a renewed focus on our land grant core strengths in Global Food, Health, and Biosecurity. A central challenge facing this and following generations is how the world will address the critical nexus of food, health, and biosecurity. The demand on resources will grow exponentially due to increased population, environmental challenges, and large-scale migrations. Food quality, availability, safety, cost, and security will be of paramount importance to human health and societal stability. Research and education in biosecurity is critical in safeguarding our communities from infectious disease. The connection among food systems, biosecurity, and human and animal health is at the core of our strategic initiative in Global Food, Health, and Biosecurity. This work will attract new companies to the state, while supporting existing business advancement. Industry sponsored research and new job creation are at the heart of this strategic initiative.

### Unique Role in Agriculture and Food

Kansas State University is the first historical land grant university in the country and the only land grant university in the state of Kansas. The K-State College of Agriculture and K-State Research and Extension (KSRE) generate wide-ranging mission-specific impacts through the functions of teaching, research, and extension programming. For Kansas, performance of these three missions generates valuable advancements in the state's economy, for society, and for the citizens of Kansas.

Agriculture is the state's largest industry and economic driver. Sixty-six agriculture and food sectors combine to contribute \$68.0 billion in economic impact in the state, 45% of the total Kansas economy. The agriculture and food sector combine to employ 247,000 Kansans, 13% of the state workforce. At least 88% of all land in Kansas is farmland, and agriculture and food are a state-wide industry. In our interconnected world, agriculture and food are defined as a secure market. We cannot rest on history and our past success—the agriculture and food sectors will be propelled forward by scientific research and innovation, and we intend to be on the forefront. A key goal in this initiative is to support an educated, skilled workforce, who can contribute to the state, nation, and world.

### Proposal

Kansas State University proposes to create the Institute of Global Food, Health, and Biosecurity (Institute) to advance the University and the State of Kansas and capitalize on our national and international strengths in this area. The new Institute will leverage many of our existing academic and research strengths in food science and safety as well as health and well-being while at the same time developing new areas to meet the changing needs of today's workforce.

Through the Institute, K-State will lead the effort to answer the challenge facing Kansas and the world on how to address the Food-Health-Biosecurity nexus. KSU would leverage its strong partnerships with regional corporate and governmental entities in animal health and biosecurity; while at the same time developing new programs and engagements to build on our successes in entrepreneurship, company attraction, and economic development. KSU would develop and deliver new or expand existing curriculum emphasizing these key initiatives to prepare the future workforce. KSU envisions this new/expanded interdisciplinary curriculum will prepare our graduates for careers in food systems, health, and biosecurity fields. This would build on our unique programs in milling, baking, food, and feed sciences. In addition, biosecurity and health programming through the Colleges of Veterinary Medicine, Agriculture, Arts and Sciences, and Health and Human Sciences will contribute to the interdisciplinary curriculum and serve a variety of important industries. KSU will deliver this curriculum in innovative ways to meet the changing needs of both traditional and non-traditional students.

In 2009, Manhattan was selected as the new site for the National Bio- and Agro-defense Facility (NBAF), based on the concentration of talent, physical assets, workforce training, and regional industries related to animal health,

food safety, and biosecurity at Kansas State. K-State performs over \$120 million in food, health, nutrition, and biodefense research annually, possesses demonstrated capabilities to generate alternative solutions to the global challenges, and serves as a critical partner for NBAF. In partnerships with over a dozen global food innovation and health companies, K-State has garnered over \$12M in industry support of this mission over the past three years. The Institute would further position the university to define how the 21<sup>st</sup> century land-grant mission around food, health, and biosecurity will be realized and how a \$65 billion per year industry in Kansas will be protected. Lastly, this funding would enable critical infrastructure improvements necessary for the research and curricular activities associated with the Institute. A significant part of the underlying facilities that will support this strategic effort lies in the College of Agriculture, and these facilities are not adequate. Shellenburger hall, originally built in 1953 with its latest addition in 1983, needs to be demolished, as well as the Feed Technology facility, also originally build in the mid 1950s. A recent engineering analysis of these buildings indicated significant code deficiencies and significant physical limitations which makes these facilities impractical for modern teaching and research functions.

The university would secure bonding authority to finance the demolition of these obsolete facilities and relocate the Department of Grain Sciences and Industry into a new structure adjacent to Call Hall and Weber Hall. This new structure would enhance our academic and research capabilities by leveraging the relationships between milling and baking science with the academic functions in food science (Call Hall) and feed science with livestock and companion animal nutrition (Call & Weber Hall). This synergy would impact over half of the students within the College of Agriculture while at the same time modernize our key research capacity as required for the initiative and the Institute. KSU will maximize this investment by leveraging and supplementing our infrastructure improvements with philanthropic and/or federal resources to finance these updates.

Through the Institute, Kansas State University will work to address through teaching, research, engagement, and service these global truths that challenge the future of our most basic needs: health and safety.

**Metrics**

The metrics associated with Pillar 3 in the new Board strategic plan will be directly related to this project. KSU would measure the success of this Institute and strategic initiative in terms of direct jobs and investment, research grants, companies attracted and created, and building the talent pipeline for these industries.

**Budget**

#	Budget Item	Description	Amount
1	Programming & Administration	Funding in this area would be utilized to develop and deliver new or expand existing curriculum.	1,500,000
2	Research & Outreach	Funding in this area would involve developing research grants and partnerships with key industry and regional partners as well as disseminating this knowledge to the citizens of Kansas and the world.	500,000
3	Debt Service	Debt service on approximately \$40 million in bonding to update critical infrastructure .	\$ 3,000,000
			\$ 5,000,000

**7. Review Request for Transfer and Military Initiative President Mason  
– FHSU**

In order to help current and prospective students successfully navigate their collegiate journey; beginning with initial inquiry and extending to graduation, the Transfer and Military Center will provide a centralized location for information on programs, transfer and evaluation of military credits, alignment to FHSU programs, military financial benefits, and a centralized point of contact for all other student support services. In order to better serve our current and prospective military-connected students this office will provide recruitment, retention, and support services throughout a military-connected student’s time at FHSU. These services will include but are not limited to the evaluation of military credits and initial academic counseling to assist students in finding the right program to fit their needs, providing information on deadlines at FHSU regarding benefits processing, creating Kansas’ first university-based Officer Candidate School program with the Kansas Army National Guard, and working overall to increase the military community connectedness at FHSU. Additional partnerships with military branches will also be explored and pursued. The military and transfer center program is designed to not only support military students, but all transfer students in their pursuit of a four year degree.

To launch the program and support its expected growth a number of new positions in addition to ongoing funding for operating expense are anticipated. Below is information regarding the funding request.

Position reallocation	\$ 45,000
New Positions	\$301,000
OOE for programming	\$ 17,000
<b>Total</b>	<b>\$363,000</b>

The expected economic impact is estimated based on anticipated enrollments and degrees awarded. Combined enrollments are expected to reach 180 new students. With degree completion of transfer students currently experienced being 58 percent, FHSU expects 105 degrees to be awarded. The economic benefit to the State of Kansas is seen in workforce development and higher earning college graduates being added to the taxpayer roles.



**8. Act on Request to Purchase Private Housing Facilities (The Flats and The Suites) Located on Campus and Act on Request to Seek Bonding Authority to Finance the Purchase – WSU**

**Julene Miller,  
General Counsel**

The Flats and The Suites, built in 2017 and 2019 respectively, are two privately-owned apartment complexes located on the Wichita State University’s innovation campus and are exclusively used by WSU as student residence halls (both referred herein as “Halls”). WSU currently has a building lease with the owners to allow WSU to operate and use these Halls. The building lease for The Flats has a termination date of May 31, 2022 with a one-time option to renew for an additional two years; the building lease for The Suites has a termination date of May 31, 2021, with four options to renew, each for a period of two additional years. If WSU does not renew its building leases, the owner has the right to lease the rooms to third parties (except that leasing priority must be given to WSU students and staff) for the term of the ground lease, which runs through June, 2056. In light of the currently anticipated long-term need for student housing, WSU has estimated the cost savings of a purchase, and requests approval to pursue the purchase of the Halls as set forth below.

Wichita State University’s Office of Housing and Residence Life has managed the Halls since they opened in the fall of 2017 and the fall of 2020. During this time, the demand for on-campus student housing has been very strong. The original total build capacity for the Halls was 506. In order to meet increased student demand, WSU reassigned rooms in the fall 2019 semester to double and triple occupancy to accommodate a total of 653 students.

Based on a proposed purchase offer price of \$49 million (before bond financing costs and reserves), WSU has evaluated the cost-savings as follows:

- WSU’s current lease payment for the facilities is \$3,418,880 per year (subject to 2% annual increase); WSU then derives rental income from room rentals but, after expenses, there is not a net positive income to WSU from these room rentals.
- In comparison, a purchase price of \$50.47 million par (\$49 million bond plus projected cost of issuance and reserves), a 25-year bond at 3.25% would cost WSU \$2,979,715 annually.
- Assuming the lease payments did not increase more than 2% annually during the 25-year life of the bond, the potential savings to WSU in the first year would be approximately \$439,165 and the cumulative savings would be approximately \$35,014,876.

In addition, the proposed purchase price is less than the cost of other recent state university student housing projects. The Halls’ combined square footage is 320,131. Including the cost of financing, the purchase cost equates to \$157.65 per square foot. In comparison, the average approximate cost of the eight most recent state university student housing projects is \$209 per square foot.

Additional benefits of the purchase would include: exclusive control over occupancy, control over housing rates for students, and the ability to conduct renovations or expansion as desired or needed. WSU would need to set aside resources from the annual savings, not from the bond proceeds, to address future maintenance needs and to build the appropriate reserves. Wichita State University’s Facilities Services staff conducted a thorough building condition assessment of The Halls and no significant issues were discovered with major mechanical systems and other major building systems such as electrical, plumbing, fire protection, building envelop, building interior, doors and hardware. An independent appraisal has been completed as of this request by Appraisal Compliance Services, LLC. The appraisal report supports the purchase price. If the buildings are acquired by the university, they would become exempt from property taxes (which the building owner is currently responsible for) and would be placed on the state university building inventory and included on the university’s property insurance.

Interim President Tompkins communicated this opportunity to the Regents during the Board's visit to campus in October 2019. In addition, both Interim President Tompkins and President Golden have discussed the purchase of these housing facilities with WSU's shared governance leadership. The purchase proposal has been shared with the student government association (SGA) and the SGA passed a resolution in support of the purchase by a 27-0-4 vote.

Wichita State University requests approval to pursue issuance of revenue bonds and to use the bond proceeds to purchase The Halls for the purchase offer price of \$49 million (before bond issuance costs). WSU will work with KDFA for issuance of bonds for the purchase.

**Legislative Update**

Subsequent to the February Board meeting, Wichita State University requested that the Legislature proceed with adding bonding authorization to the appropriations bill for the purchase of the facilities described above. The Legislature included the authorizing language in Section 148(d) of 2020 Senate Bill 66, contingent upon approval of the Board of Regents.

C. Other Matters

1. Receive Overview of New Board Strategic Plan

Blake Flanders,  
President and CEO

**Background**

*In 2017, the Board directed President Flanders to begin the process of developing of a new strategic plan for the Kansas public higher education system. During this process, Board staff had many discussions with the Board, the Governance Committee, the System Council of Presidents, and other institutional representatives on the structure and metrics for the plan. In 2018, Board staff also conducted focus group meetings across the state to get feedback from Kansans on how higher education can best help Kansas families and businesses.*

*The next steps in the process are for the Board to receive an overview of the plan in April and then at the May meeting, the Board will conduct a comprehensive review of the plan. After receiving feedback from the Board, a final draft of the plan will be presented to the Board at the June meeting.*

2. Receive Legislative Update

Matt Casey,  
Director, Government Relations

**Summary**

*The Board will receive a legislative update and will hear about changes with the legislative schedule leading to an earlier adjournment due to COVID-19. Also, the Board will hear about the budget that was adopted before the adjournment and what to expect if the Legislature returns for veto session.*

3. Act on Request to Create a Council

Blake Flanders,  
President and CEO

**Background**

*The 2020 Legislature has directed the Board of Regents to create a comprehensive three-year, five-year and ten-year plan for higher education for the 2021 Legislature to consider. In order to obtain the necessary, wide-ranging input necessary for such a task, an advisory Council will be formed to review the delivery systems of higher education in the State of Kansas. The Council will make recommendations to the Board to ensure that education beyond high school is accessible to Kansans and the delivery system is sustainable and aligns with the state’s needs. The Board will decide how to proceed in developing the comprehensive multi-year plan for higher education for presentation to the 2021 Legislature and consider how the recommendations may be incorporated into the new strategic plan.*

## **THURSDAY AGENDA**

**VI. Executive Session**

Board of Regents – Personnel Matters Relating to Non-Elected Personnel

**VII. Adjournment**

# AGENDA

**Fiscal Affairs and Audit Standing Committee**  
**Wednesday, April 15, 2020**  
**10:00 AM - 11:30 AM**  
**Virtual Meeting**  
[Livestream Link](#)

## **I. OLD BUSINESS**

- A. Approve minutes of March 18, 2020 committee meeting
- B. Follow up on issues raised during the March 31 teleconference regarding FAA items on the Board's agenda and any other questions/clarifications about Board agenda items

## **II. NEW BUSINESS**

- A. **FAA 20-07** Review capital improvement requests and five-year capital improvement plans
- B. **FAA 20-04** Review State Universities' Annual Financial Reports, including Current Year Budget Outlook
- C. First Read of Johnson County Educational Research Triangle (JCERT) Budgets
- D. Board agenda items under Fiscal Affairs and Audit
- E. Audits for committee review and discussion (standing item)
- F. Other Committee Business

## **OTHER COMMITTEE ITEMS**

Next meeting dates:

May 5, 11:00 am, Agenda Planning Conference Call  
May 20, 10:15 am, Committee Meeting

June 2, 11:00 am, Agenda Planning Conference Call  
June 17, 10:15 am, Committee Meeting

# AGENDA

**System Council of Presidents  
Kansas Board of Regents  
April 15, 2020  
10:00 a.m.  
[Livestream Link](#)**

1. Approve minutes of March 18, 2020 meeting
2. Report from System Council of Chief Academic Officers
3. Receive Legislative update – Matt Casey
4. Updates on matters related to COVID-19
5. Discuss delivery systems, space utilization, and enrollments
6. Other matters

# MINUTES

**System Council of Presidents  
Kansas Board of Regents  
March 18, 2020  
10:15 a.m.  
Microsoft Teams Meeting**

Members present: President Allison Garrett, Emporia State University – Co-Chair  
President Ken Trzaska, Seward County Community College – Co-Chair  
Chancellor Douglas Girod, University of Kansas  
President Tisa Mason, Fort Hays State University  
President Jerry Farley, Washburn University  
President Jay Golden, Wichita State University  
President Richard Myers, Kansas State University  
President Steve Scott, Pittsburg State University  
President Jim Genandt, Manhattan Technical College  
President Flanders, Kansas Board of Regents

The meeting was convened at 10:15 a.m. by President Ken Trzaska – Co-Chair.

1. Minutes from the February 19, 2020 meeting, moved by President Myers and seconded by President Golden, were approved.
2. Dr. Karla Wiscombe, Director for Academic Affairs, Kansas Board of Regents, reported on the following action item:
  - The proposal for policy changes to Align with Recent U.S. Department of Education Regulations and Update other Language. A motion for approval was made by President Mason, seconded by President Farley. The motion was approved.
3. No report from System Council of Chief Academic Officers
4. CEOs shared how their universities are responding to COVID-19 on their campuses. For most campuses, decisions include:
  - All classes will move online until the end of the semester. Students completing requirements will receive credit for their courses in most instances.
  - All events have been canceled.
  - All commencements have been postponed and alternatives are being considered.
  - All study abroad has ceased.
  - They are still sorting through how this impacts the research community.
  - Some students still remain in the residence halls, but they will be closing, and students will be scheduling times to move out of the residence halls. Students without other options are generally staying.
  - Counseling Services is setting up virtual services.
  - Refunds will be given to students for pro-rated housing and dining.
  - The majority of employees are working from home.
  - Faculty working to provide online delivery for their courses.
  - Clinical rotations for veterinary medicine at KSU will continue with clear guidelines.

- Students in nursing and other health professions and student teachers progress depends on flexibility from the state and accrediting bodies.
  - All employees will continue to be paid and this will likely continue through the end of the semester.
  - Training facilities have closed for now.
  - The health system gave a new directive – if you have symptoms, isolate and do not go in for testing.
  - Some Technical Colleges will be able to offer courses online, but other courses such as welding will likely need to issue incompletes for the semester.
  - Some labs remain open for small numbers (6-7) students at a time.
  - Students are encouraged to call or email rather than visit campuses.
5. President Blake Flanders shared that The Board had planned to have a work session on the plan in May, but because discussions need to take place face to face there will be a delay.
6. Other matters  
No other matters were discussed.

There being no further business, the meeting adjourned at 10:54 a.m.



# AGENDA

**Council of Presidents  
Kansas Board of Regents  
April 15, 2020  
10:30 a.m. or adjournment of System Council of Presidents  
[Livestream Link](#)**

1. Approve minutes of March 18, 2020 meeting
2. Report from Council of Chief Academic Officers: David Cordle
3. Report from Council of Chief Business Officers: Diana Kuhlmann
4. Report from Council of Government Relations Officers: Don Hill
5. Report from Council of Chief Student Affairs Officers: Jim Williams
6. Other matters

# MINUTES

**Council of Presidents  
Kansas Board of Regents  
March 18, 2020  
10:55 a.m. – adjournment of SCOPS  
Microsoft Teams Meeting**

Members present: President Allison Garrett, Emporia State University  
Chancellor Douglas Girod, University of Kansas  
President Tisa Mason, Fort Hays State University  
President Jay Golden, Wichita State University  
President Richard Myers, Kansas State University  
President Steve Scott, Pittsburg State University

The meeting was convened at 10:55 a.m. by President Garrett.

1. Minutes from the February 19, 2020 meeting, moved by President Mason, and seconded by President Myers, were approved.
2. No report from the Council of Chief Academic Officers.
3. President Garrett gave a brief report on behalf of the Council of Chief Business Officers.
  - i. The Council continues to work on the space utilization study.
  - ii. They are working ways to respond to the COVID-19 situation.
  - iii. The Council is discussing tuition and fees proposals for 2021.
  - iv. They are planning bond disclosure training for campuses that was tentatively scheduled for April 1.
4. Matt Casey, Government Relations Liaison, Kansas Board of Regents, gave a report from Council of Government Relations Officers on the following items:
  - The Legislature is still in session. The budget committees are working to finalize their work to get something in place so they can adjourn until April 27, which is the tentative date to return.
  - Discussion taking place with a focus on securing emergency dollars. A package has been proposed for state government and the universities would be included. It is unclear what that dollar amount is, but it will be devastating if there is no financial relief.
5. No report from the Student Affairs Council.
6. Other matters
  - President Steve Scott acknowledged the passing of Dr. Julia Scott, wife of Riley Scott, Pittsburg State University's, Legislative Liaison and Senator Susan Wagle's daughter.

There being no other business, the meeting was adjourned at 11:08 a.m.

# AGENDA

**KANSAS BOARD OF REGENTS  
SYSTEM COUNCIL OF CHIEF ACADEMIC OFFICERS  
VIDEO CONFERENCE AGENDA**

**April 15, 2020  
8:30 am – 9:00 am**  
[Livestream Link](#)

The System Council of Chief Academic Officers (SCOCAO) will meet by video conference (this was originally scheduled as a face-to-face meeting at K-State). SCOCAO is co-chaired by Brad Bennett, Colby CC and David Cordle, ESU.

**I. Call to Order** Brad Bennett, Co-Chair  
A. Roll Call  
B. Approve Meeting Minutes from February 19, 2020

**II. Transfer and Articulation Council Update** Jon Marshall

**III. Open Educational Resource (OER) Steering Committee Update** Erin Wolfram

**IV. Other Matters**  
A. Discuss Associate Degree Transfer Daniel Archer  
B. COVID-19 and Performance Agreement Outcomes Daniel Archer  
C. New Business

**V. Next SCOCAO Meeting – May 20th in Topeka**  
• Approve minutes from April 15, 2020 meeting  
• Credit for Prior Learning (CPL) Update

**VI. Adjournment**

# MINUTES

## System Council of Chief Academic Officers MINUTES Wednesday, February 19, 2020

The February 19, 2020, meeting of the System Council of Chief Academic Officers was called to order by Co-Chair David Cordle at 8:32 a.m. The meeting was held in Suite 530 located in the Curtis State Office Building, 1000 S.W. Jackson, Topeka, KS.

### In Attendance:

Members:	Brad Bennett, Colby CC	David Cordle, ESU	Lori Winningham, Butler CC
	Erin Shaw, Highland CC	Matt Pounds, NWK Tech	Charles Taber, K-State
	Carl Lejuez, KU	Jill Arensdorf, FHSU	Howard Smith, PSU
	Rick Muma, WSU	Juliann Mazachek, Washburn	Beth Ann Krueger, KCKCC
Staff:	Daniel Archer	Sam Christy-Dangermond	Amy Robinson
	Karla Wiscombe	Tim Peterson	Erin Wolfram
	Natalie Yoza	Charmine Chambers	Scott Smathers
	Cindy Farrier	Judd McCormack	Marti Leisinger
Others:	Kathleen Kottas, Barton CC	Lori Winningham, Butler CC	Michelle Schoon, Cowley CC
	Steve Loewen, FHTC	Aron Potter, Coffeyville CC	Marlon Thornburg, Coffeyville CC
	Mike Calvert, Pratt CC	Marc Malone, Garden City CC	Joe McCann, Seward County CC
	Cindy Hoss, Hutchinson CC	Michael McCloud, JCCC	Stanton Gartin, Salina Area Tech
	Brian Niehoff, K-State	Jean Redeker, KU	Jennifer Ball, Washburn
	Adam Borth, Fort Scott CC	Ryan Ruda, Garden City CC	Greg Schneider, ESU
	Kevin Bracker, PSU	Shelly Gehrke, ESU	

Co-Chair Brad Bennett welcomed everyone and those present introduced themselves.

### Approval of Minutes

David Cordle moved to approve the minutes of the January 15, 2020 meeting and Rick Muma seconded the motion. With no discussion or corrections, the motion passed.

### Transfer and Articulation Council (TAAC) Update

Karla Wiscombe provided an update from the February 12, 2020 TAAC meeting. There were eight institutions submitting courses not previously offered at their institutions. These courses have been approved for Systemwide Transfer. The Committee also looked at several courses for the 2020 Kansas Core Outcomes Conference being held at Wichita State University on October 16.

Karla demonstrated the new Transfer KS portal. The portal can be accessed from the home page of the KBOR website as well as on the KBOR Transfer and Articulation page [https://www.kansasregents.org/academic\\_affairs/transfer-articulation](https://www.kansasregents.org/academic_affairs/transfer-articulation). Karla noted the portal can sort transfer courses by subjects, SWT course titles, and institutions, with the ability to produce a list of all 91 transfer courses. Karla asked institutions to look for any courses that have not been offered in the past and that may be offered in the future. Erin Wolfram created a set of instructions to export all 91 courses, but Karla noted the moment the data is exported, it becomes outdated, as it is being pulled from course inventory in real time. Karla discussed that the course inventory may still have inaccuracies and asked for everyone to look again to ensure

their institutional course inventory is up to date. She thanked those who have made updates already. Karla sent an email asking institutions to certify the nine new courses. These will be added to the Transfer KS portal and Karla stated if any personnel have issues completing this they can contact her for assistance.

Erin Wolfram mentioned that core outcomes with effective, approval, and review dates can be accessed by individual courses in the Transfer KS portal. Erin also noted that if you want to quickly view SWT courses with effective dates, this information can be found under the Transfer and Articulation page on the KBOR website.

### **Open Educational Resources (OER) Update**

Erin stated that KBOR has tentative approval for systemwide membership with Open Textbook Network (OTN). Paperwork is being processed to receive official approval from the Department of Administration. The benefits of OTN membership include:

1. Sending four state ambassadors to the OTN Summer Institute in July 2020. These ambassadors will then serve as statewide experts and trainers across Kansas.
2. OTN staff members will come to Kansas two times in the first year of membership to conduct statewide trainings for additional state OER representatives.
3. Mechanisms for statewide OER data collection.

OER will create cost savings for students. She noted there is a statewide OER showcase on March 26<sup>th</sup> 1-4pm at Washburn University for administrators, faculty, and staff across Kansas. This event is free and the OER Steering Committee will provide an update of their action plan, a key-note speaker from LibreNet will present, and there will be a poster session highlighting OER across institutions. An email will be sent out about this event and Erin encouraged each institution to present a poster and invite their peers.

There is also a statewide OER Conference on September 18 at FHSU. A call for presentation proposals will go out soon and this information will be placed on the KBOR website. The OER Steering Committee is looking for proposals related to three main topics:

1. Introduction to OER
2. Practices and Application
3. Instruction, Support, and Advocacy

Erin stated this event is for everyone from novices to experts. There will be key-note presentations and break-out sessions, and the cost will be minimal. Erin will send out more details soon.

The last item Erin discussed is the development of an OER website through KBOR. This will provide information on upcoming events, benefits of the OTN membership, general OER resources, and highlights of what individual institutions are doing with OER.

### **High Impact Practices**

Daniel Archer gave a short update on proposed systemwide high impact practices. Daniel previously requested feedback from universities and community colleges and thanked those who submitted their practices. He noted there were a few high impact practices that everyone agreed on such as:

1. Creating an OER Initiative: KBOR is assisting with OER to create opportunities, which includes OTN membership. The Committee did not object with listing OER as a high impact practice.
2. Developing a statewide campaign to promote full-time students completing 30 credit hours per year. Data shows students completing 30 credit hours per year are more likely to graduate, they graduate sooner, and in turn spend less on associated costs. Data also show this is effective at all types of institutions.

3. The Board directed goal to create a transfer framework that will strengthen associate-to-baccalaureate transfer between community colleges and universities.

Daniel stated that KBOR is not trying to mandate any specific practices. KBOR plans to provide consistent messaging strategies, help build campaigns, obtain memberships that benefit students, and assist with current efforts. Erin Shaw asked if institutions that are currently working on these items will be required to shift their messaging in the future. Daniel responded a committee will be formed and he would not want to take away any current momentum from current individual initiatives; however, it could be beneficial for everyone to use the same messaging. Brad Bennett asked if these practices are going to become the new performance agreements. Daniel responded this was not the goal but noted there is a metric on the KBOR website for on-time graduation. Juliann Mazachek asked for mindfulness of what “on-time graduation” is. She noted they work with a large group of students and on-time may mean different time frames for different student populations.

Daniel discussed potential systemwide high impact practices. He noted there were potential high impact practices that he received positive feedback on implementing, such as:

1. Math pathways
2. Expanding corequisite remediation; and
3. Developing meta-majors

Daniel commented he believes before committing to the above practices there is a need to study and explore these through looking at them through faculty, student, and Board lenses.

Daniel discussed non-systemwide initiatives which individual institutions are implementing or plan to continue implementing. Daniel provided a list to the Committee and asked if anyone has additional initiatives to add they can contact him.

Daniel discussed the next steps in moving forward with these high impact practices. Pillar 1 is being focused on currently, and he anticipates the Board will approve these initiatives in June 2020. Brian Niehoff asked if the term “high impact practices” is set in stone, noting that this term is used for academic teaching and can confuse some due to these dual definitions.

### **Program Articulation**

Daniel Archer stated this was originally assigned to BAASC but has since been directed to SCOCAO. He will be working on a report over the next month that addresses current challenges with the 2+2 programs and highlights successful initiatives in other states which may provide options.

### **Policy Revision**

Karla Wiscombe discussed new US Department of Education regulations governing the recognition of accrediting agencies and other areas of the Higher Education Act, which take effect July 1, 2020. The Higher Learning Commission (HLC) is currently the accrediting body for Kansas. Due to these changes, Board policy must be amended to align with the new federal regulations. Outdated language in the Systemwide Transfer and Articulation section will also be updated at this time.

### **Classification of Instructional Programs (CIP) Code Update**

Marti Leisinger provided an update on changes to CIP codes, which will be reflected in the 2020 edition of the CIP (CIP-2020). This will include almost 70 new four-digit series and more than 300 new six-digit codes. Marti noted that institutions may want to review the changes to make sure the CIP they are using for reporting is the CIP which best describes the content of their programs. New and historical classifications can be found at <https://nces.ed.gov/ipeds/cipcode/resources.aspx?y=56>. In the next few weeks KBOR will be asking institutions to update the CIP codes in KBOR Program Inventory to align with federal reporting. KBOR will be contacting

IR officers at impacted institutions to let them know what specific CIP codes need to be changed. Marti does not believe there will be an impact to KSdegreestats.org or Program Review.

**KCIA Update**

Brad Bennett provided a brief update from the KCIA meeting on February 18, 2020. Brad thanked the KBOR staff who attended, noting it's helpful to get updates and spend time with them, in general. Brad stated KCIA members are helping each other from an accreditation standpoint. For example, KCIA has individuals who volunteered to do mock visits of the two-year colleges for HLC that are non-peer reviewers. Brad noted in the past they have used consultants which can be costly. Brad noted they have the KCIA retreat the third week of May 2020.

**Adjournment**

Jill Arensdorf motioned to adjourn the meeting, and Chuck Taber seconded the motion. The motion passed and the meeting adjourned at 9:05 a.m.

# AGENDA

**KANSAS BOARD OF REGENTS  
COUNCIL OF CHIEF ACADEMIC OFFICERS  
VIDEO CONFERENCE AGENDA**

**April 15, 2020**  
**9:00 am – 9:50 am**  
[Livestream Link](#)

The Council of Chief Academic Officers (COCAO) will meet by teleconference call. Please see the above information for calling into this meeting through Microsoft Teams.

- I. Call to Order** David Cordle, Chair
  - A. Roll Call
  - B. Welcome new KU Provost, Dr. Barbara Bichelmeyer
  - C. Approve Minutes from the February 19, 2020 meeting

- II. Requests**
  - A. First Readings
    - 1. BS in Geographic Information Science and Technology – K-State Chuck Taber
    - 2. BS in Sports Nutrition – K-State Chuck Taber
    - 3. MS in Genetic Counseling – KUMC Robert Klein
  - B. Second Readings
    - 1. BSE in Early Childhood Unified: Birth through Kindergarten – PSU Howard Smith
    - 2. EdD in Community College Leadership – K-State Chuck Taber
  - C. Other Requests
    - 1. Act on Request for Approval of Name Change of the Department of Slavic Languages and Literatures to the Department of Slavic and Eurasian Languages and Literatures - KU Barbara Bichelmeyer
    - 2. Act on Request for Approval of Name Change of the School of Family Studies and Human Services to the Department of Applied Human Sciences – K-State Chuck Taber
    - 3. Act on Request for Approval of Name Change of the Master of Science in Applied Statistics and Analytics to Master of Science in Applied Statistics, Analytics, and Data Science – KUMC Robert Klein
    - 4. Act on Request for Approval of Name Change of the Bachelor of Science in Apparel and Textiles to the Bachelor of Science in Fashion Studies – K-State Chuck Taber
    - 5. Act on Request for Approval of Minor in Middle East Studies – K-State Chuck Taber

- III. Council of Faculty Senate Presidents Update** Greg Schneider, ESU



**IV. Other Matters**

- A. Discuss Opportunities (new degree programs, partnerships, strategic initiatives, etc.) that Universities are Considering or Planning to Pursue in the Future
- B. New Business

**V. Next COCAO Meeting – May 20th in Topeka**

- Approve Minutes from April 15, 2020 meeting
- Tilford Conference Update - KU
- University Press of Kansas Report - KU

**VI. Adjournment**

# MINUTES

## Council of Chief Academic Officers MINUTES Wednesday, February 19, 2020

The February 19, 2020, meeting of the Council of Chief Academic Officers was called to order by Chair David Cordle at 9:09 a.m. The meeting was held in Suite 530, located in the Curtis State Office Building, 1000 S.W. Jackson, Topeka, KS.

### In Attendance:

- |          |   |  |   |
|----------|---|--|---|
| Members: | David Cordle, ESU<br>Howard Smith, PSU<br>Carl Lejuez, KU   | Jill Arensdorf, FHSU<br>Rick Muma, WSU<br>Robert Klein, KUMC   | Charles Taber, K-State<br>JuliAnn Mazachek, Washburn  |
| Staff:   | Daniel Archer<br>Karla Wiscombe   | Sam Christy-Dangermond<br>Erin Wolfram   | Amy Robinson  |
| Others:  | Kathleen Kottas, Barton CC<br>Adam Borth, Fort Scott CC<br>Cindy Hoss, Hutchinson CC<br>Brian Niehoff, K-State<br>Mike Calvert, Pratt CC<br>Linnea GlenMaye, WSU<br>Duane Whitbeck, PSU | Lori Winningham, Butler CC<br>Marc Malone, Garden City CC<br>Michael McCloud, JCCC<br>Jean Redeker, KU<br>Matt Pounds, NWK Tech<br>Stanton Gartin, Salina Area Tech<br>Mary Carol Pomatto, PSU | Michelle Schoon, Cowley CC<br>Marlon Thornburg, Coffeyville CC<br>Erin Shaw, Highland CC<br>Beth Ann Krueger, KCKCC<br>Joe McCann, Seward County CC<br>Chandler Kirkhart, Seward County CC<br>Ken Trzaska, Seward County CC |

Chair David Cordle welcomed everyone.

### Approval of Minutes

Howard Smith moved to approve the minutes of the January 15, 2020 meeting and Chuck Taber seconded the motion. With no corrections or discussion, the motion passed.

### First Reading

Chuck Taber provided a summary of K-State’s proposal to offer an EdD in Community College Leadership. This program is designed to address a national need, was first developed at the University of Texas, migrated to National American University, and will be affiliated with the John Roueche Center for Community College Leadership at K-State. The EdD in Community College Leadership will be housed in the Department of Educational Leadership in K-State’s College of Education. Students who migrated with the program are currently housed within the EdD in Adult Learning and Leadership but will be moved to the new program.

Howard Smith provided a summary of PSU’s proposal for a BSE in Early Childhood Unified: Birth through Kindergarten. Howard noted the Committee would hear about the discontinuation of their BSE in Early Childhood Unified: Birth through Third Grade later in the meeting. When PSU changed its elementary education program to a unified approach, the birth through third grade was no longer needed and offered. The new program will be housed in Family and Consumer Sciences and they are basically keeping the early childhood piece. Dr. Duane Whitbeck, Chair/Professor of Family and Consumer Sciences at K-State discussed the creation and need for this program. Howard Smith clarified the former PSU Child Development program was not a licensure program; however, the new program at PSU will be.

### **Second Reading**

Last month the Committee heard the first reading of K-State's proposal to offer a Bachelor of Science in Integrative Physiology. The Committee posed no further questions. Howard Smith motioned to approve the BS in Integrative Physiology proposal, and Carl Lejuez seconded the motion. The motion passed unanimously. David noted this proposal will be forwarded to COPS for approval later in the morning.

### **Council of Faculty Senate Presidents**

Greg Schneider, ESU, presented an update. Greg stated they had two items on their agenda: the Free Expression Resolution and the Statement on Shared Governance. Greg noted he did not believe the Free Expression Resolution will pass. The Committee posed no questions.

### **Other Matters**

Rick Muma, WSU, stated they are in the beginning stage of conversations about starting a location in Chihuahua, Mexico. This will focus around their expertise in advanced manufacturing, materials, and engineering. Rick also stated WSU may be looking at starting up a dental school again.

Jill Arensdorf, FHSU, stated they are looking at starting an online MS in Computer Science.

David Cordle, ESU, stated they have a proposal by the School of Business for a Bachelor of Arts in Entrepreneurship. He noted that interest in this possible program comes out of Fine Arts, Performing Arts and Humanities who are looking at this as a second major option for students. He believes this will have wide support on the ESU campus.

Chuck Taber, K-State, stated their campus OER initiative was selected for the "All In for K-State Day", which is a fundraising event. He anticipates this will create additional funds for the initiative.

Carl Lejuez, KU, stated their new budget model for the Lawrence campus is done. It has a unique feature where around 15-20% of the budget is determined by peer-reviewed strategic priorities which aligns with Board priorities. The new model will be implemented in July 2020. He can provide extensive documentation if anyone is interested.

Howard Smith, PSU, stated they had three donors step forward to build a simulation hospital for their nursing program. This will be added to the PSU campus shortly.

Jill Arensdorf, FHSU, stated they have a large student base in China where FHSU faculty teach face to face. With recent events they have not been able to deploy their faculty, so they are going to start the semester the following week online. They redeveloped 30 courses in the last 5 weeks to adapt to this change.

### **PSU Discontinuation of the Early Childhood Unified: Birth through Third Grade**

Howard Smith, PSU, discussed their plan to discontinue this program earlier in the meeting when he presented the program request to add a BSE in Early Childhood Unified: Birth through Kindergarten.

### **Academic Calendars AY 2022-2025**

Daniel Archer provided background on public universities submitting academic calendars for Board approval. He noted they will be approved by the Board next month and are being provided to COCAO as informational. The Committee posed no questions.

### **Topics for Regent and COCAO Breakfast**

David Cordle provided the Committee a list of potential topics for the breakfast meeting between COCAO and the Board. David asked for any additions or changes to this list and to prioritize topics.

Rick Muma asked if strategic program alignment will be included in discussions. David responded that it recently came up and is an important topic to the Board; however, he believes this topic could be broader than the strategic program alignment process. David stated program review may be a more appropriate way to word the topic. The Committee discussed if the 8-year cycle was too long, and many universities noted they have internal reviews more often.

Committee members discussed budget priorities and their importance. They would like to know what the Board thinks in terms of communication strategies, advocacy and coordination plans, and the relationship between tuition and restoration.

David Cordle asked about transfer and articulation being a topic because the Presidents discussed this last month. It was noted that Regent Bangerter has a passion for this topic, and program articulation has been a popular topic in general. David commented that amongst community college Presidents he believes there is a desire to do more. It has been discussed whether 2+2 programs are the way to go, if there is any opportunity for system level program articulation, and how does the course articulation work feed into this picture.

Carl Lejuez asked what the goals of the Board are when looking at elimination of programs: efficiency, redundancy, or finances. Daniel noted that at the January Board meeting, program data was requested for the last 10 years. Committee members discussed the variety of reasons for keeping programs and the variety of ways to evaluate program effectiveness. It was noted that the minima data does not show the whole picture.

The Committee narrowed down priorities in order of importance:

1. Budget Priorities
2. Program Review/Strategic Program Alignment
3. Program Articulation
4. Deferred Maintenance

### **Recess**

Jill Arensdorf motioned to recess the meeting until lunch, and Rick Muma seconded the motion. The motion passed and the meeting recessed at 9:45 a.m. The meeting was reconvened at 12:03 p.m.

### **Additional Discussion**

Carl Lejuez, KU, discussed several items on their horizon:

1. The Tilford Conference had a surplus budget, so dues were not collected in 2019. The budget has about \$5,000 left so dues will be charged in the future.
2. The KU English Department would like to revisit raising AP scores for English Comp 1 exam.
3. The University Press has created a mid-year report to look at their surplus. They are expected to see continued revenue progress.

The Committee briefly discussed the Senate Faculty President topics of wanting input in the elimination of programs and a Free Expression Resolution.

The Committee recognized Carl Lejuez for all his work on COCAO. The new Provost of KU will start before the next committee meeting, but Carl noted the transition will not be complete until the new academic year and he will still be available until then.

### **Adjournment**

Howard Smith motioned to adjourn the meeting, and Carl Lejuez seconded the motion. The motion passed and the meeting was adjourned at 12:38 p.m.

# CURRENT FISCAL YEAR MEETING DATES

## Fiscal Year 2020

<u>Meeting Dates</u>	<u>Agenda Material Due to Board Office</u>
August 8-10, 2019	
September 18-19, 2019	August 28, 2019 at noon
October 16-17, 2019 (WSU Campus Visit)	
November 20, 2019 (PSU)	October 30, 2019 at noon
December 18-19, 2019	November 26, 2019 at noon
January 15-16, 2020	December 26, 2019 at noon
February 19-20, 2020	January 29, 2020 at noon
March 18, 2020 (KUMC)	February 26, 2020 at noon
April 15, 2020 (KSU)	March 25, 2020 at noon
May 20-21, 2020	April 29, 2020 at noon
June 17-18, 2020	May 27, 2020 at noon

# TENTATIVE MEETING DATES

## Fiscal Year 2021

### Meeting Dates

- TBD – Budget Workshop/Retreat
- September 9-10, 2020
- October 14-15, 2020
- November 18-19, 2020
- December 16-17, 2020
- January 20-21, 2021
- February 17-18, 2021
- March 17-18, 2021
- April 14-15, 2021
- May 19-20, 2021
- June 16-17, 2021

# COMMITTEES (2019-2020)

**Shane Bangerter, Chair**  
**Bill Feuerborn, Vice Chair**

## Standing Committees

Academic Affairs

Allen Schmidt – Chair  
 Shelly Kiblinger  
 Cheryl Harrison-Lee  
 Helen Van Etten

Fiscal Affairs and Audit

Mark Hutton – Chair  
 Ann Brandau-Murguia  
 Bill Feuerborn  
 Jon Rolph

Governance

Shane Bangerter – Chair  
 Mark Hutton  
 Allen Schmidt  
 Bill Feuerborn

Regents Retirement Plan

Shane Bangerter – Chair

## Board Representatives and Liaisons

Education Commission of the States	Ann Brandau-Murguia
Postsecondary Technical Education Authority	Mark Hess Mike Johnson Rita Johnson
Midwest Higher Education Compact (MHEC)	Helen Van Etten Blake Flanders
Washburn University Board of Regents	Helen Van Etten
Transfer and Articulation Advisory Council	Shane Bangerter
Governor’s Education Council	Allen Schmidt Helen Van Etten